

Game semantics for good general references

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What this talk is about

We present a **fully abstract** and **directly presentable** denotational model of **higher-order** references

The model is constructed using **nominal game semantics**

ML-like references

- Creation

$\text{ref}(M)$

- Assignment

$M := N$

- Dereferencing

$!M$

- Equality check

$M == N$

OCaml session – ground references

```
# let r0 = ref(());;
```

```
val r0 : unit ref = { contents = () }
```

```
# let r1 = ref(3);;
```

```
val r1 : int ref = { contents = 3 }
```

OCaml session – ground references

```
# let r0 = ref(());;
```

```
val r0 : unit ref = { contents = () }
```

```
# let r1 = ref(3);;
```

```
val r1 : int ref = { contents = 3 }
```

```
# let r2 = ref(r1);;
```

```
val r2 : int ref ref =  
      { contents = { contents = 3 } }
```

More Ocaml – higher-order references

```
# let r3 = ref(fun(x:intref) -> x == r1);;  
val r3 : (int ref -> bool) ref =  
      { contents = <fun> }
```

More Ocaml – higher-order references

```
# let r3 = ref(fun(x:intref) -> x == r1);;  
val r3 : (int ref -> bool) ref =  
          { contents = <fun> }
```

```
# (!r3)(r1);;  
- : bool = true
```

```
# (!r3)(ref(3));;  
- : bool = false
```

RefML: a language with HO-references

$$\theta ::= \text{unit} \mid \text{int} \mid \text{ref } \theta \mid \theta \rightarrow \theta$$

RefML: a language with HO-references

$\theta ::= \text{unit} \mid \text{int} \mid \text{ref } \theta \mid \theta \rightarrow \theta$

$$\frac{(x : \theta) \in \Gamma}{u, \Gamma \vdash x : \theta} \quad \frac{}{u, \Gamma \vdash () : \text{unit}} \quad \frac{i \in \mathbb{Z}}{u, \Gamma \vdash i : \text{int}} \quad \frac{a \in (u \cap \mathcal{N}_\theta)}{u, \Gamma \vdash a : \text{ref } \theta}$$

$$\frac{u, \Gamma \vdash M : \text{ref } \theta}{u, \Gamma \vdash !M : \theta} \quad \frac{u, \Gamma \vdash M : \text{ref } \theta \quad u, \Gamma \vdash N : \theta}{u, \Gamma \vdash M := N : \text{unit}}$$

$$\frac{u, \Gamma \vdash M : \theta}{u, \Gamma \vdash \text{ref}(M) : \text{ref } \theta} \quad \frac{u, \Gamma \vdash M : \text{ref } \theta \quad u, \Gamma \vdash N : \text{ref } \theta}{u, \Gamma \vdash M = N : \text{int}}$$

$$\frac{u, \Gamma \vdash M : \theta \rightarrow \theta' \quad u, \Gamma \vdash N : \theta}{u, \Gamma \vdash MN : \theta'} \quad \frac{u, \Gamma \cup \{x : \theta\} \vdash M : \theta'}{u, \Gamma \vdash \lambda x^\theta. M : \theta \rightarrow \theta'}$$

$$\frac{u, \Gamma \vdash M_1, M_2 : \text{int}}{u, \Gamma \vdash M_1 \oplus M_2 : \text{int}} \quad \frac{u, \Gamma \vdash M : \text{int} \quad u, \Gamma \vdash N_0, N_1 : \theta}{u, \Gamma \vdash \text{if } M \text{ then } N_1 \text{ else } N_0 : \theta}$$

Expressivity

- Divergence

$$\text{let } x = \text{ref}_{\text{unit} \rightarrow \text{unit}}(\dots) \text{ in}$$
$$x := (\lambda y^{\text{unit}}. (!x)y); (!x)()$$

- Recursion

$$\lambda f^{\theta \rightarrow \theta'}. \text{let } y = \text{ref}_{\theta \rightarrow \theta'}(\dots) \text{ in}$$
$$y := (\lambda z^{\theta}. f(!y)z); (!y)$$

- Objects, Aspects, ...

Semantics

- Call-by-value evaluation: $M \Downarrow V$
- Terms M_1, M_2 are **equivalent** if, for all program contexts $C[_]$, $C[M_1] \Downarrow$ iff $C[M_2] \Downarrow$

Semantics

- Call-by-value evaluation: $M \Downarrow V$
- Terms M_1, M_2 are **equivalent** if, for all program contexts $C[_]$, $C[M_1] \Downarrow$ iff $C[M_2] \Downarrow$
- Full abstraction:
$$M_1 \cong M_2 \quad \text{iff} \quad \llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket$$

Examples

$$x := V; !x \cong x := V; V$$

$$x := V; x := W \cong x := W$$

$$x := !x \cong ()$$

Examples

$$x := V; !x \cong x := V; V$$
$$x := V; x := W \cong x := W$$
$$x := !x \cong ()$$
$$\text{let } x = \text{ref}(\theta) \text{ in } \lambda y. (x = y) \not\cong \lambda y. \theta$$
$$\text{let } x = \text{ref}(\theta) \text{ in } \lambda y. \text{if } !x \text{ then } () \text{ else } (x := 1; f())$$
$$\not\cong$$
$$\text{let } x = \text{ref}(\theta) \text{ in } \lambda y. \text{if } !x \text{ then } () \text{ else } (f(); x := 1)$$

Two ways to model references

Reynolds

- *Idealized Algol (1978)*

References are *pairs*:

$\text{ref } \theta$

$= (\text{unit} \rightarrow \theta) \times (\theta \rightarrow \text{unit})$

$\mapsto (\mathbf{1} \rightarrow \llbracket \theta \rrbracket) \times (\llbracket \theta \rrbracket \rightarrow \mathbf{1})$

- Theoretically attractive
- but: *bad variables*, failure of full abstraction, ...

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Pairs of ref type are *not* always references

- e.g. $\text{mkvar}(\lambda x. 3, \lambda x. ())$

No notion of *ref-equality*

Spurious non-equivalences:

$$x := !x \quad \text{vs.} \quad ()$$
$$x := V; !x \quad \text{vs.} \quad x := V; V$$
$$x := V; x := W \quad \text{vs.} \quad x := W$$

Two ways to model references

Reynolds

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- Theoretically attractive
- but: *bad variables*, failure of full abstraction, ...

Pitts & Stark

- *nu-calculus (1993)*

References are *names*:

$$\begin{aligned} \text{ref } \theta = \text{base type} \\ &\longmapsto \mathcal{N}_\theta \text{ (names)} \end{aligned}$$

- Notion of *resource (name)*:
 - atomic values
 - infinitely many
 - comparable for equality

Full abstraction for HO-references

Reynolds

- *Idealized Algol (1978)*

References are *pairs*:

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Abramsky, Honda, McCusker
[LICS'98]

- HO-references vs. visibility

Pitts & Stark

- *nu-calculus (1993)*

References are *names*:

$$\begin{aligned} \text{ref } \theta &= \text{base type} \\ &\longmapsto \mathcal{N}_\theta \text{ (names)} \end{aligned}$$

Tz. [LICS'07]

- Not directly presentable

$$M_1 \cong M_2 \text{ iff } \llbracket M_1 \rrbracket \cong \llbracket M_2 \rrbracket$$

Our model

Built using **game semantics**

Uses a built-in notion of **names** and
games which **carry the store** around

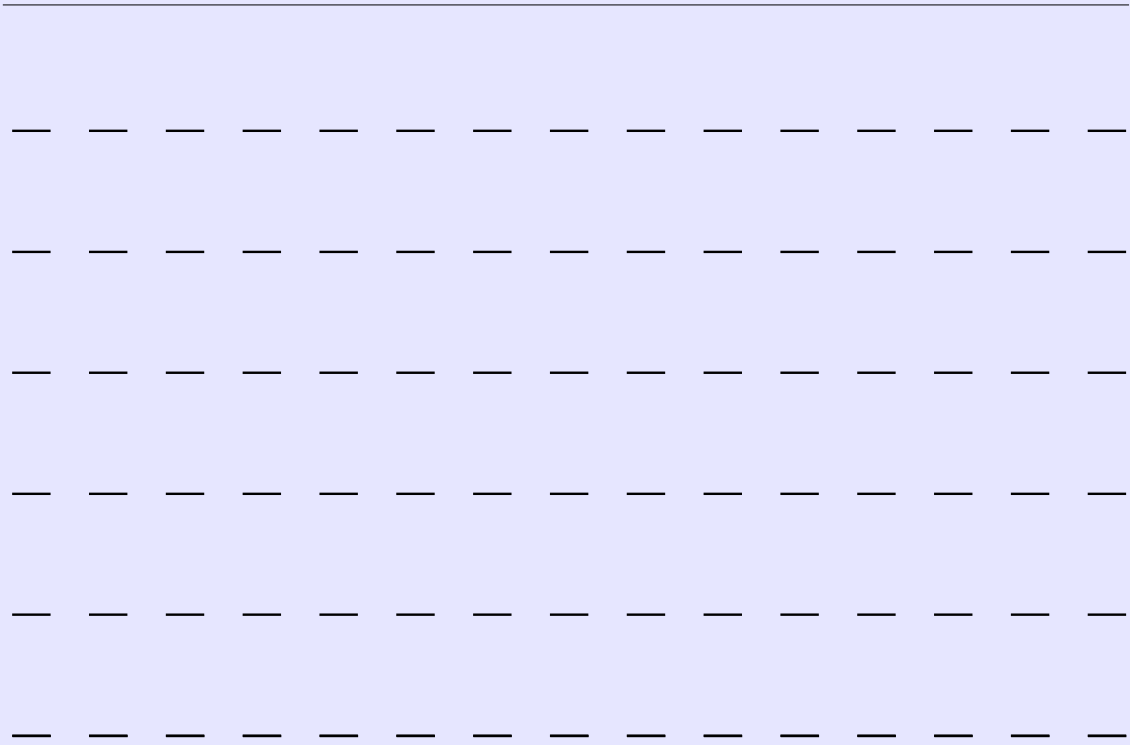
Game Semantics

- Computation is modelled as a 2-player game between:
 - *Opponent* (the environment)
 - *Proponent* (the program)

Example

$\vdash \lambda x. x+1 : \text{int} \rightarrow \text{int}$

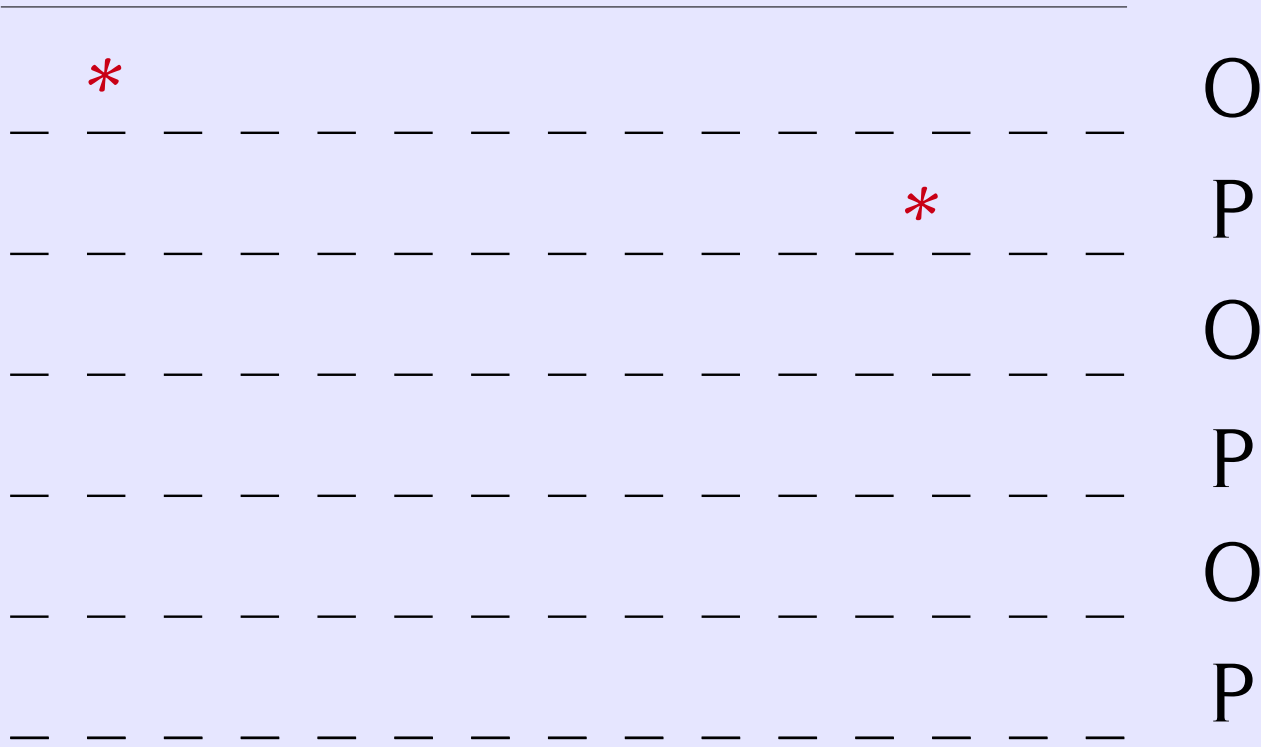
$1 \longrightarrow \text{Int} \rightarrow \text{Int}$



Example

$\vdash \lambda x. x+1 : \text{int} \rightarrow \text{int}$

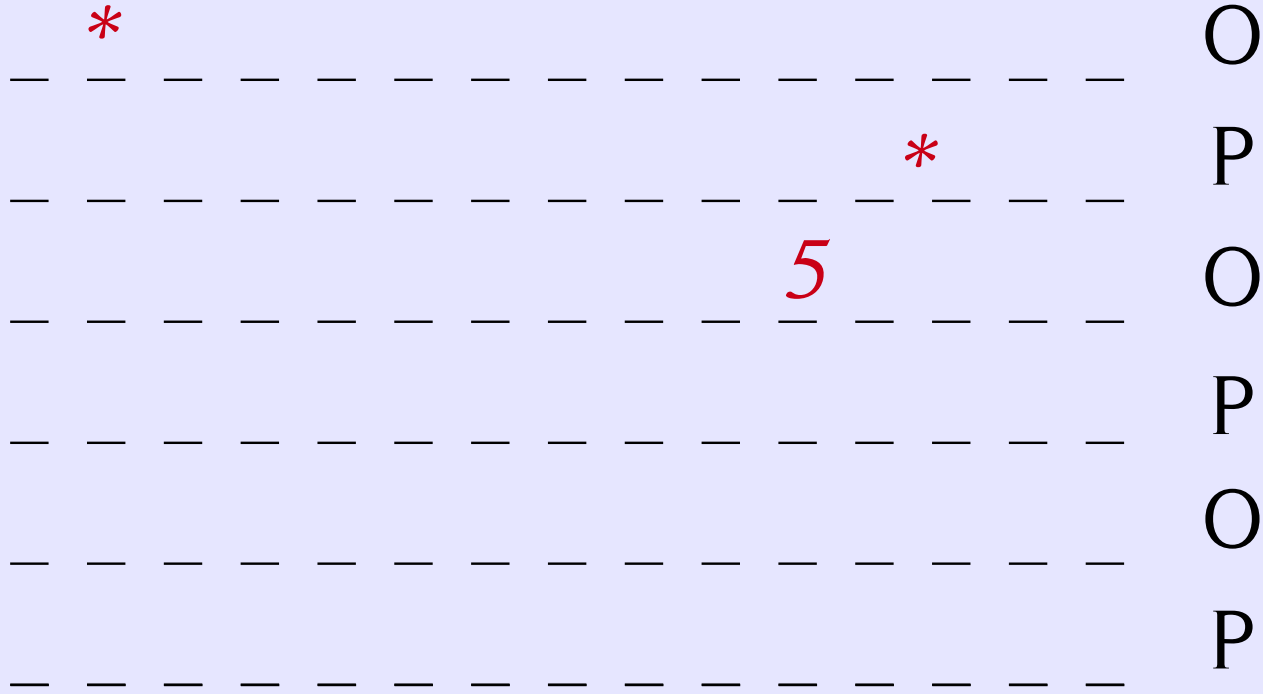
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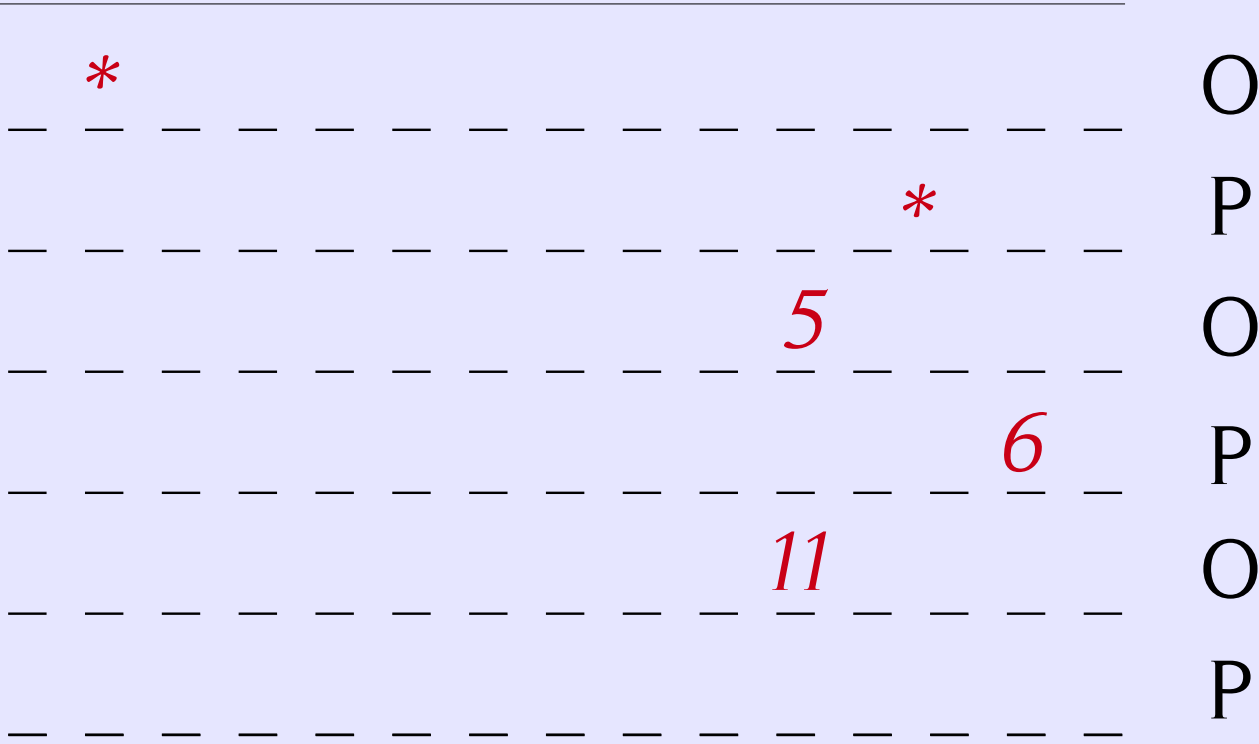
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Example

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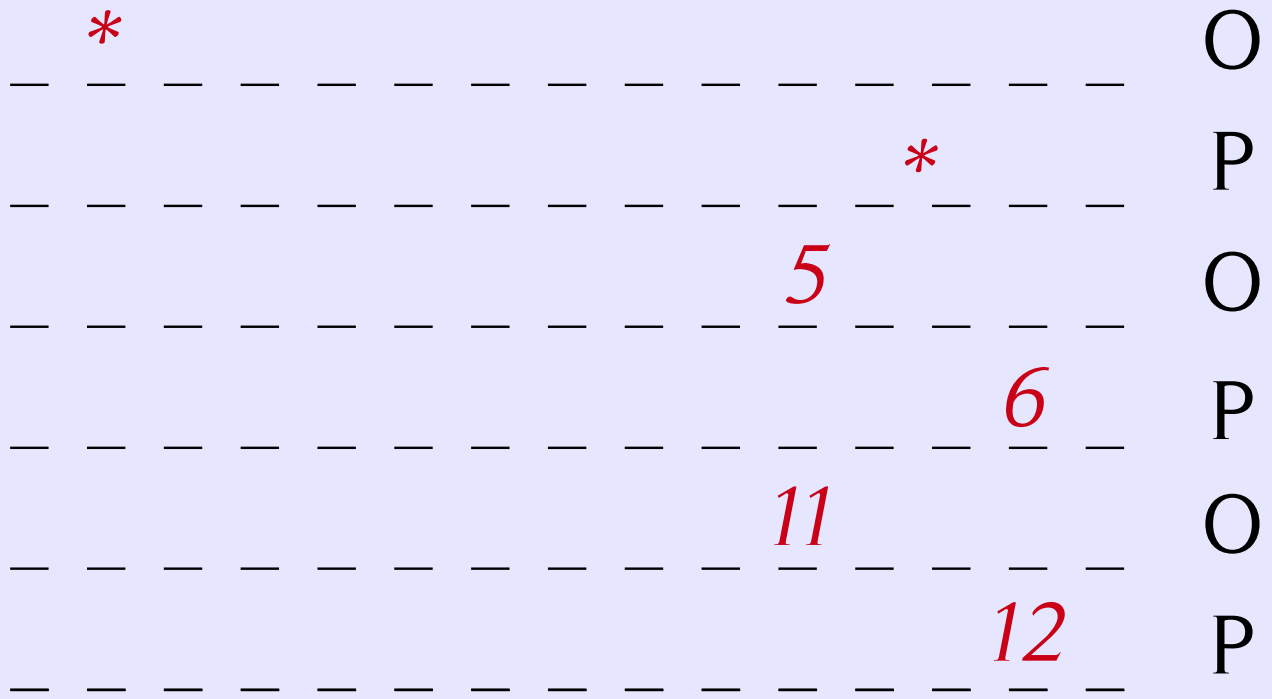
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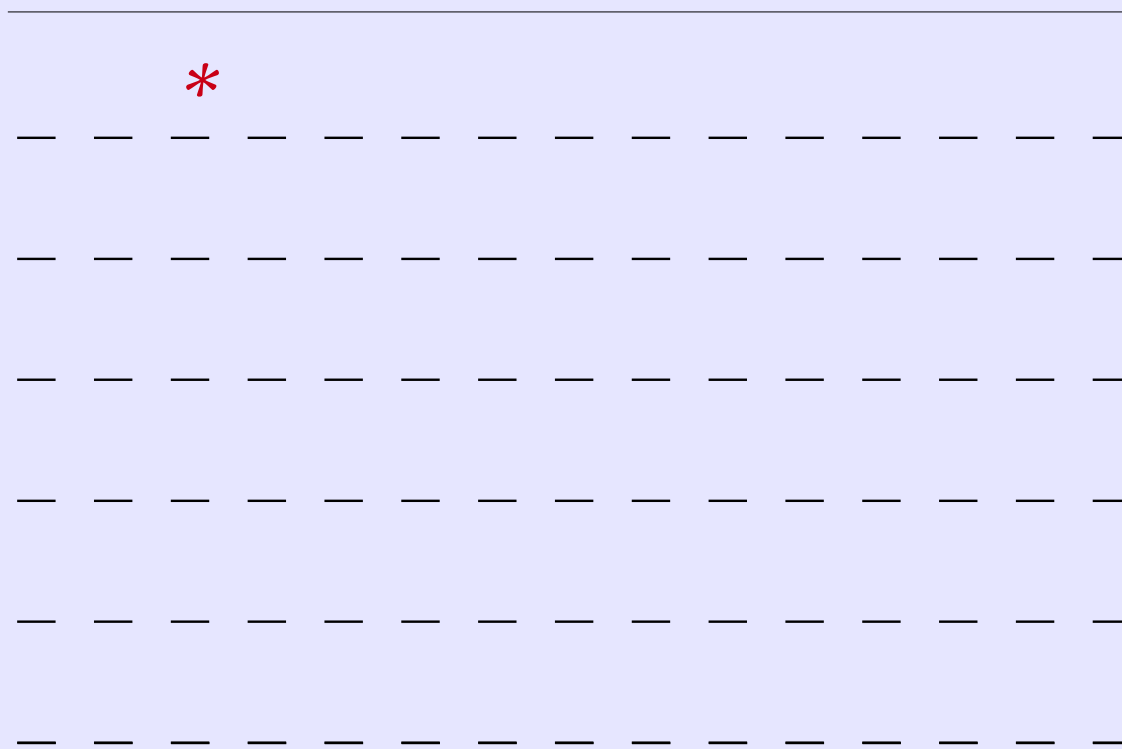
$1 \longrightarrow \text{Int} \rightarrow \text{Int}$



Example

$f : \text{int} \rightarrow \text{int} \vdash \lambda x. f(x)+1 : \text{int} \rightarrow \text{int}$

$\text{Int} \rightarrow \text{Int} \longrightarrow \text{Int} \rightarrow \text{Int}$

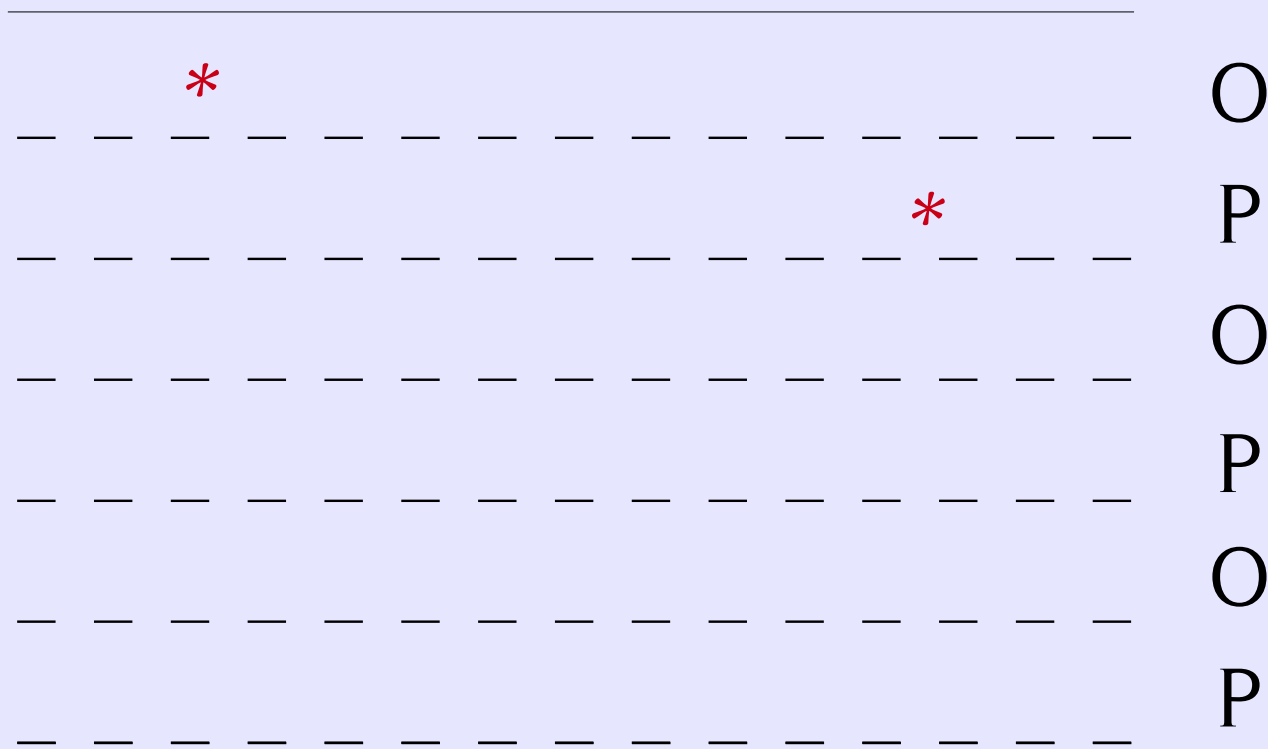


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Example

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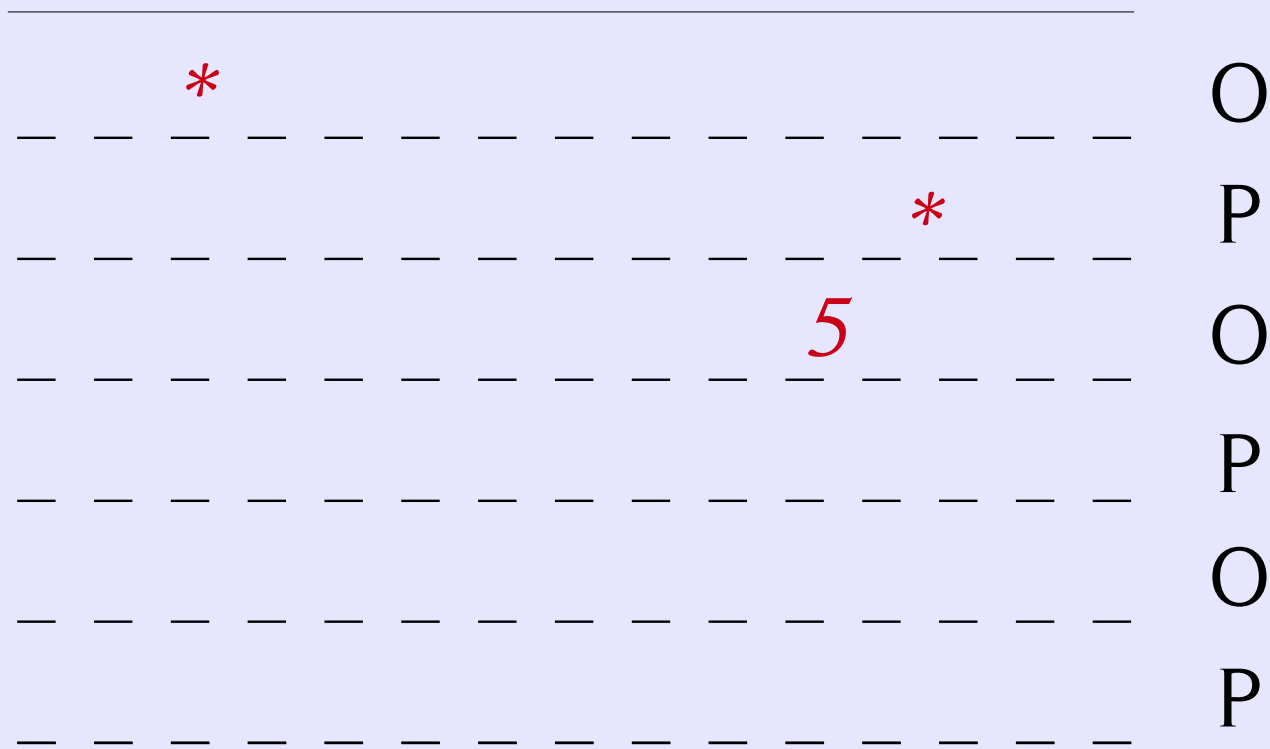
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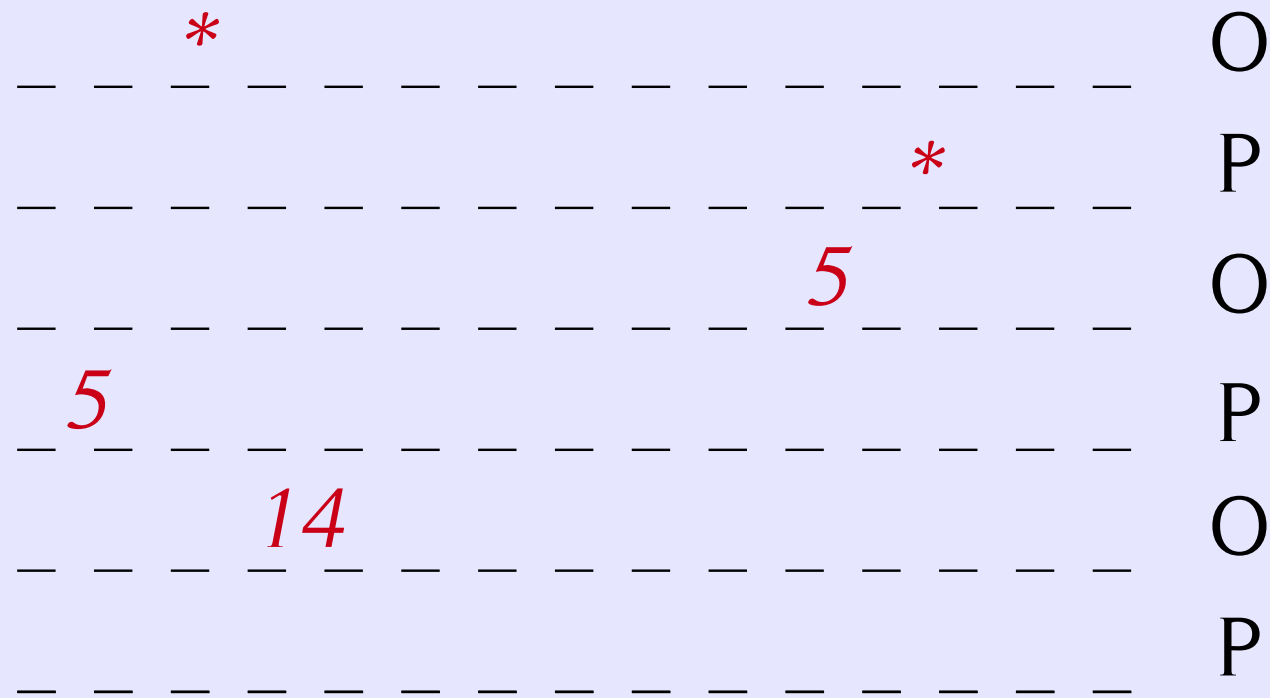
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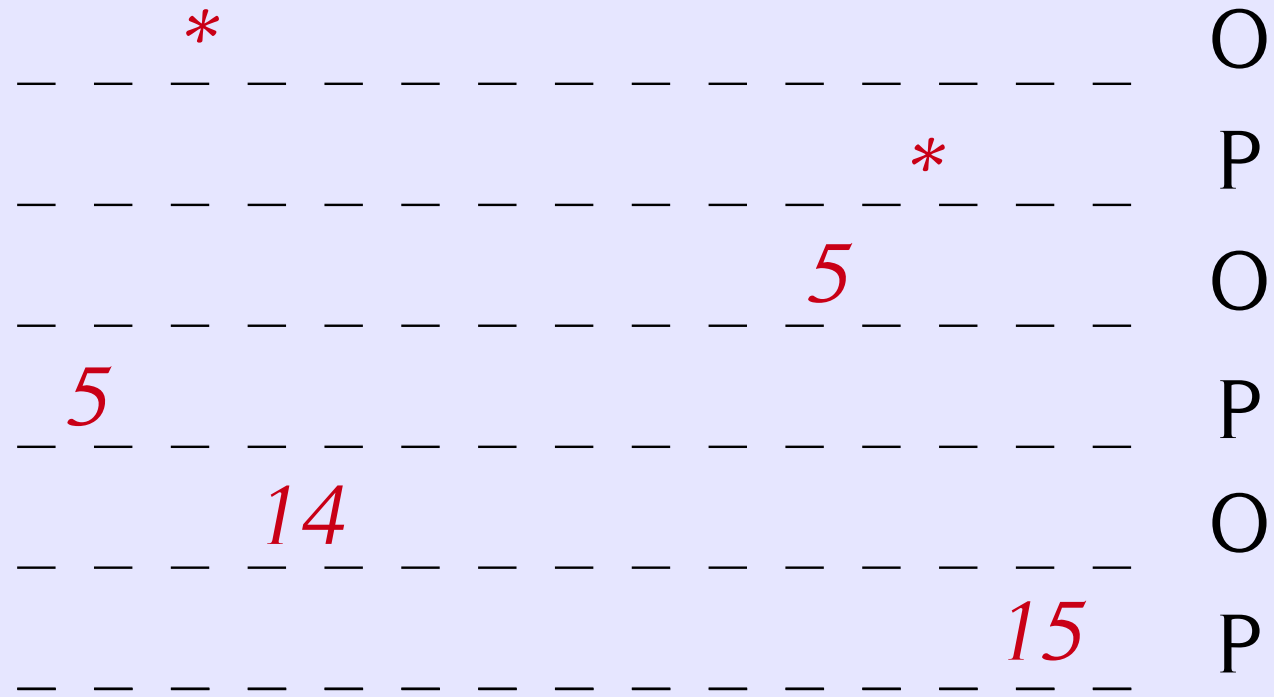
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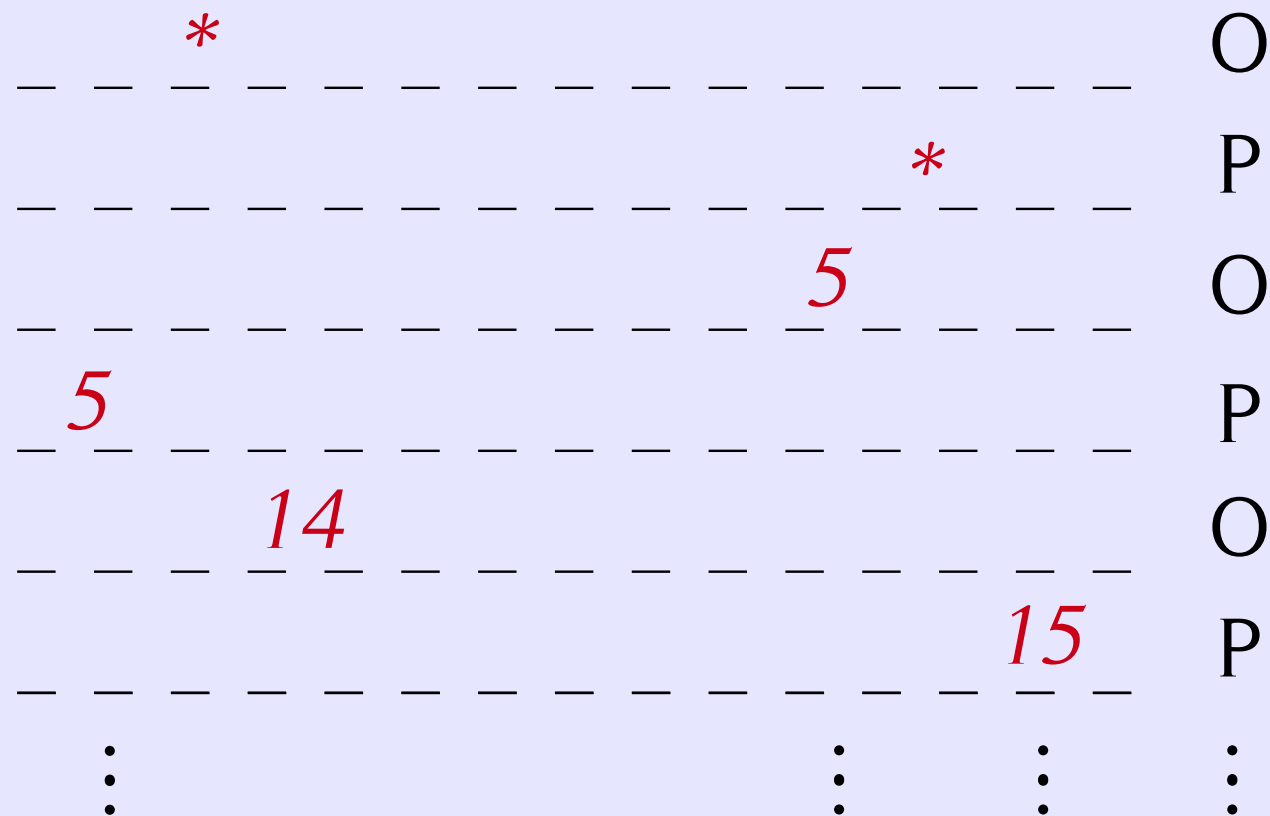
$\text{Int} \rightarrow \text{Int} \longrightarrow \text{Int} \rightarrow \text{Int}$



Example

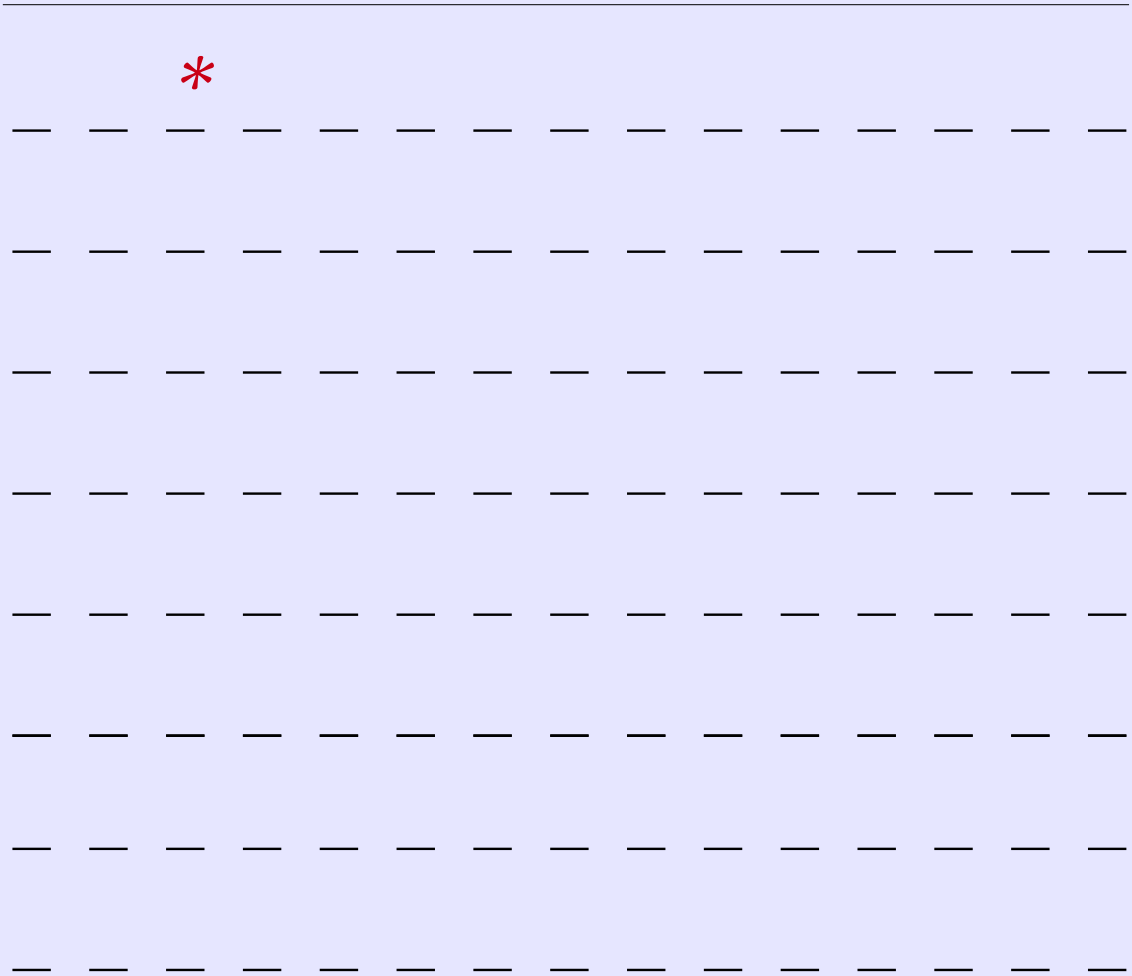
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$\text{Int} \rightarrow \text{Int} \longrightarrow \text{Int} \rightarrow \text{Int}$



Example

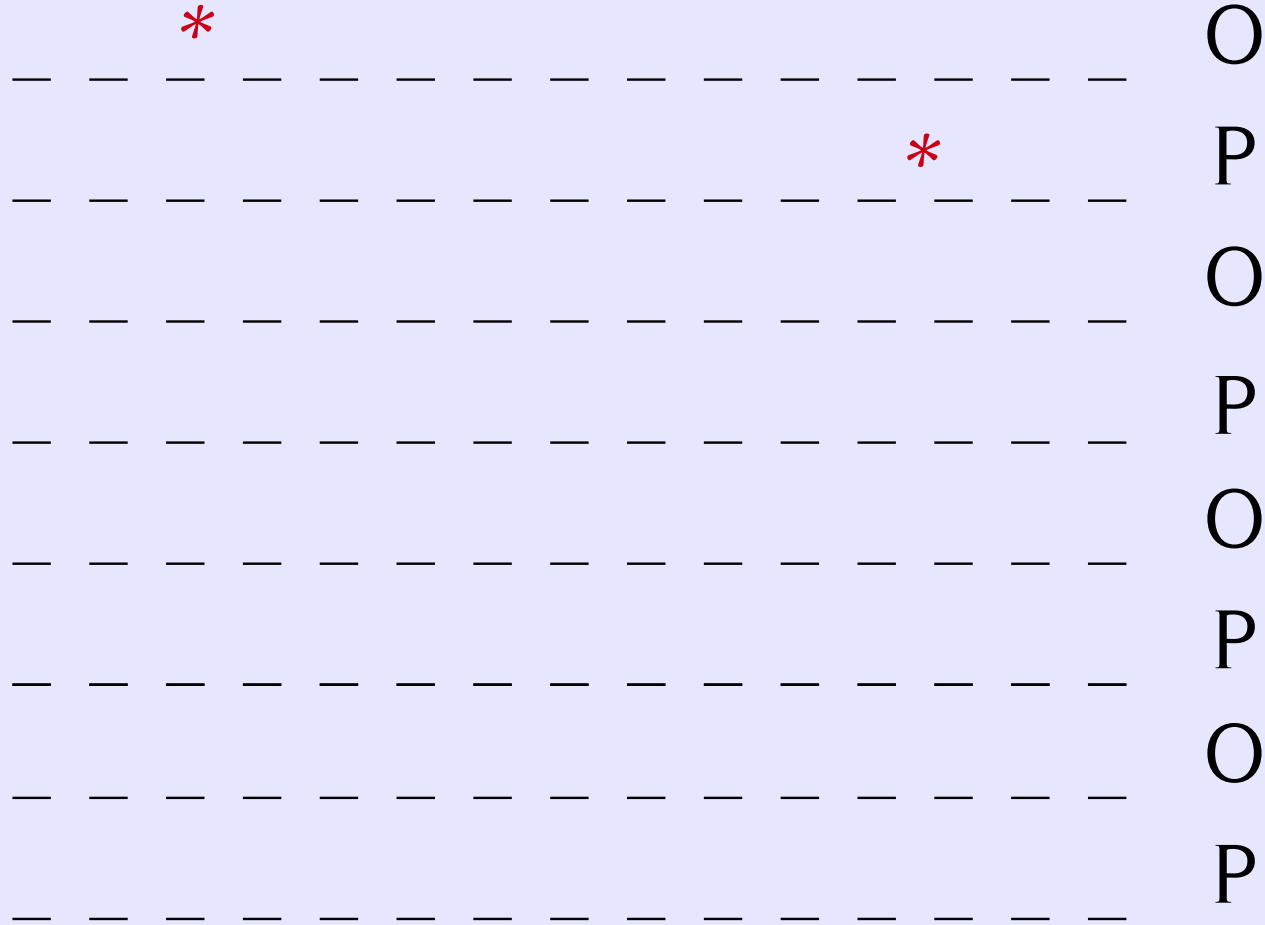
$Int \rightarrow Int \longrightarrow Int \rightarrow Int$



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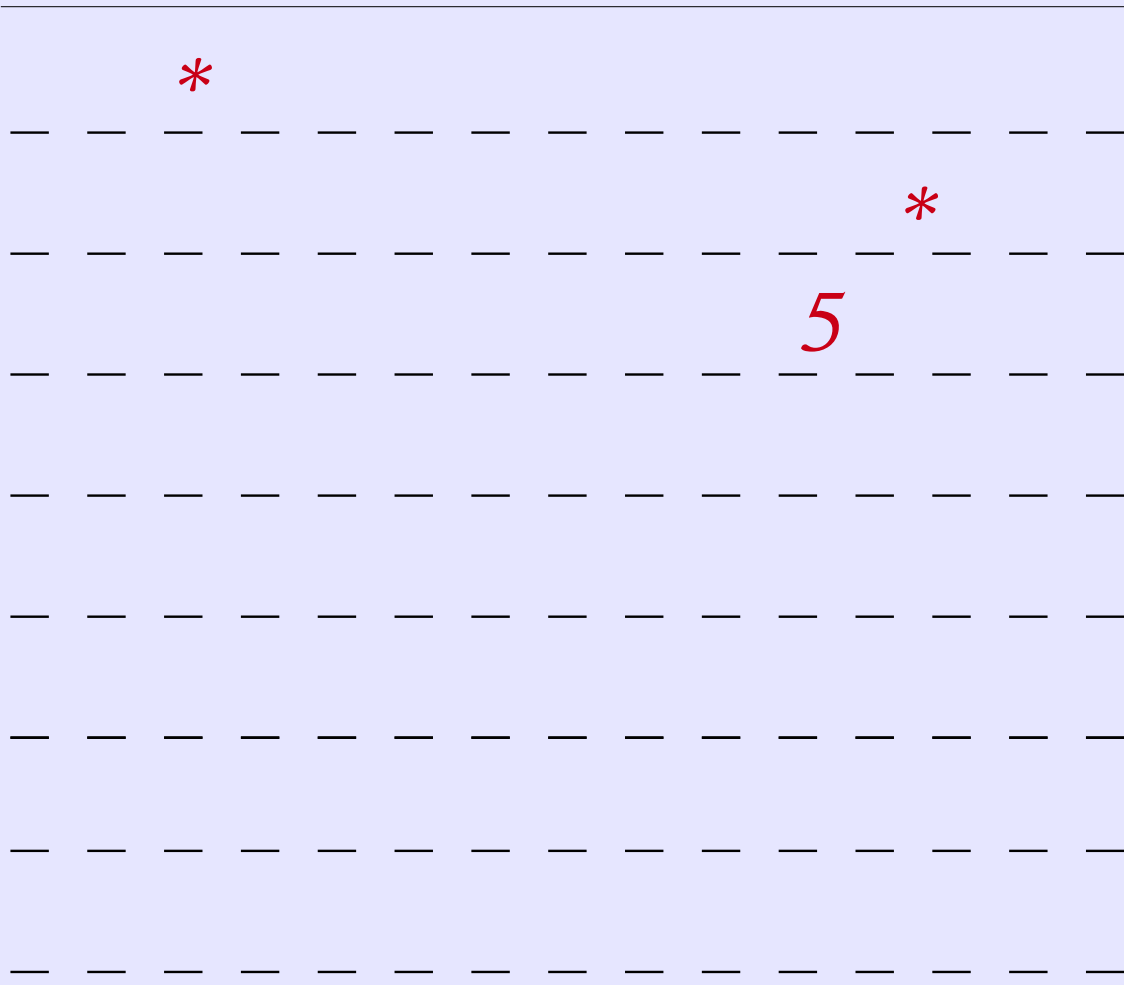
Example

$Int \rightarrow Int \longrightarrow Int \rightarrow Int$



Example

$Int \rightarrow Int \longrightarrow Int \rightarrow Int$



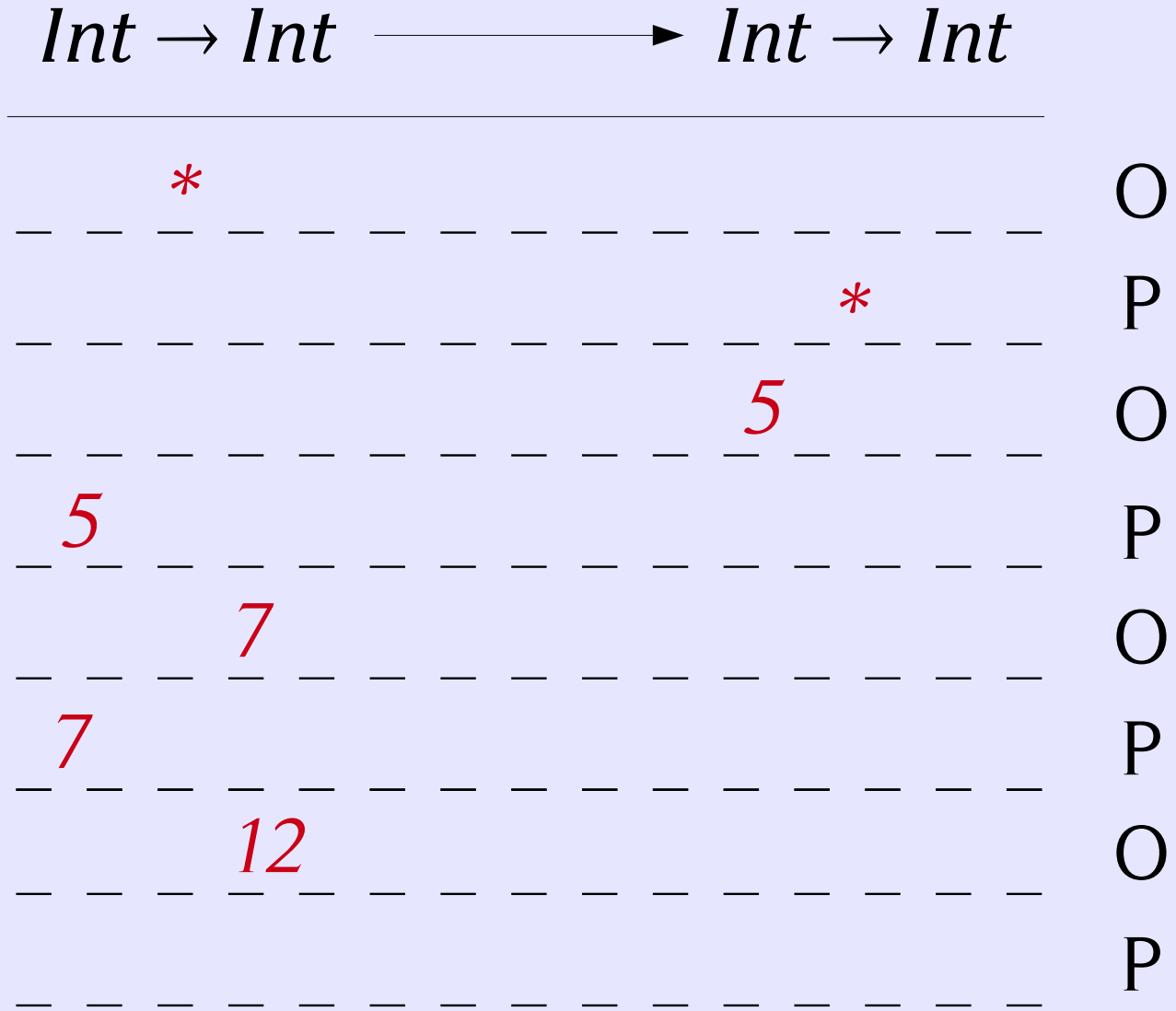
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Example

$Int \rightarrow Int \longrightarrow Int \rightarrow Int$



Example



Example

$Int \rightarrow Int \longrightarrow Int \rightarrow Int$



Example

$Int \rightarrow Int \longrightarrow Int \rightarrow Int$



$f : \text{int} \rightarrow \text{int} \vdash \lambda x. f(f(x))+1 : \text{int} \rightarrow \text{int}$

$\text{Int} \rightarrow \text{Int} \longrightarrow \text{Int} \rightarrow \text{Int}$



Game Semantics

- Computation is modelled as a 2-player game between:
 - *Opponent* (the environment)
 - *Proponent* (the program)
- Qualitative games
- Programs = *strategies* for Proponent

Composition

$\vdash \lambda x.x+1 : \text{int} \rightarrow \text{int}$

$f : \text{int} \rightarrow \text{int} \vdash \lambda x.f(x)+1 : \text{int} \rightarrow \text{int}$

Composition

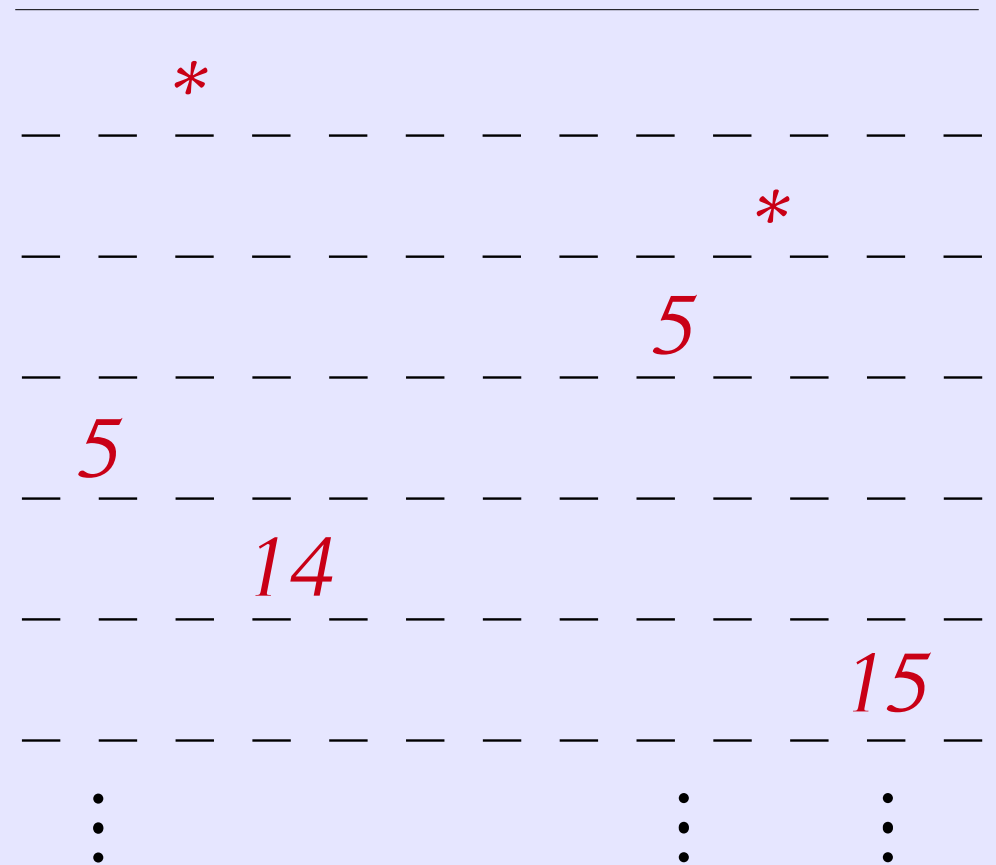
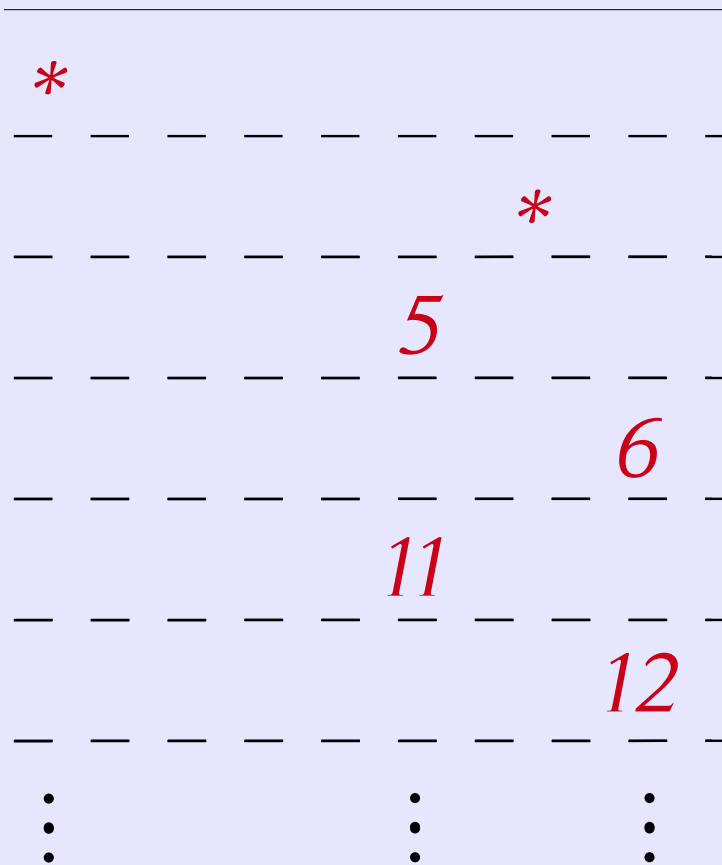
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Composition

$1 \longrightarrow \text{Int} \rightarrow \text{Int}$

$\text{Int} \rightarrow \text{Int} \longrightarrow \text{Int} \rightarrow \text{Int}$



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Composition

$1 \longrightarrow \text{Int} \rightarrow \text{Int}$

$\text{Int} \rightarrow \text{Int} \longrightarrow \text{Int} \rightarrow \text{Int}$

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⋮ *⋮* *⋮*

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⋮ *⋮* *⋮*

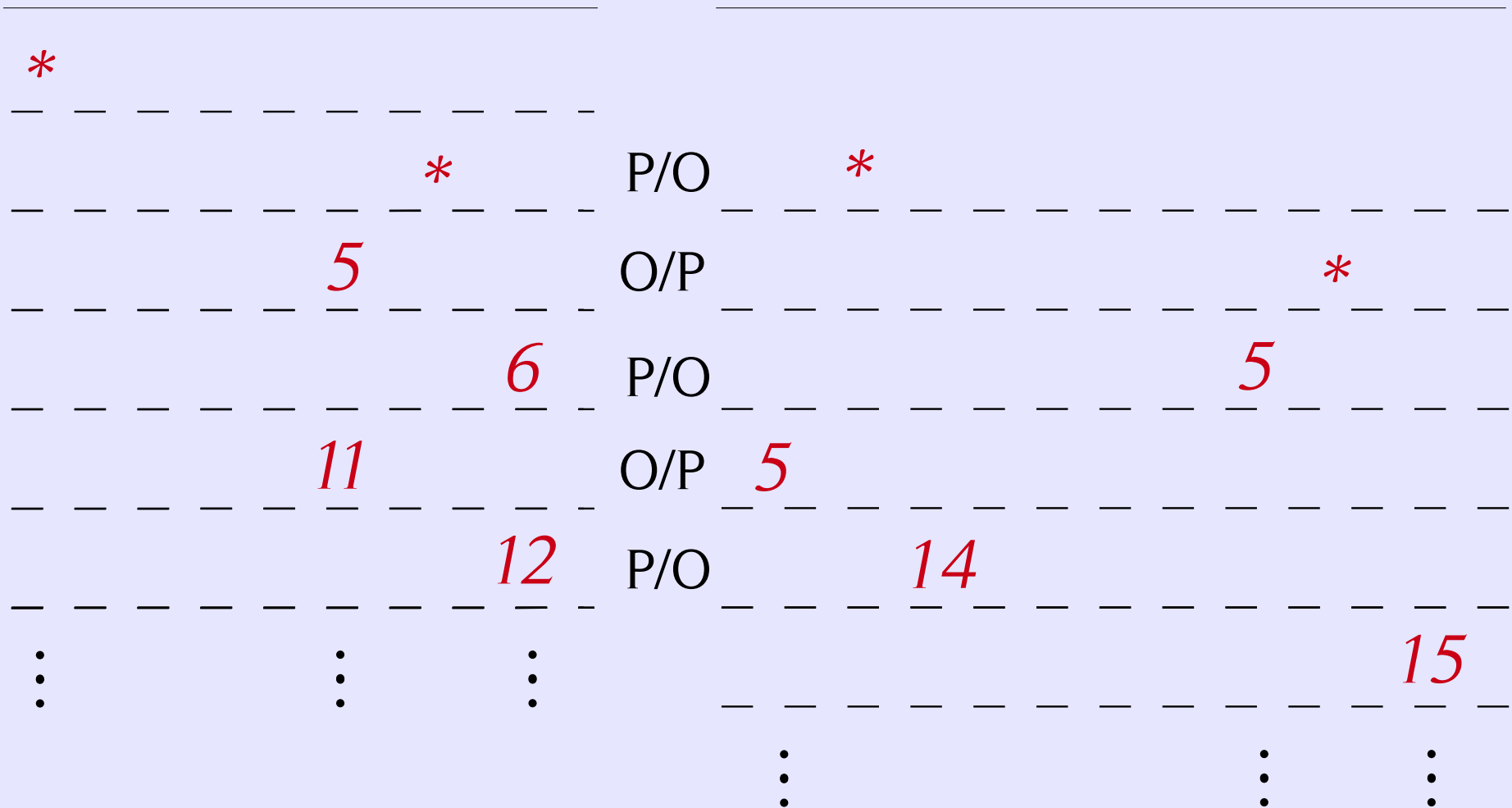
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$\text{Int} \rightarrow \text{Int} \longrightarrow \text{Int} \rightarrow \text{Int}$

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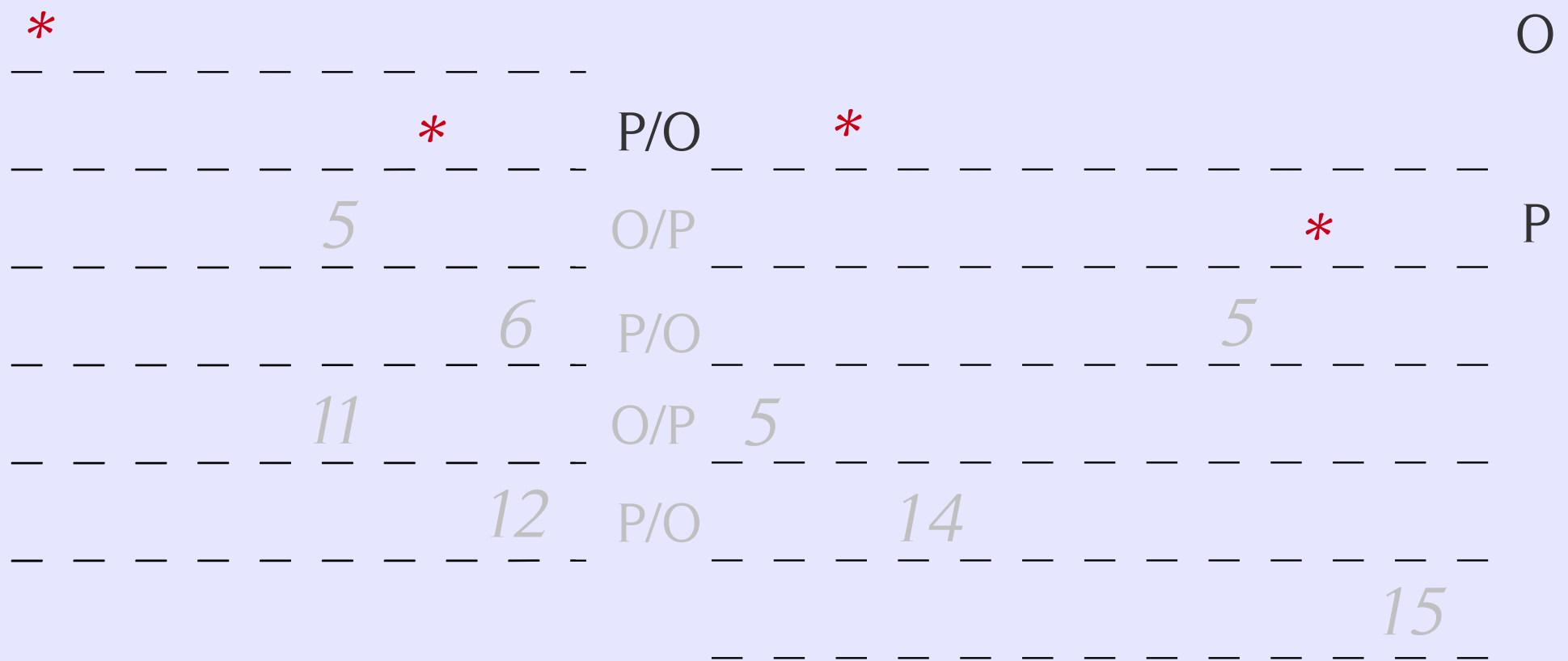
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Composition

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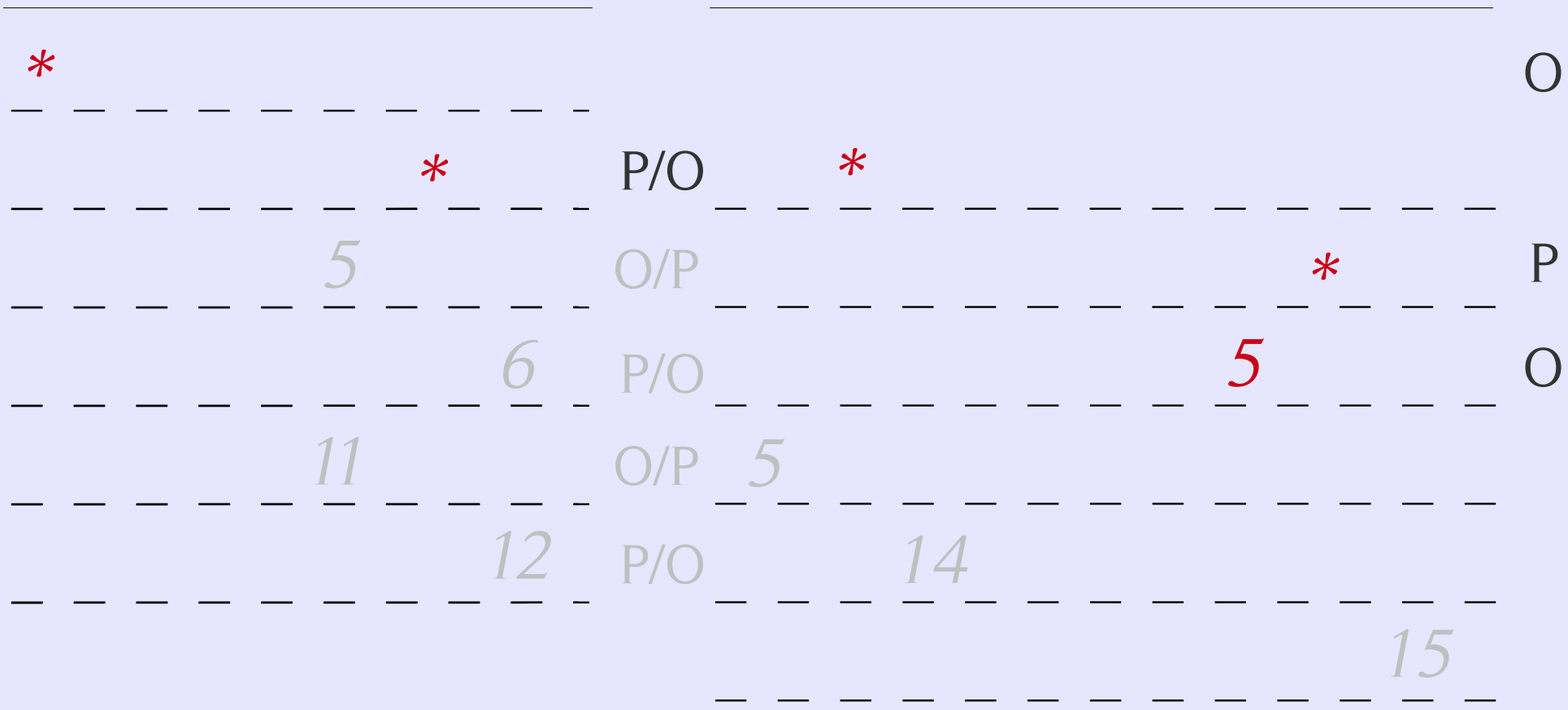
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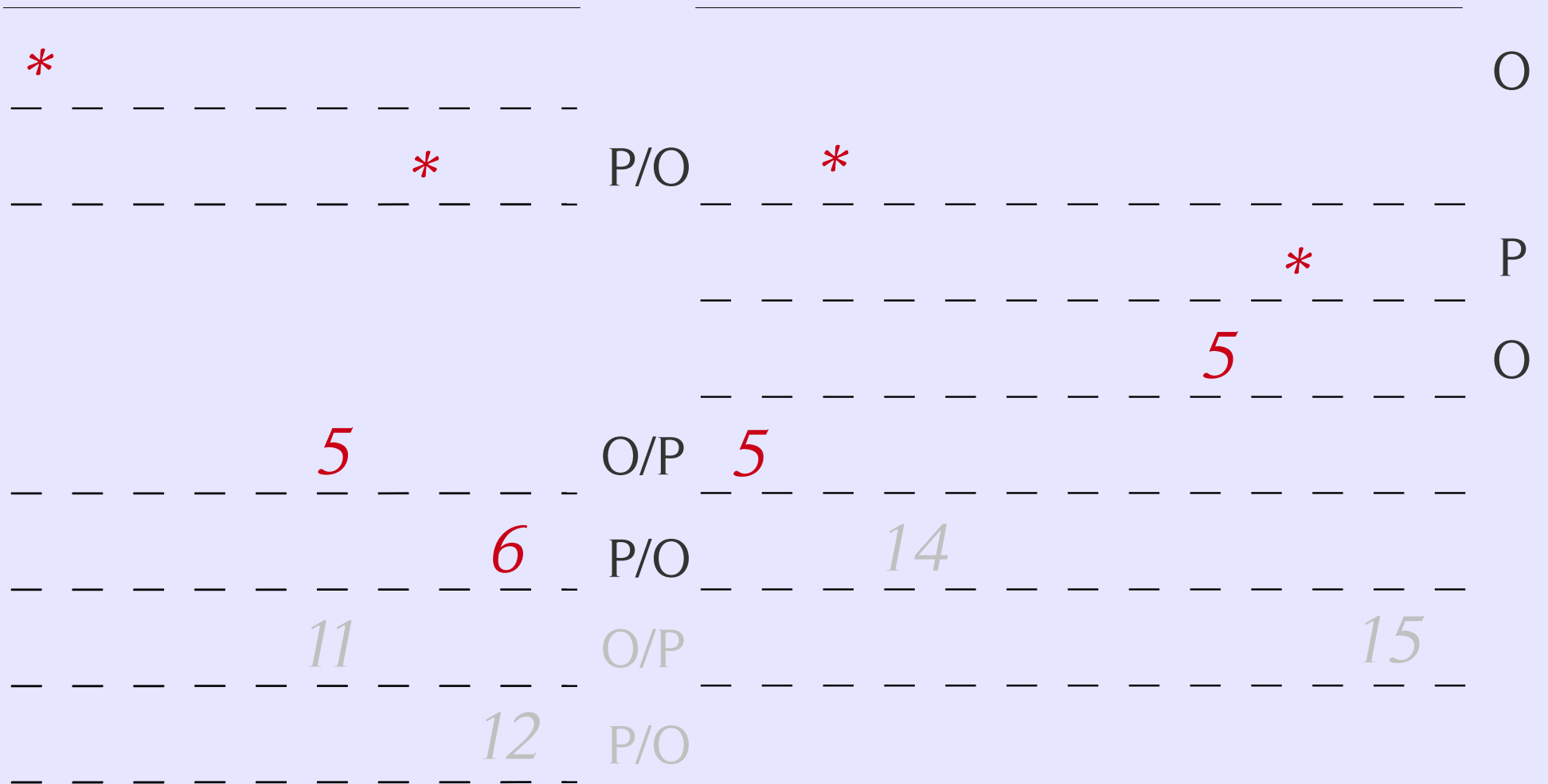
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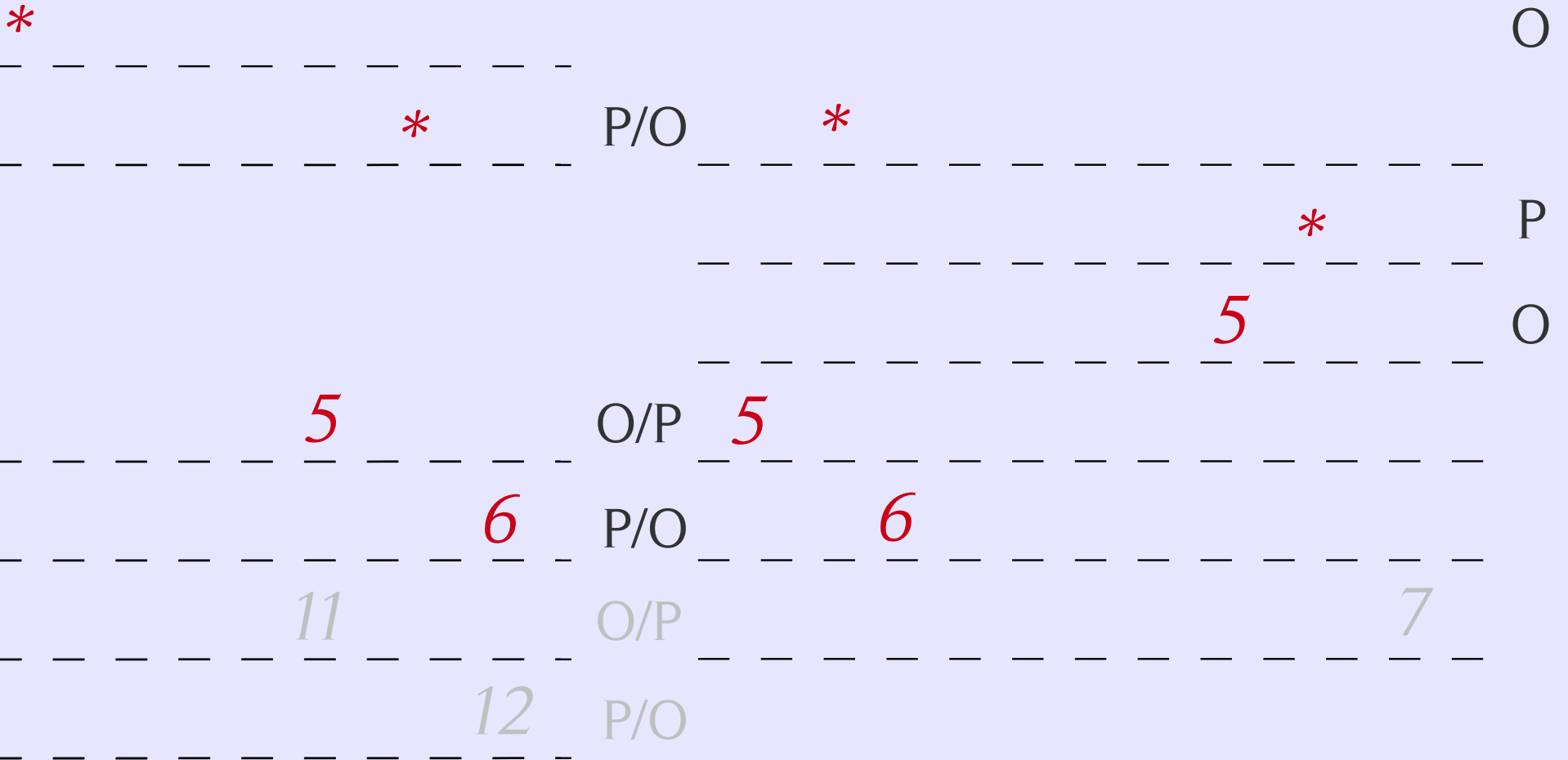
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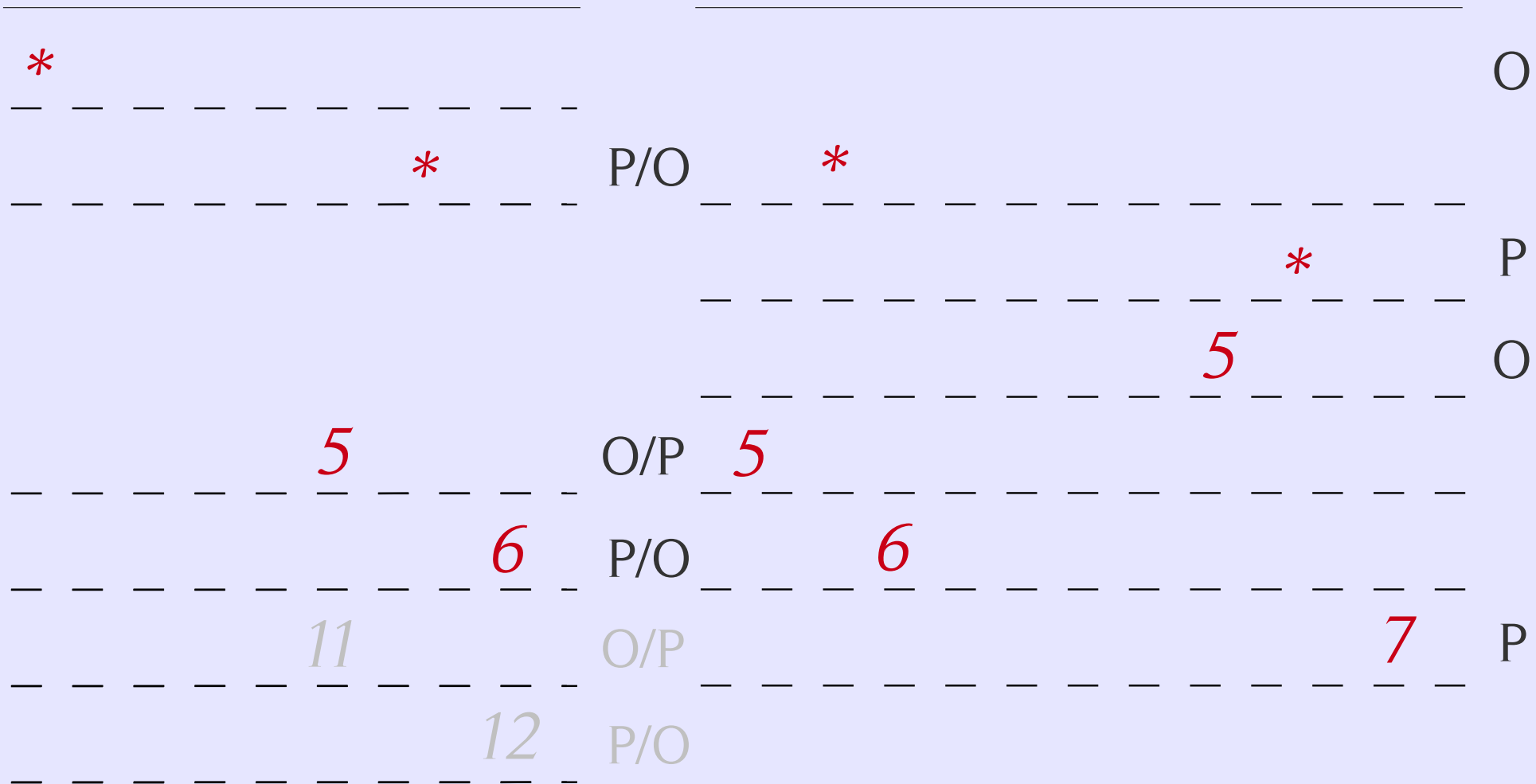
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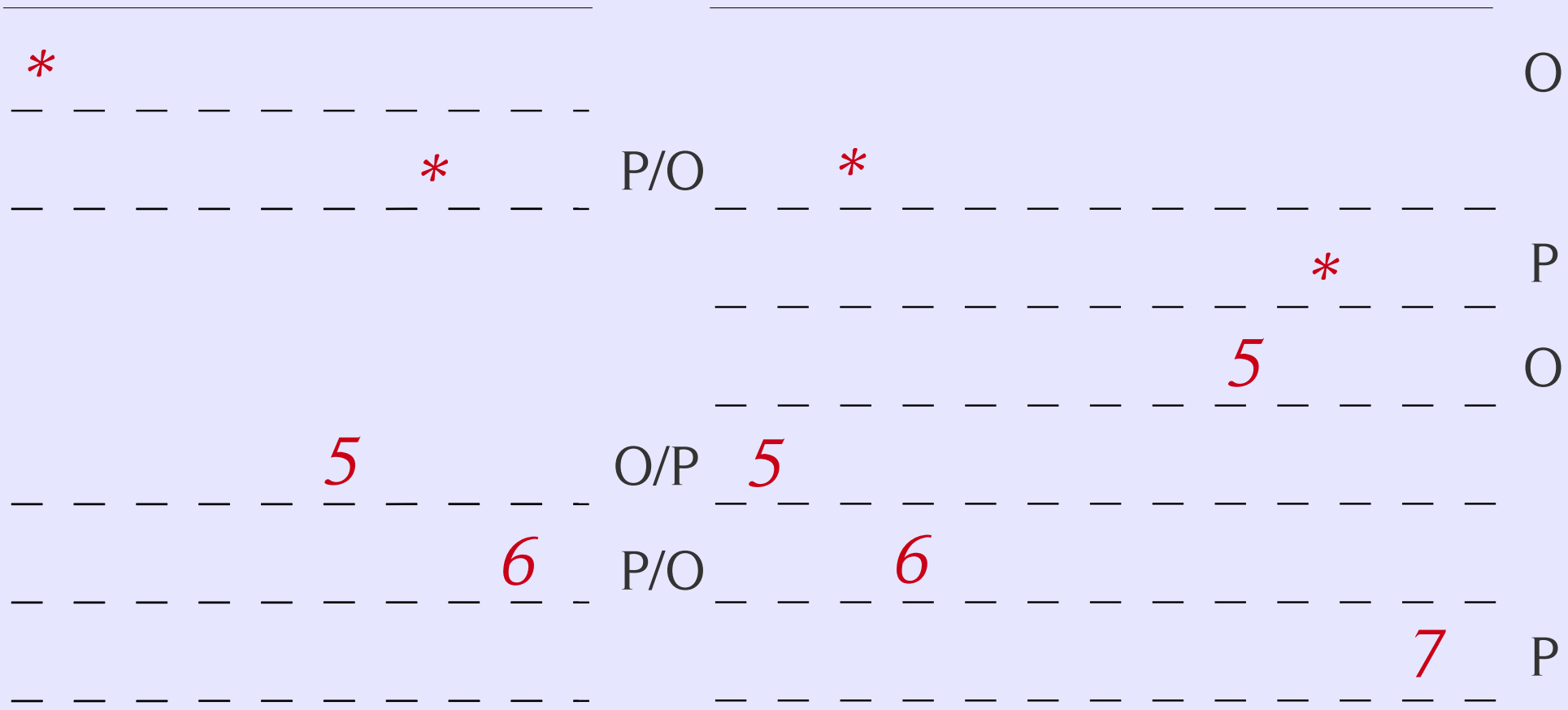
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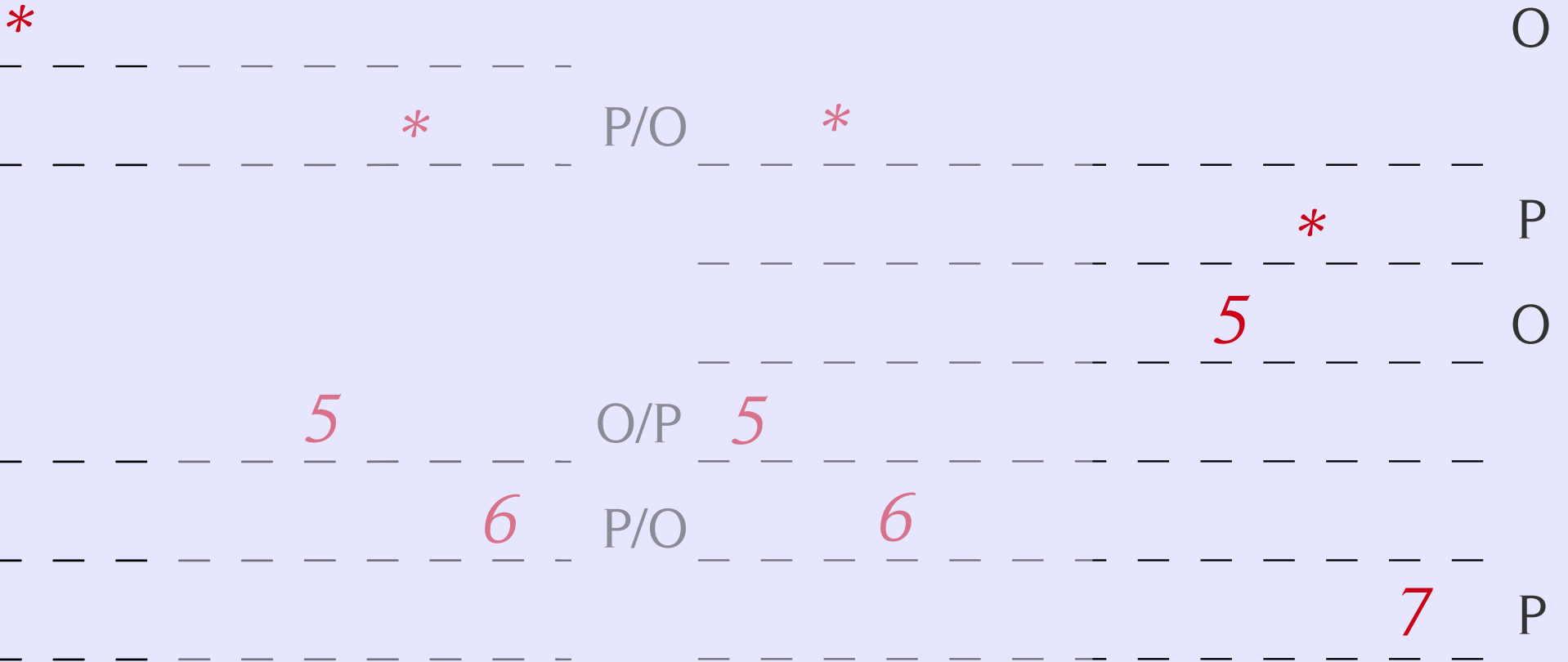
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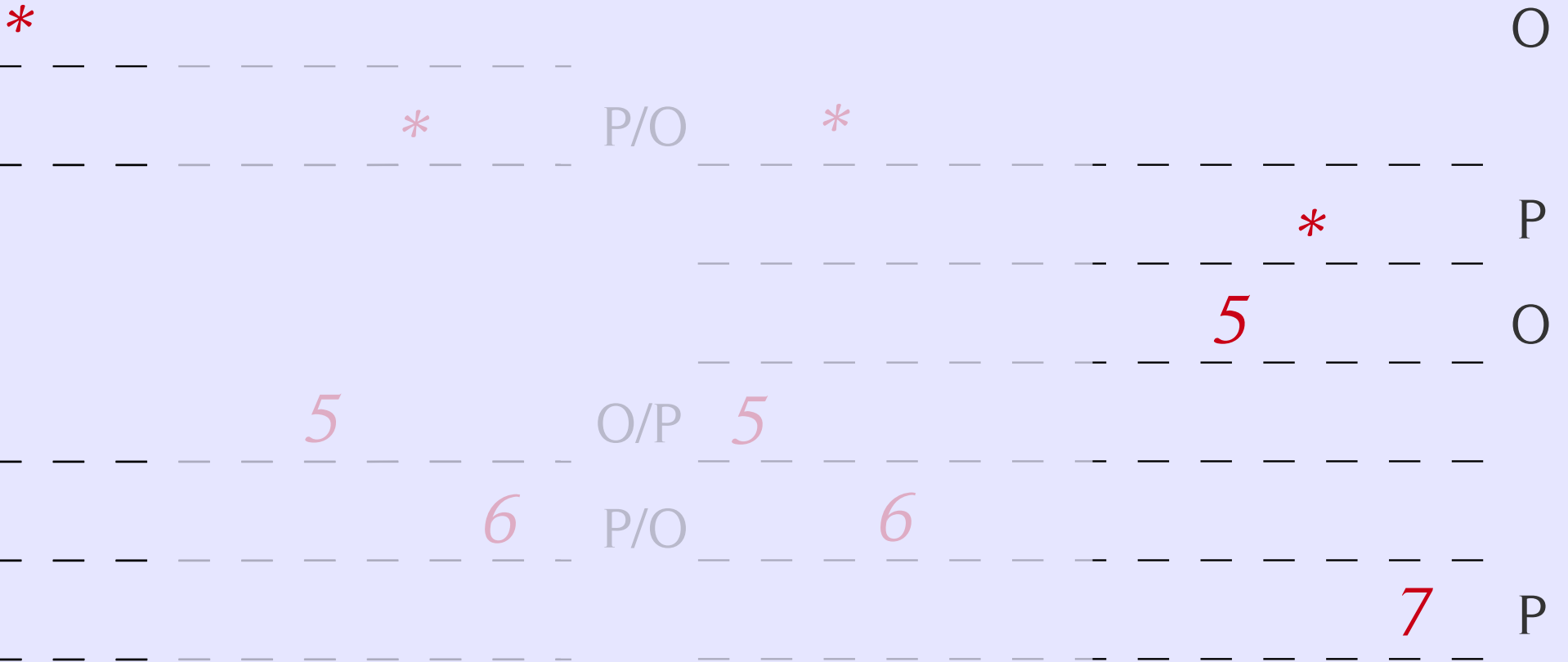
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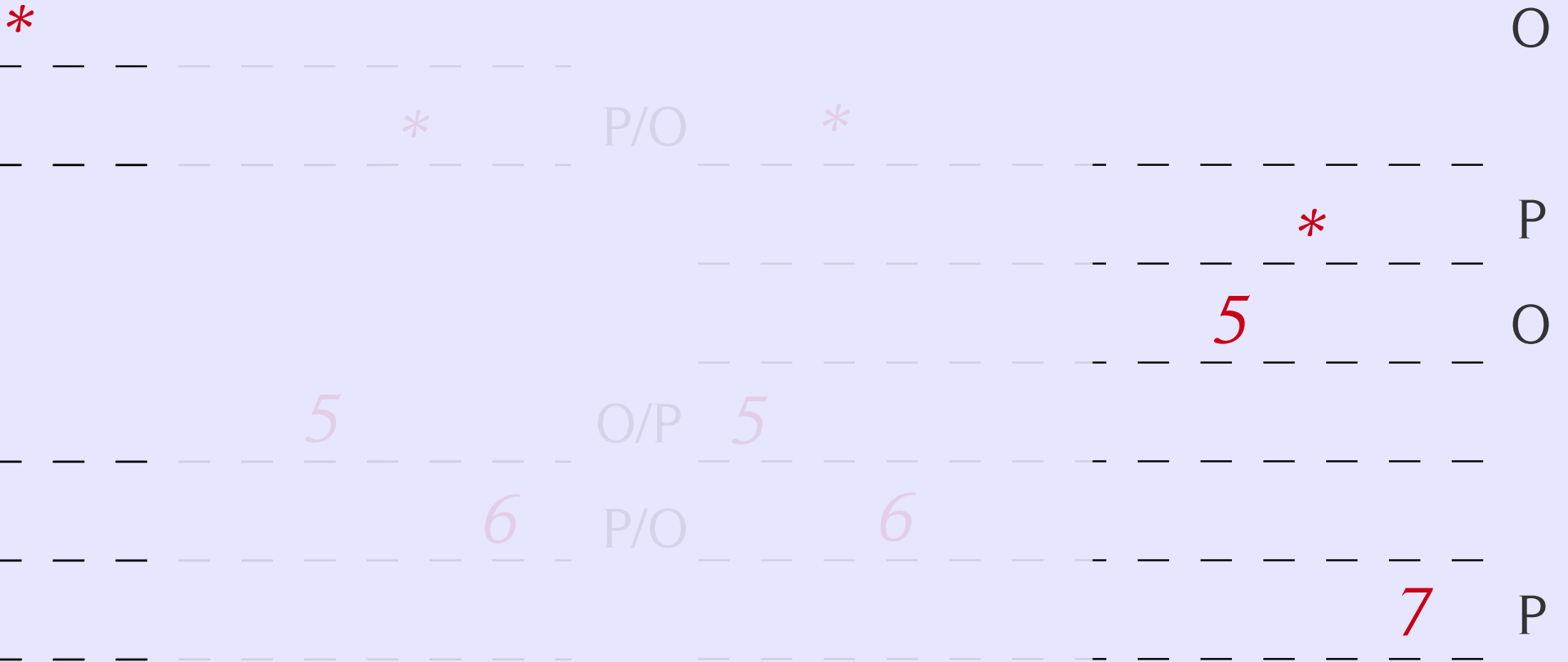
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$\text{Int} \rightarrow \text{Int} \longrightarrow \text{Int} \rightarrow \text{Int}$



$\vdash \lambda x.x+1 : \text{int} \rightarrow \text{int}$

$f : \text{int} \rightarrow \text{int} \vdash \lambda x.f(x)+1 : \text{int} \rightarrow \text{int}$

Composition

1 \longrightarrow *Int* \rightarrow *Int*

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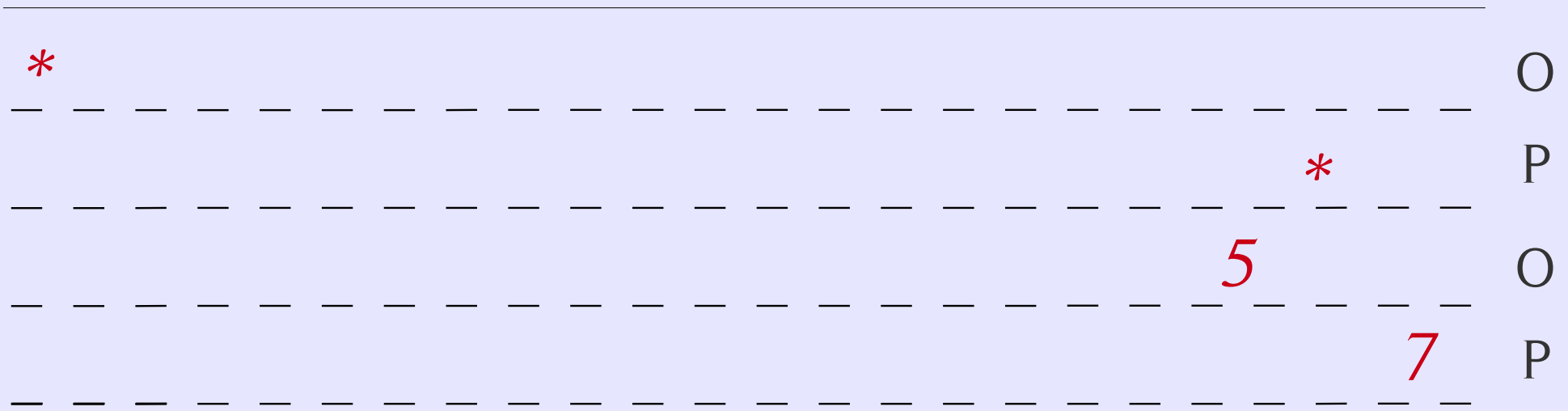
7 P

$\vdash \lambda x.x+1 : \text{int} \rightarrow \text{int}$

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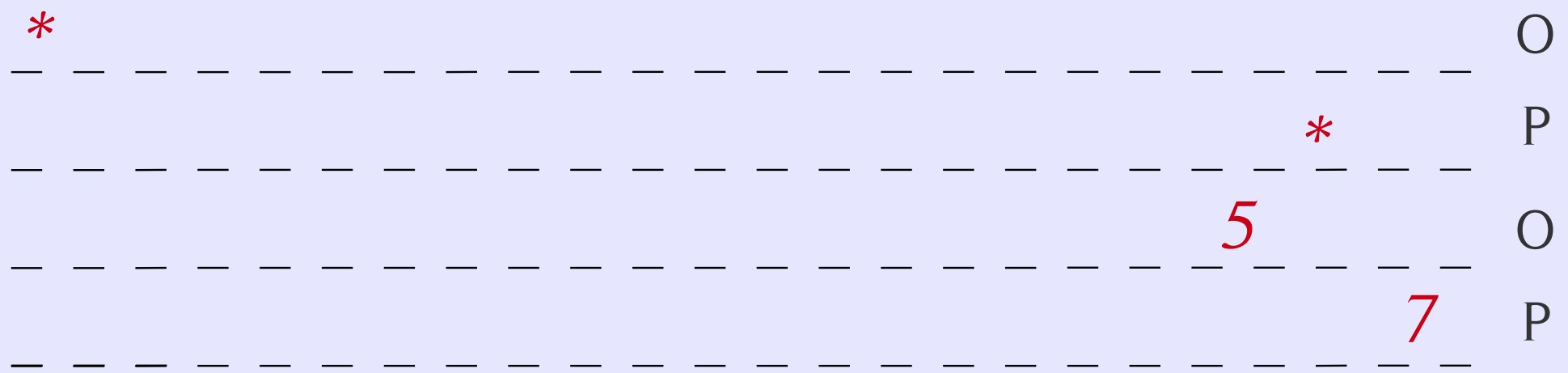


$\vdash \lambda x. x+1 : \text{int} \rightarrow \text{int}$

$f : \text{int} \rightarrow \text{int} \vdash \lambda x. f(x)+1 : \text{int} \rightarrow \text{int}$

Composition

1 \longrightarrow $\text{Int} \rightarrow \text{Int}$



$\vdash \lambda x. x+1 : \text{int} \rightarrow \text{int}$; $f : \text{int} \rightarrow \text{int} \vdash \lambda x. f(x)+1 : \text{int} \rightarrow \text{int}$

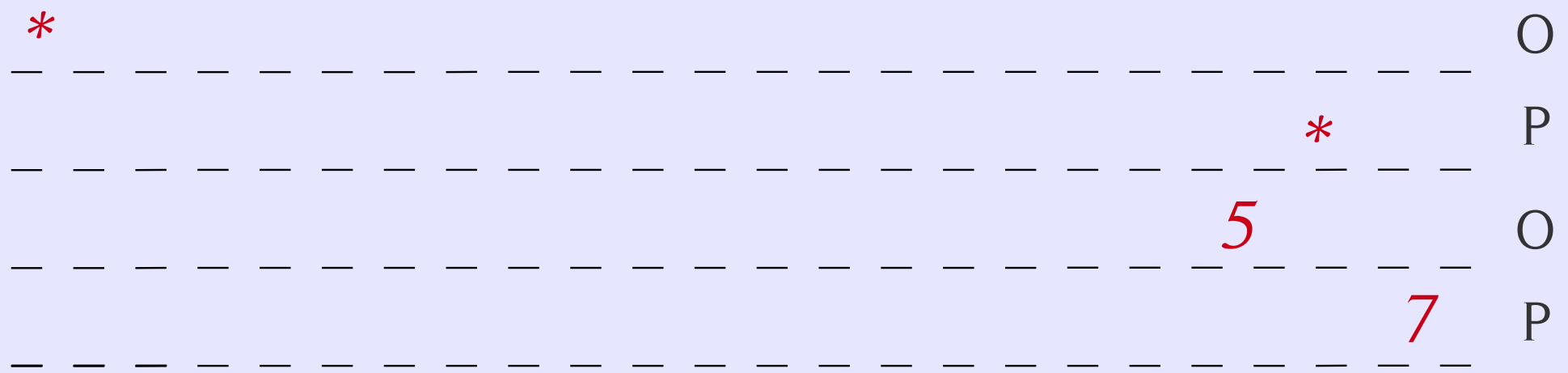
$=$ $\vdash \lambda x. x+2 : \text{int} \rightarrow \text{int}$

$\vdash \lambda x.x+1 : \text{int} \rightarrow \text{int}$

$f : \text{int} \rightarrow \text{int} \vdash \lambda x.f(x)+1 : \text{int} \rightarrow \text{int}$

Composition

1 \longrightarrow $\text{Int} \rightarrow \text{Int}$



$\vdash \lambda x.x+1 : \text{int} \rightarrow \text{int}$; $f : \text{int} \rightarrow \text{int} \vdash \lambda x.f(x)+1 : \text{int} \rightarrow \text{int}$

$= \vdash \lambda x.x+2 : \text{int} \rightarrow \text{int}$

$$A \xrightarrow{\sigma} B \xrightarrow{\tau} C = A \xrightarrow{\sigma;\tau} C$$

Game Semantics

- Computation is modelled as a 2-player game between:
 - *Opponent* (the environment)
 - *Proponent* (the program)
- Qualitative games
- Programs = *strategies* for Proponent
- Families (i.e. *categories*) of games

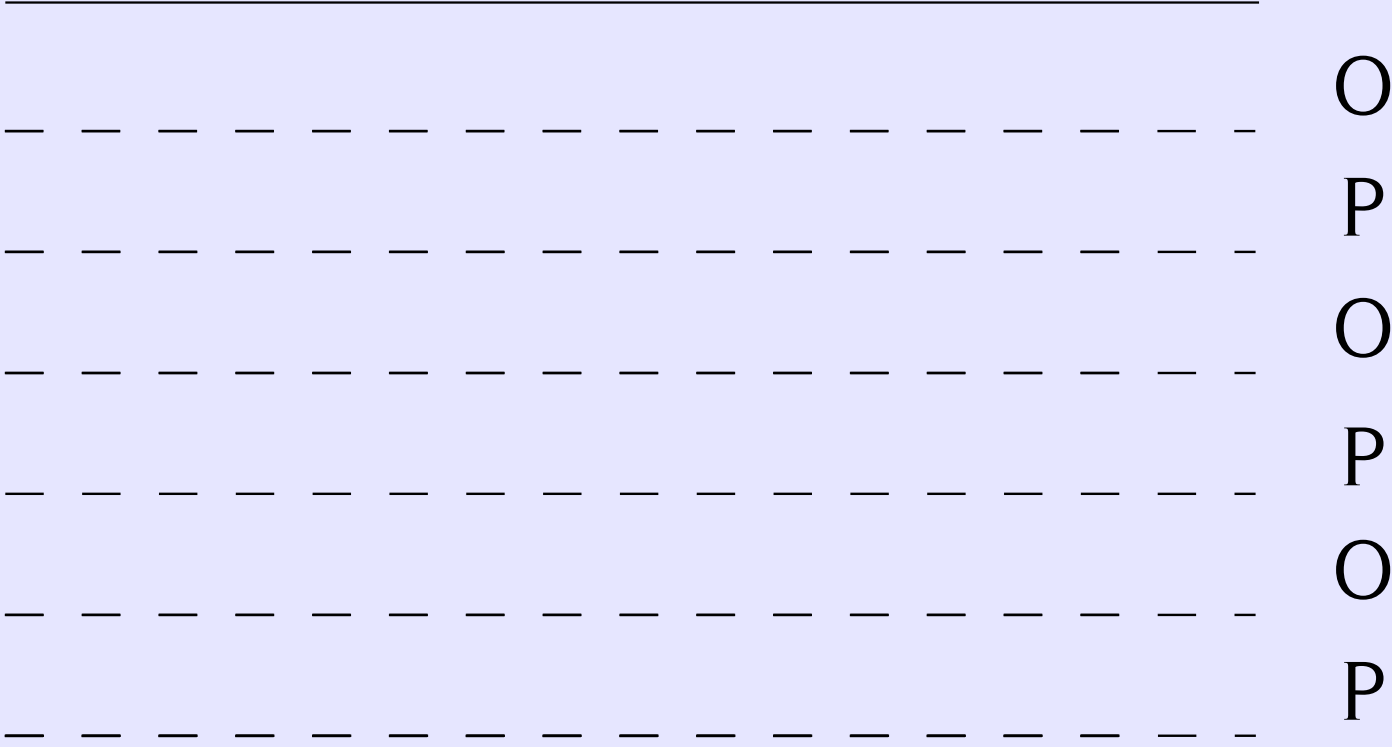
Nominal Game Semantics

- Computation is modelled as a 2-player game between:
 - *Opponent* (the environment)
 - *Proponent* (the program)
- Qualitative games
- Programs = *strategies* for Proponent
- Families (i.e. *categories*) of games
- **Moves with names and stores**

Example

$\vdash \lambda x.\text{ref}(\theta) : \text{unit} \rightarrow \text{refint}$

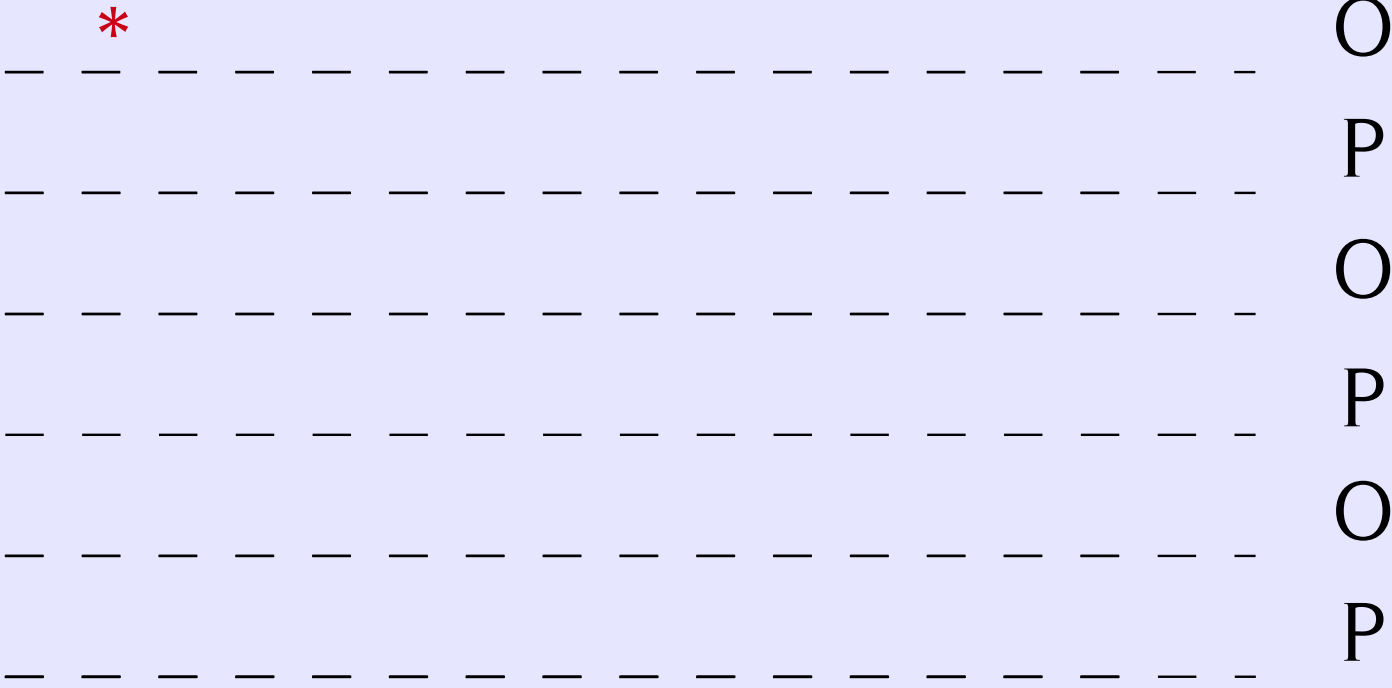
$$1 \longrightarrow 1 \rightarrow \text{Ref}_{Int}$$



Example

$\vdash \lambda x.\text{ref}(\theta) : \text{unit} \rightarrow \text{refint}$

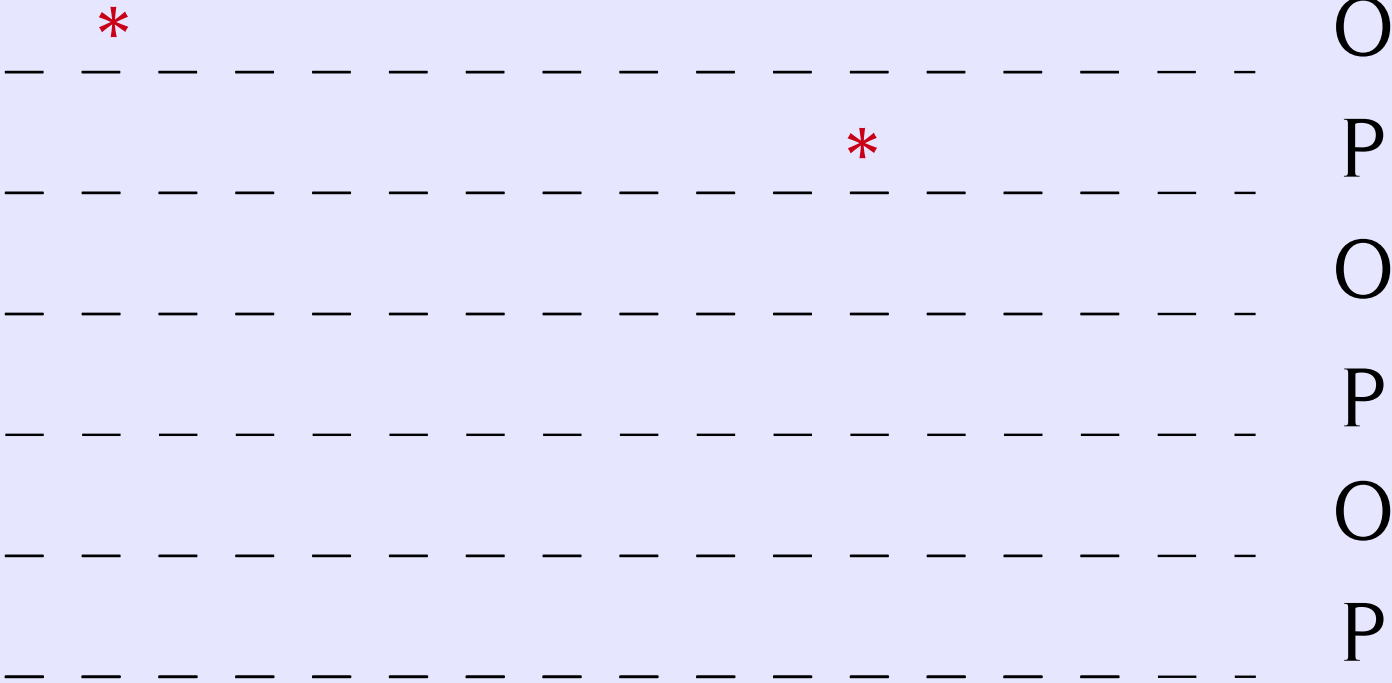
$$1 \longrightarrow 1 \rightarrow \text{Ref}_{Int}$$



Example

$\vdash \lambda x.\text{ref}(\theta) : \text{unit} \rightarrow \text{refint}$

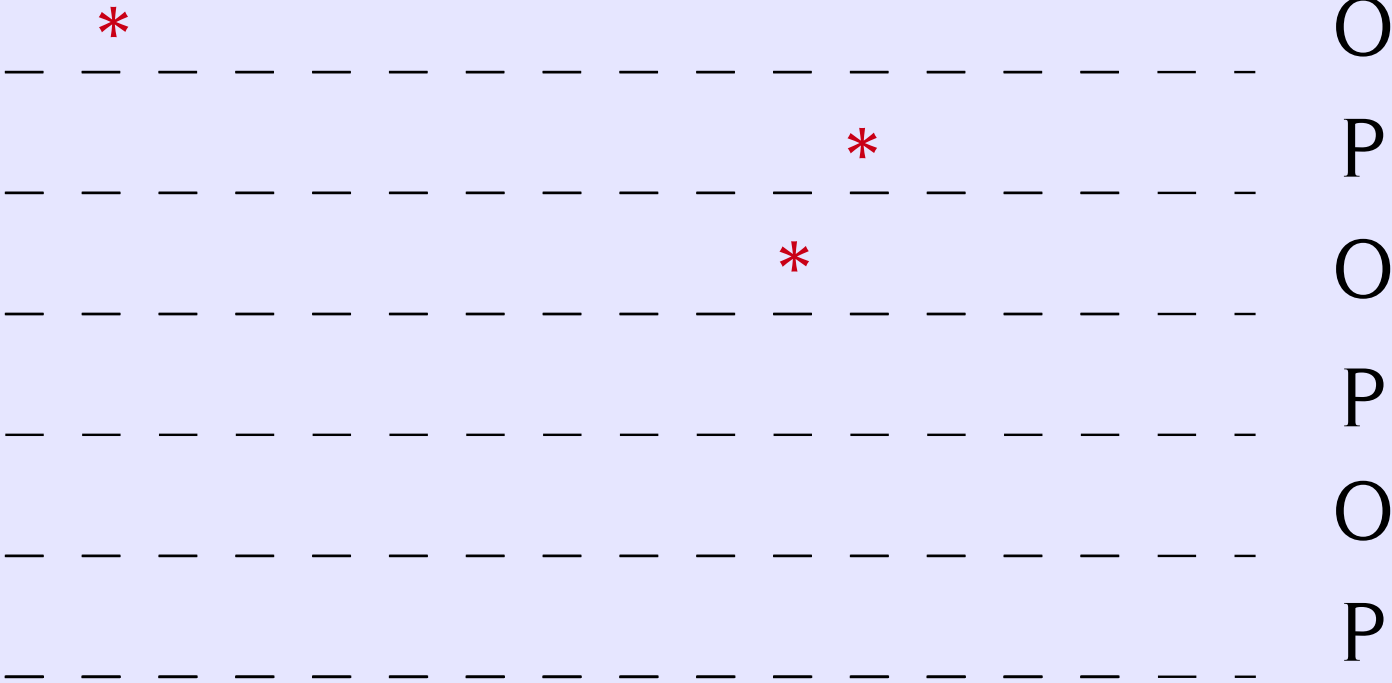
$$1 \longrightarrow 1 \rightarrow \text{Ref}_{\text{Int}}$$



Example

$\vdash \lambda x.\text{ref}(\theta) : \text{unit} \rightarrow \text{refint}$

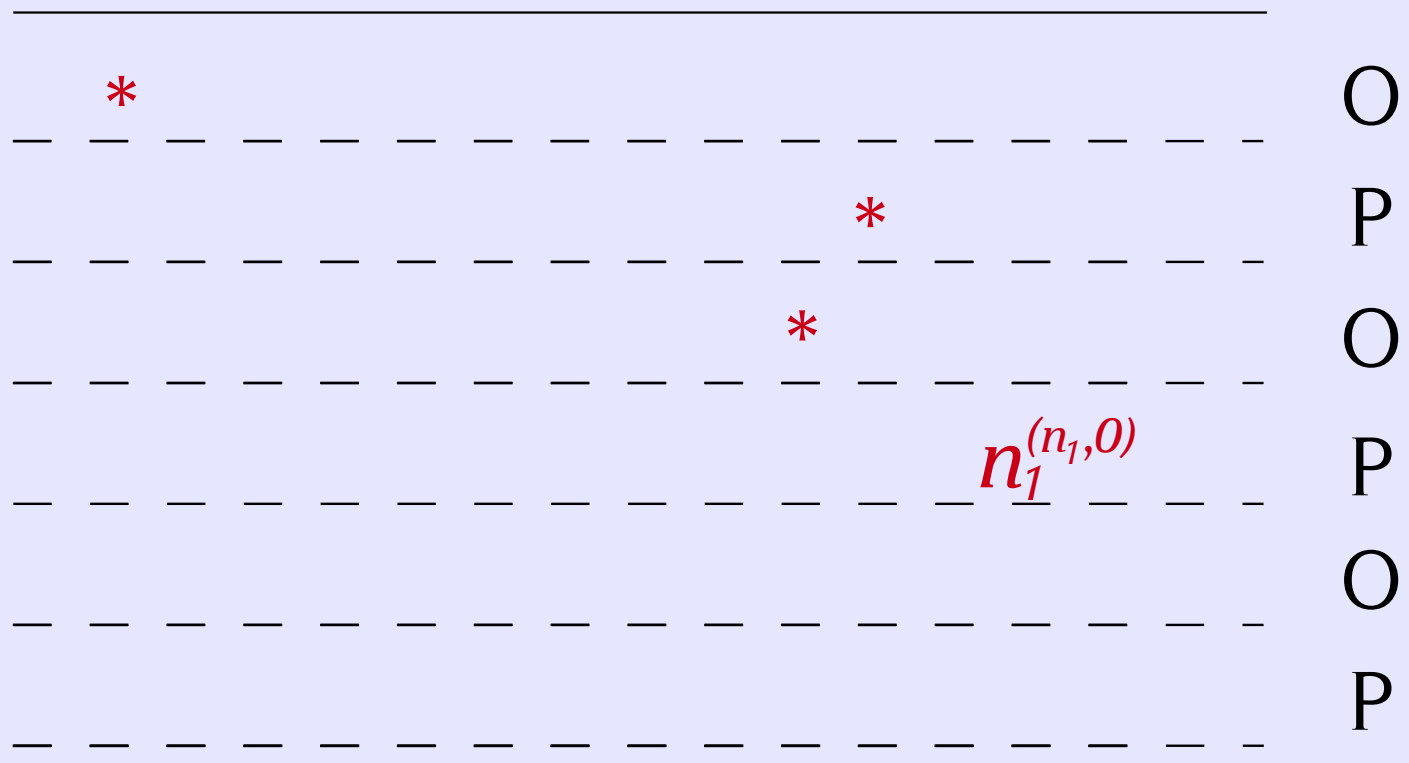
$$1 \longrightarrow 1 \rightarrow \text{Ref}_{\text{Int}}$$



Example

$\vdash \lambda x. \text{ref}(\theta) : \text{unit} \rightarrow \text{refint}$

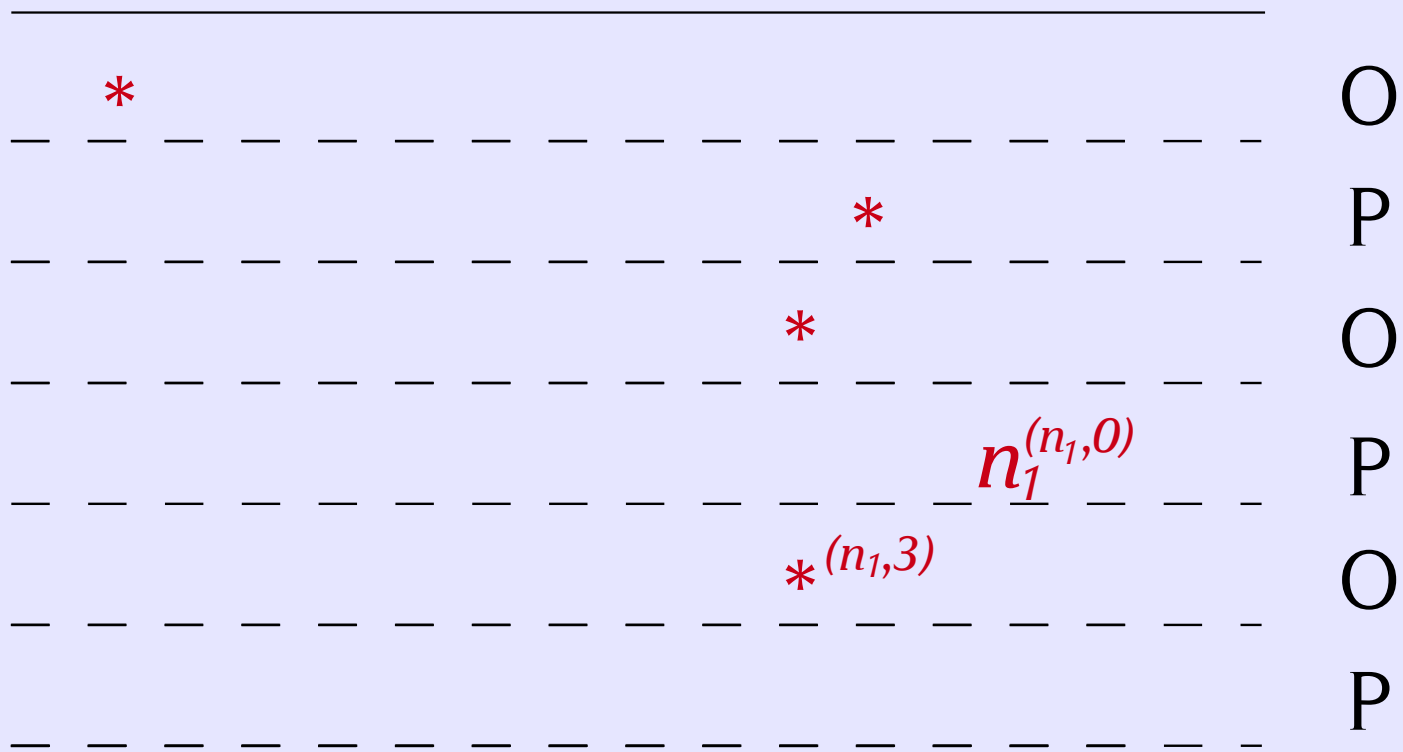
$$1 \longrightarrow 1 \rightarrow \text{Ref}_{Int}$$



Example

$\vdash \lambda x. \text{ref}(\theta) : \text{unit} \rightarrow \text{refint}$

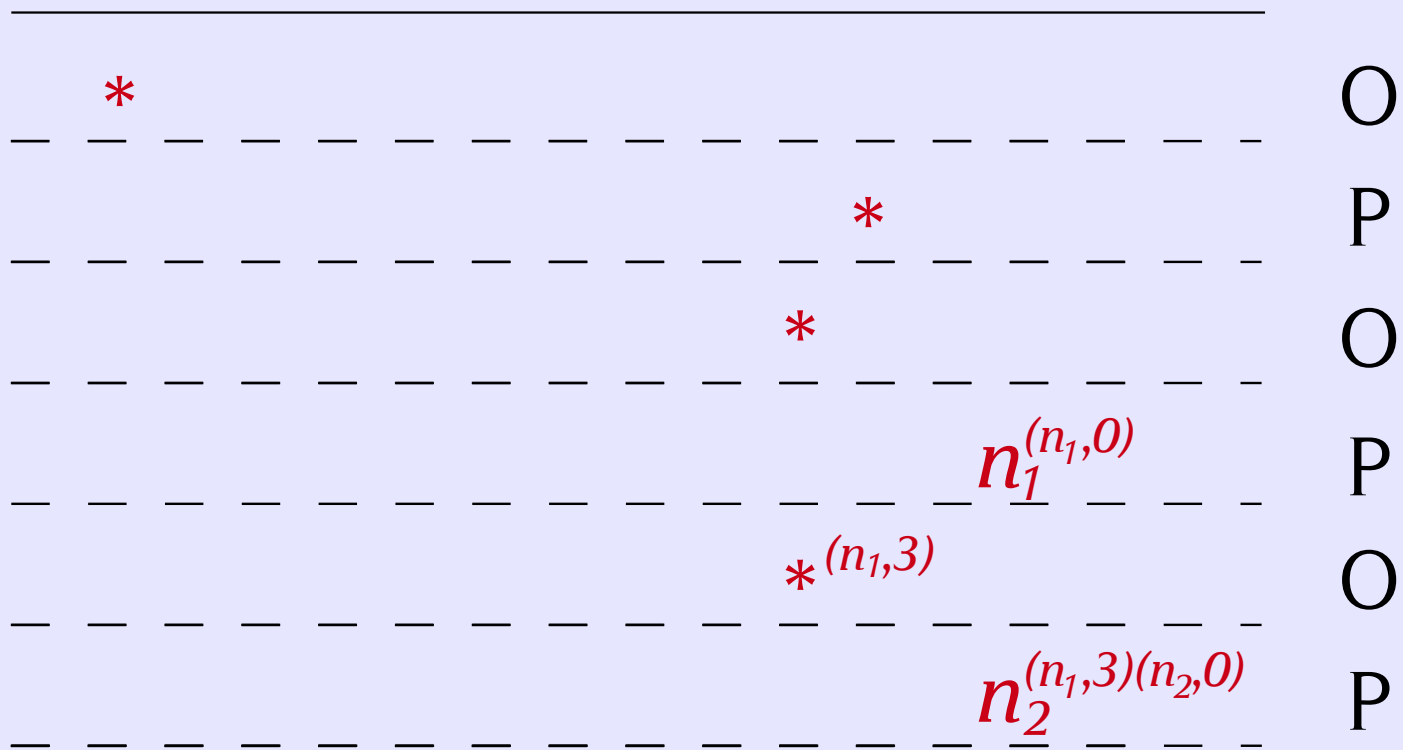
$1 \longrightarrow 1 \rightarrow \text{Ref}_{\text{Int}}$



Example

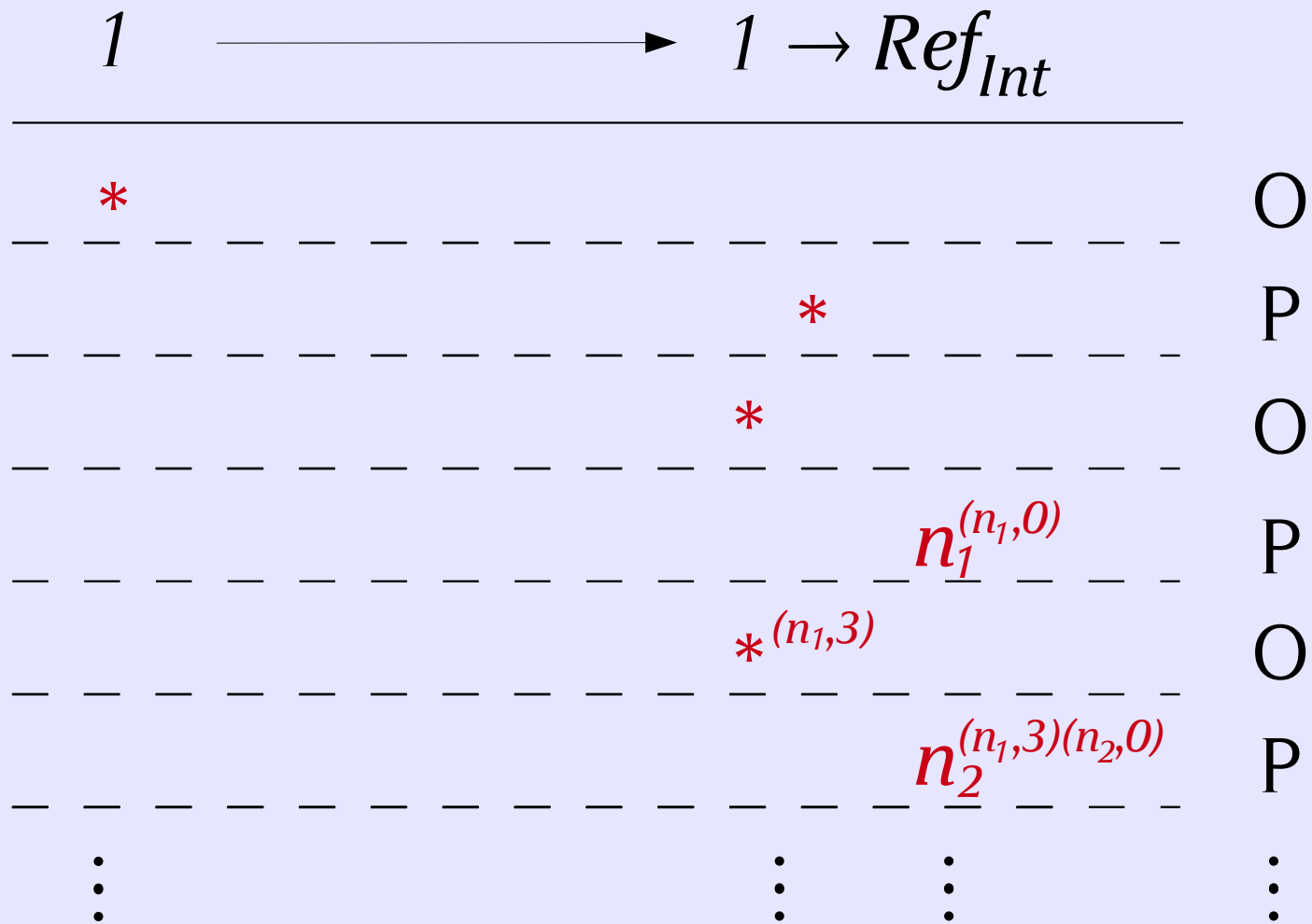
$\vdash \lambda x. \text{ref}(\theta) : \text{unit} \rightarrow \text{refint}$

$1 \longrightarrow 1 \rightarrow \text{Ref}_{\text{Int}}$



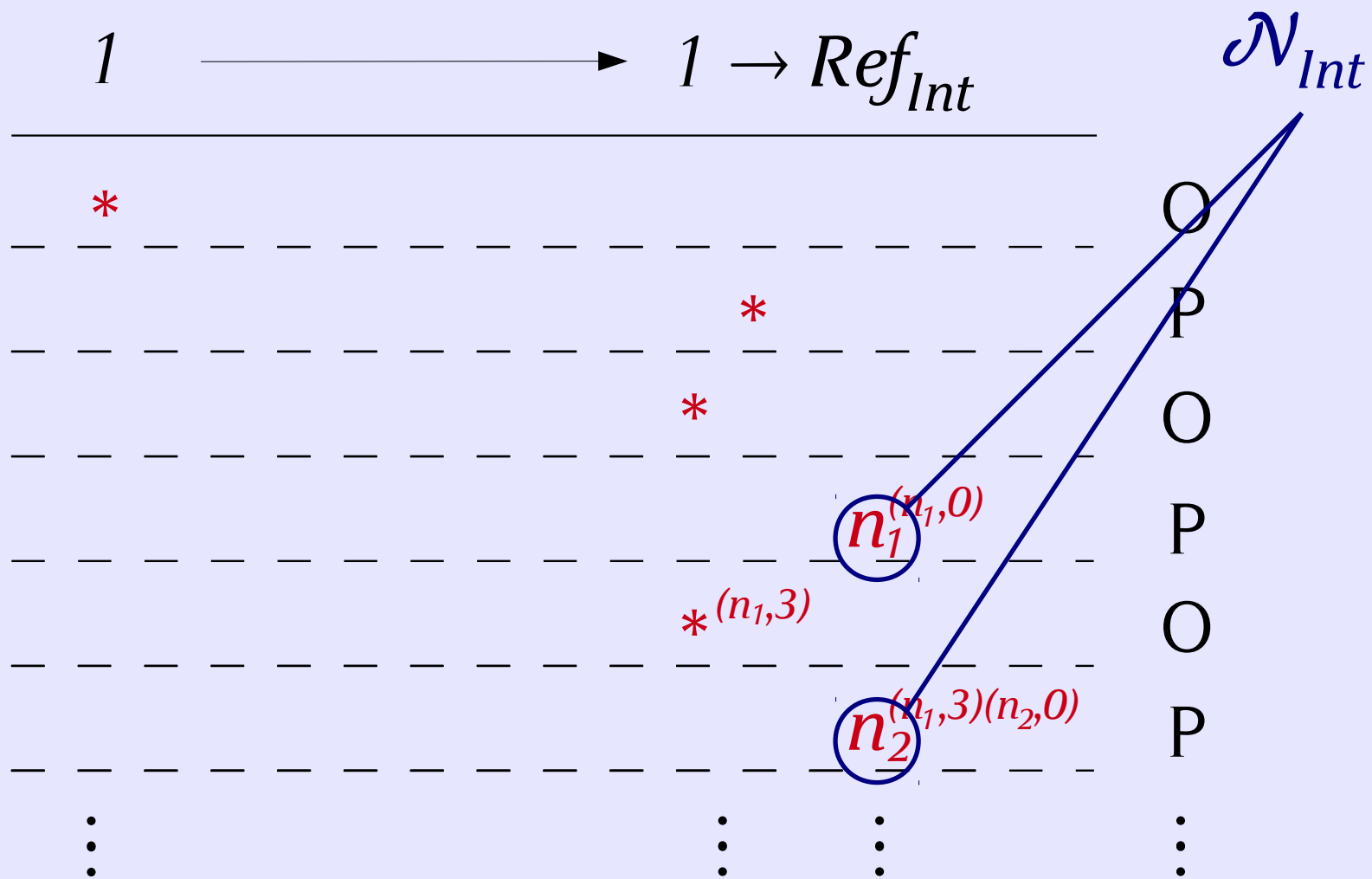
Example

$\vdash \lambda x. \text{ref}(\theta) : \text{unit} \rightarrow \text{refint}$



Example

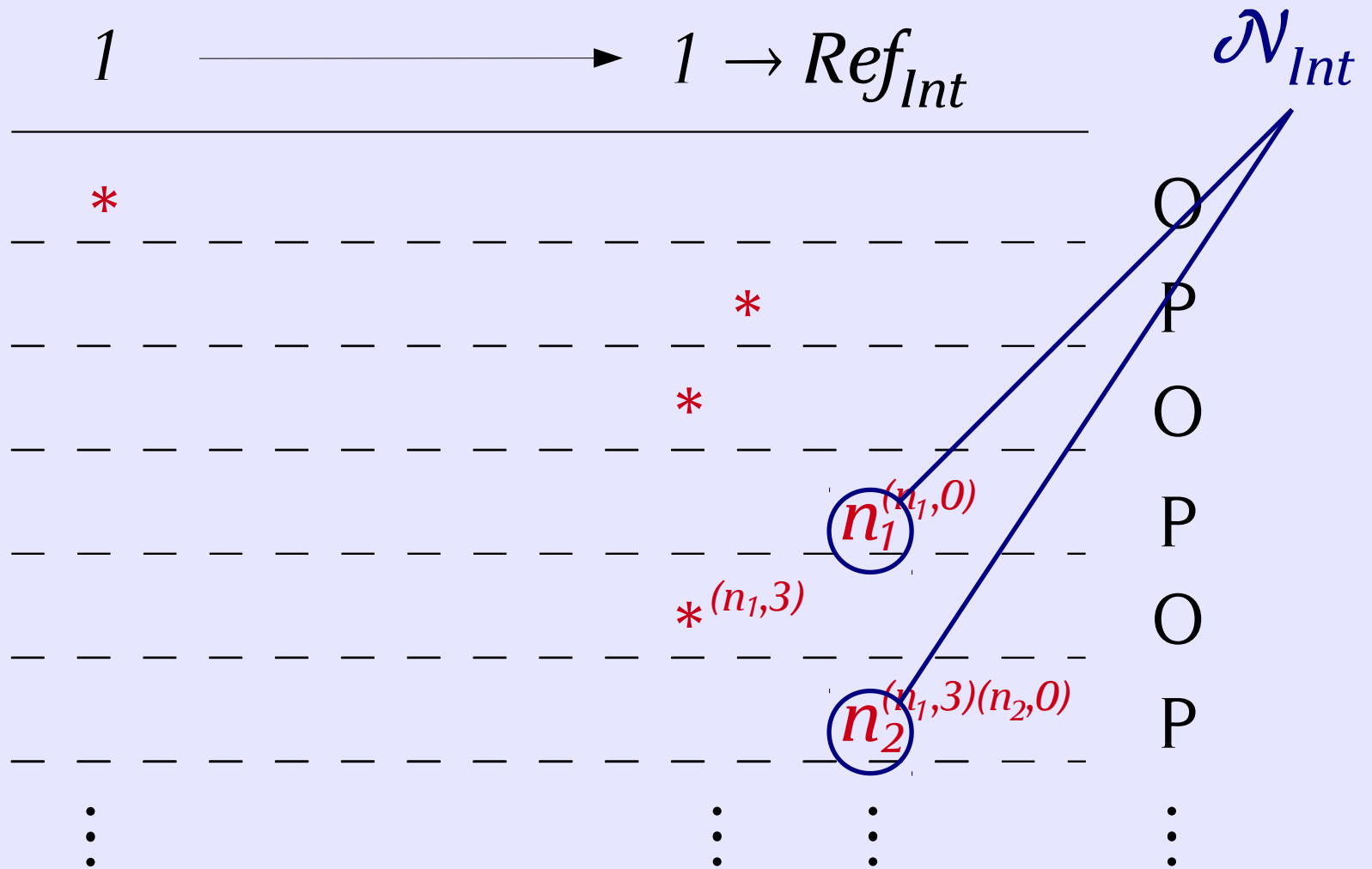
$\vdash \lambda x. \text{ref}(\theta) : \text{unit} \rightarrow \text{refint}$



Example

Use *nominal sets* over $\mathcal{N} = \bigcup_{\theta} \mathcal{N}_{\theta}$

$\vdash \lambda x. \text{ref}(\theta) : \text{unit} \rightarrow \text{refint}$



Example

$f:\text{refint} \rightarrow \text{unit} \vdash \text{let } x=\text{ref}(0) \text{ in } (fx);x : \text{refint}$

$Ref_{Int} \rightarrow 1 \longrightarrow Ref_{Int}$

*

$n_1^{(n_1,0)}$

O

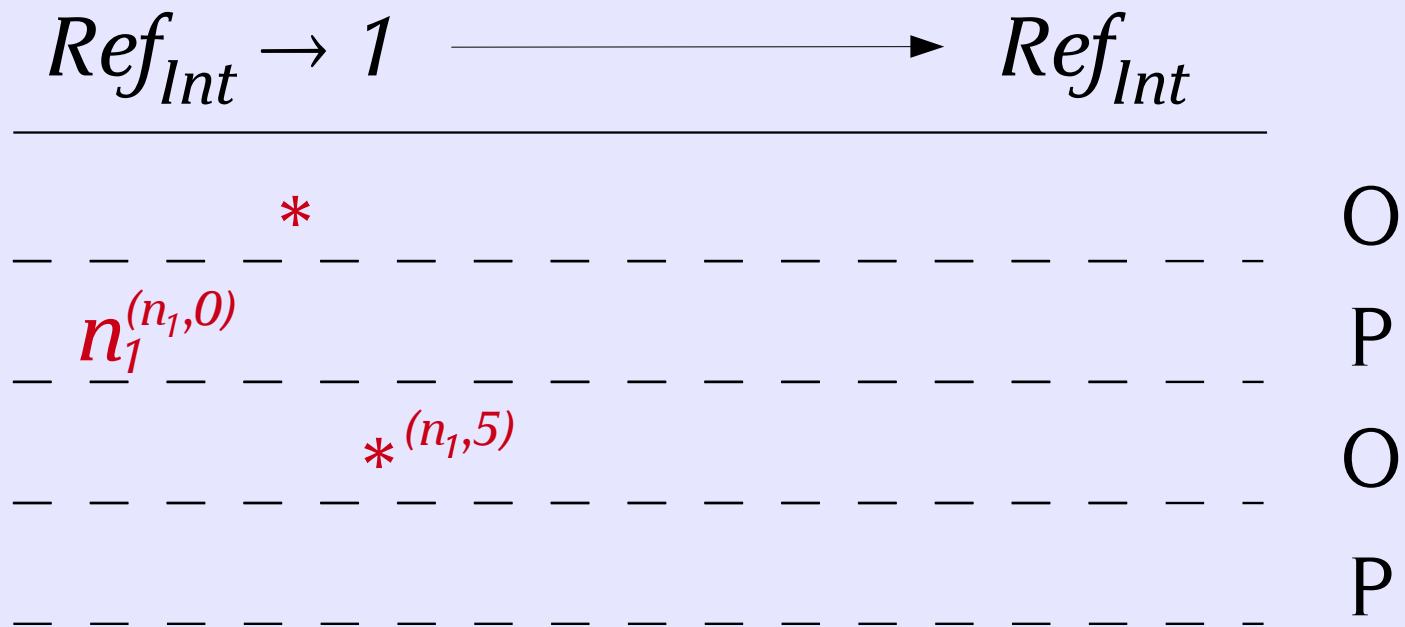
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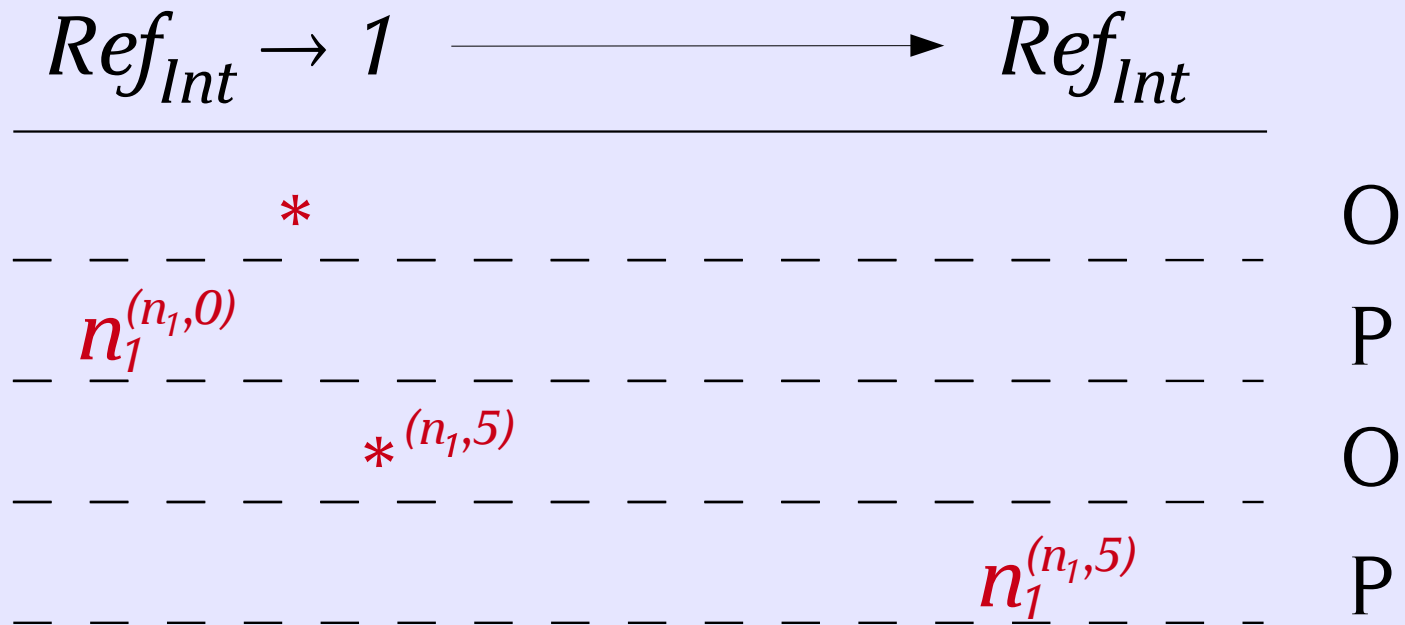
Example

$f:\text{refint} \rightarrow \text{unit} \vdash \text{let } x=\text{ref}(0) \text{ in } (fx);x : \text{refint}$



Example

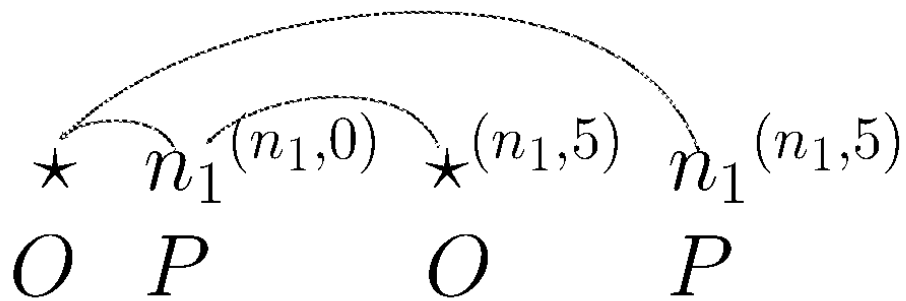
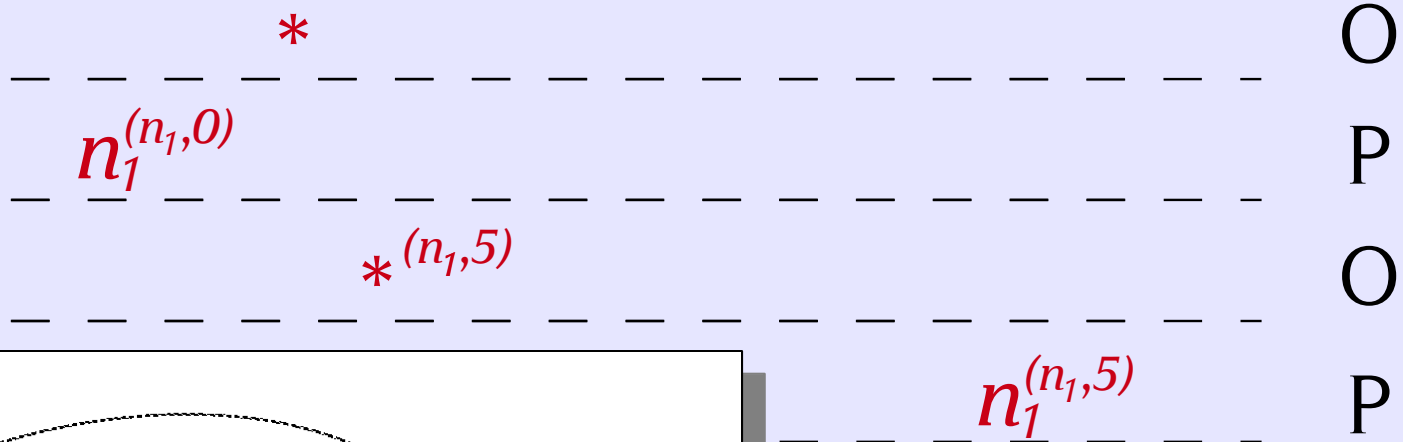
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Example

$f:\text{refint} \rightarrow \text{unit} \vdash \text{let } x=\text{ref}(0) \text{ in } (fx);x : \text{refint}$

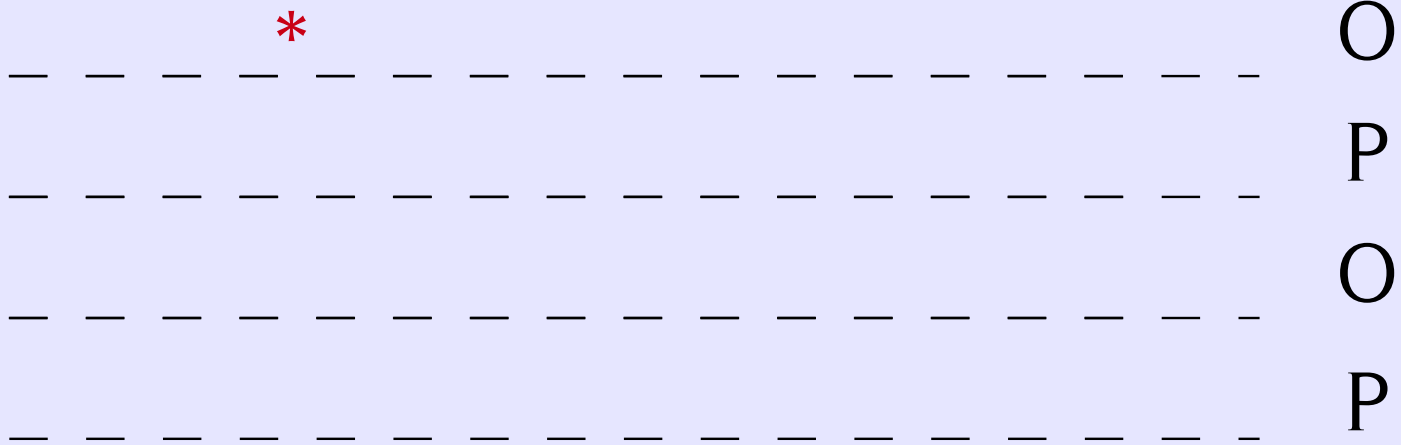
$\text{Ref}_{\text{Int}} \rightarrow 1 \longrightarrow \text{Ref}_{\text{Int}}$



Example

```
f:refint → unit ⊢ let x=ref(0),y=ref(0)
                    in (fy); y:=!x; y : refint
```

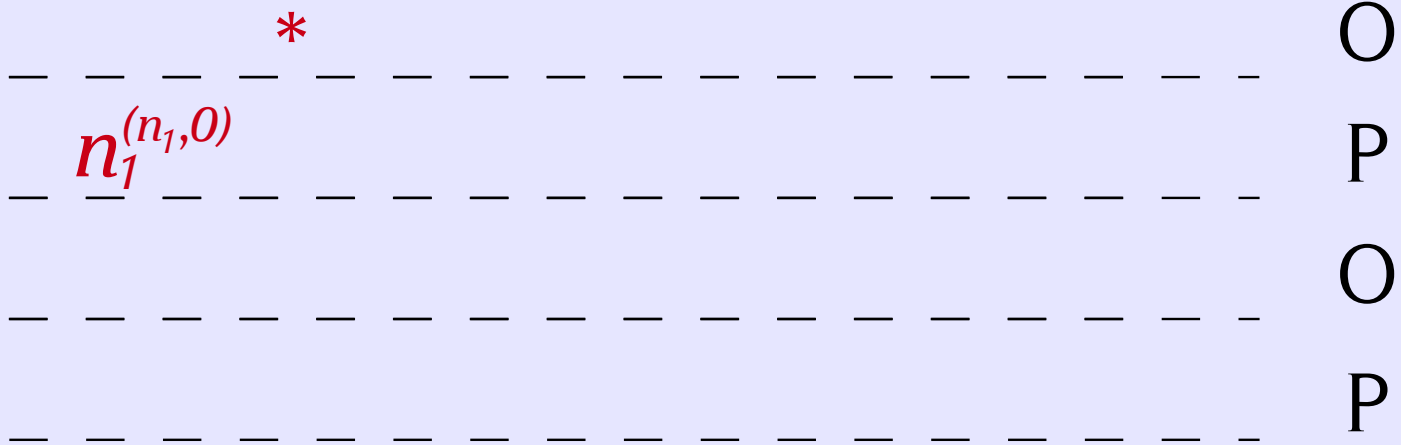
$Ref_{Int} \rightarrow 1 \longrightarrow Ref_{Int}$



Example

$f:\text{refint} \rightarrow \text{unit} \vdash \text{let } x=\text{ref}(0), y=\text{ref}(0)$
 $\text{in } (fy); y:=!x; y : \text{refint}$

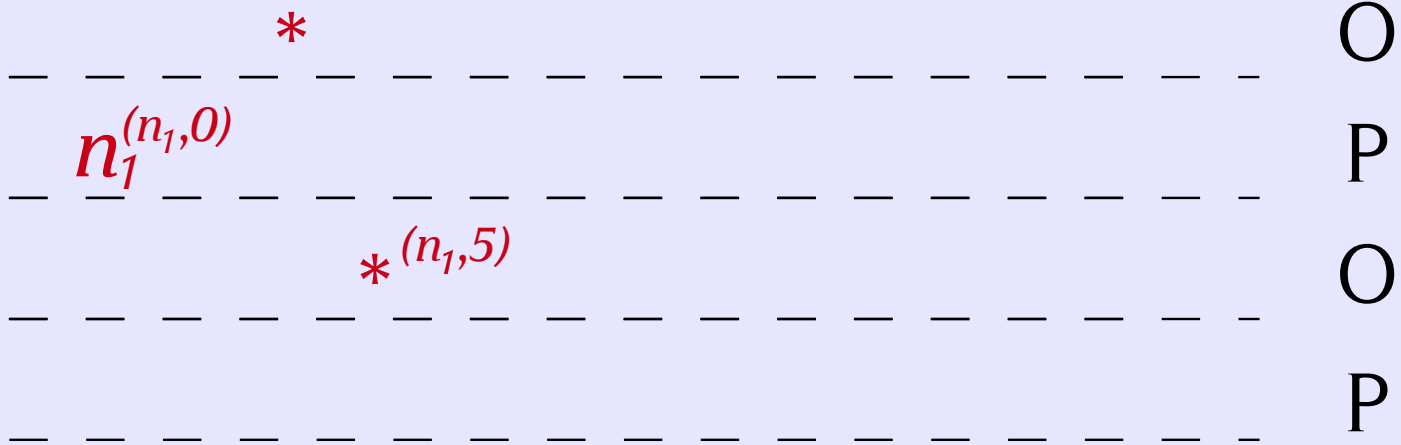
$\text{Ref}_{\text{Int}} \rightarrow 1 \longrightarrow \text{Ref}_{\text{Int}}$



Example

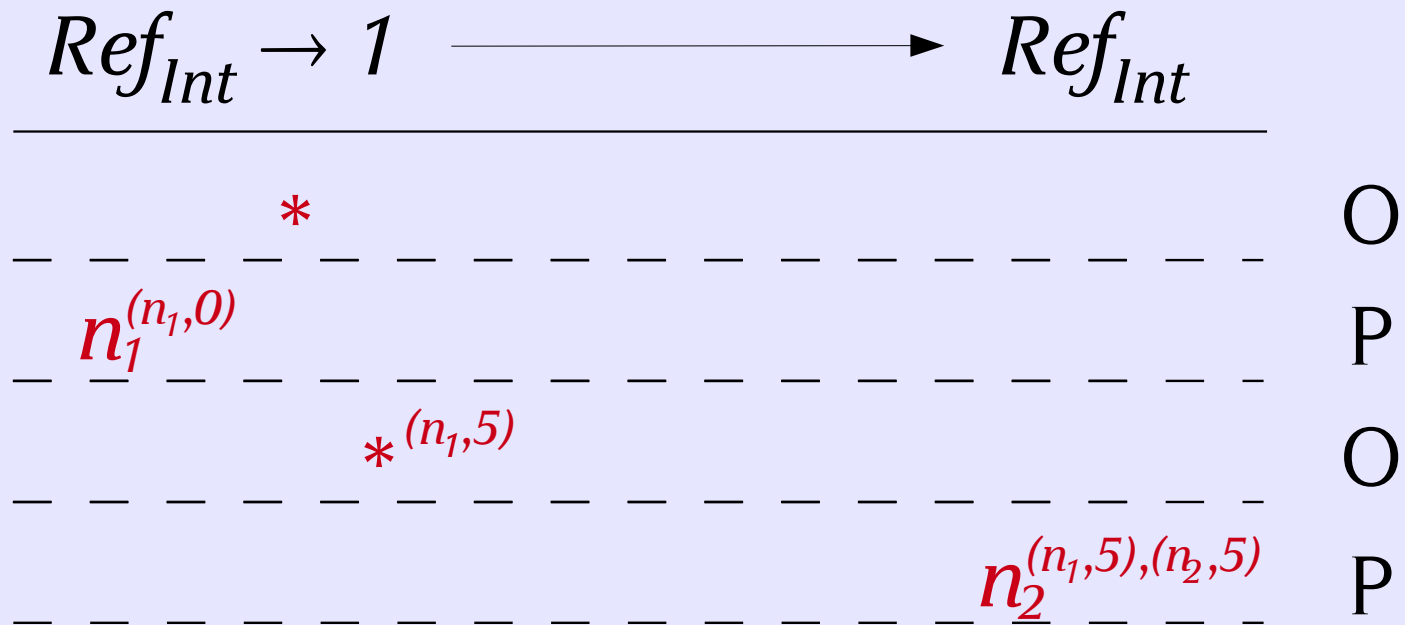
$f:\text{refint} \rightarrow \text{unit} \vdash \text{let } x=\text{ref}(0), y=\text{ref}(0)$
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$\text{Ref}_{\text{Int}} \rightarrow 1 \longrightarrow \text{Ref}_{\text{Int}}$



Example

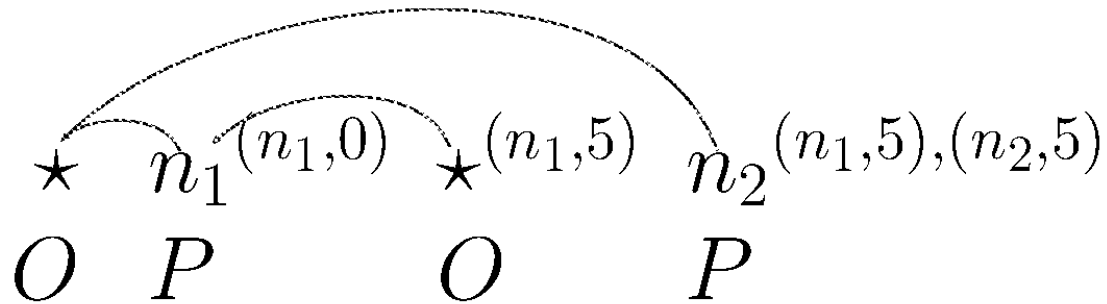
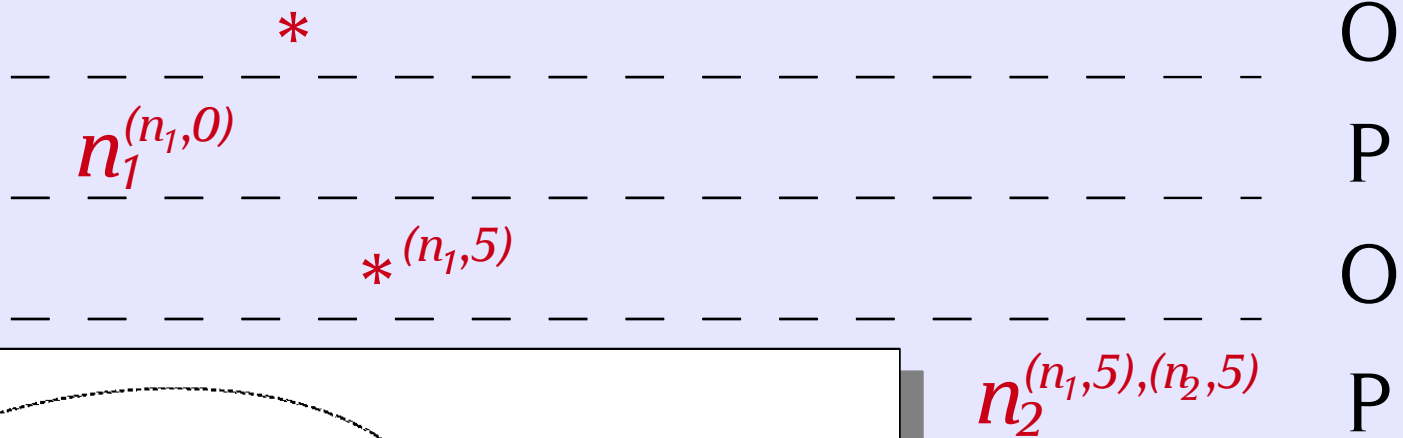
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Example

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 $\text{in } (fy); y:=!x; y : \text{refint}$

$\text{Ref}_{\text{Int}} \rightarrow 1 \longrightarrow \text{Ref}_{\text{Int}}$



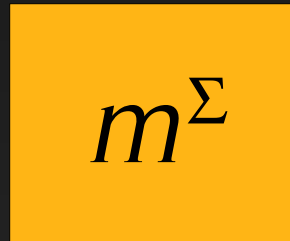
Our model

Built using **game semantics**

Uses a built-in notion of **names** and
games which **carry the store** around

Moves-with-stores

Store is passed around in moves



Content of Σ : pairs (*name,value*)

Moves-with-stores

Store is passed around in moves


$$m^\Sigma$$

Content of Σ : pairs $(name, value)$

$(n_{\text{unit}}, *)$ $(n_{\text{int}}, 5)$ $(n_{\text{ref}\theta}, n_\theta)$ $(n_{\theta \rightarrow \theta'}, *)$

Moves-with-stores

Store is passed around in moves


$$m^\Sigma$$

Content of Σ : pairs $(name, value)$

$(n_{unit}, *)$ $(n_{int}, 5)$ $(n_{ref\theta}, n_\theta)$ $(n_{\theta \rightarrow \theta'}, *)$

```
# let r3 = ref(fun(x:intref) -> x == r1);;  
val r3 : (int ref -> bool) ref = { contents = <fun> }
```

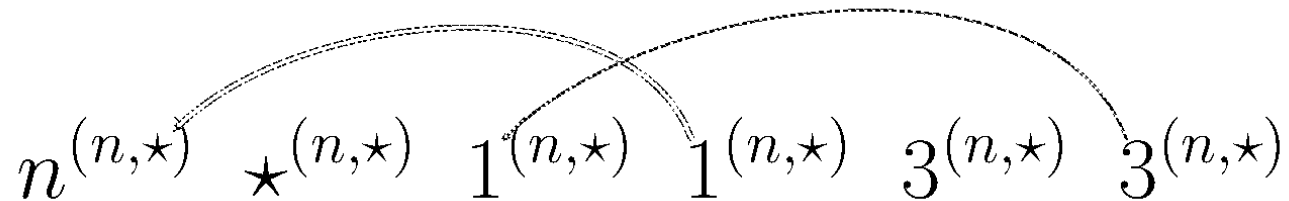
Higher-order store

$$(n_{\theta \rightarrow \theta'}, *)$$

HO values **not revealed for free**
but **need to be examined**

$$\dots m^{(n,*)} \dots m'(\dots)$$

Higher-order store

$$x : \text{ref}(\text{int} \rightarrow \text{int}) \vdash !x : \text{int} \rightarrow \text{int}$$


Composition refined

To compose two strategies:

- Synchronise on common component
- Hide moves in common component

Composition refined

To compose two strategies:

- Synchronise on common component
- **Pass along values of private names**
- Hide moves in common component
- **Garbage collect**

$x:\text{ref}(\text{unit} \rightarrow \text{int}) \vdash x := \lambda y. 3 ; \lambda z. (!x)z$

$f:\text{unit} \rightarrow \text{int} \vdash f()$

$Ref_{\text{unit} \rightarrow \text{int}} \longrightarrow 1 \rightarrow \text{Int} \longrightarrow \text{Int}$

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$x:\text{ref}(\text{unit}\rightarrow\text{int}) \vdash x:=\lambda y.3 ; \lambda z.(!x)z$

$f:\text{unit}\rightarrow\text{int} \vdash f()$

$Ref_{\text{unit}\rightarrow\text{int}} \longrightarrow 1 \rightarrow Int \longrightarrow Int$

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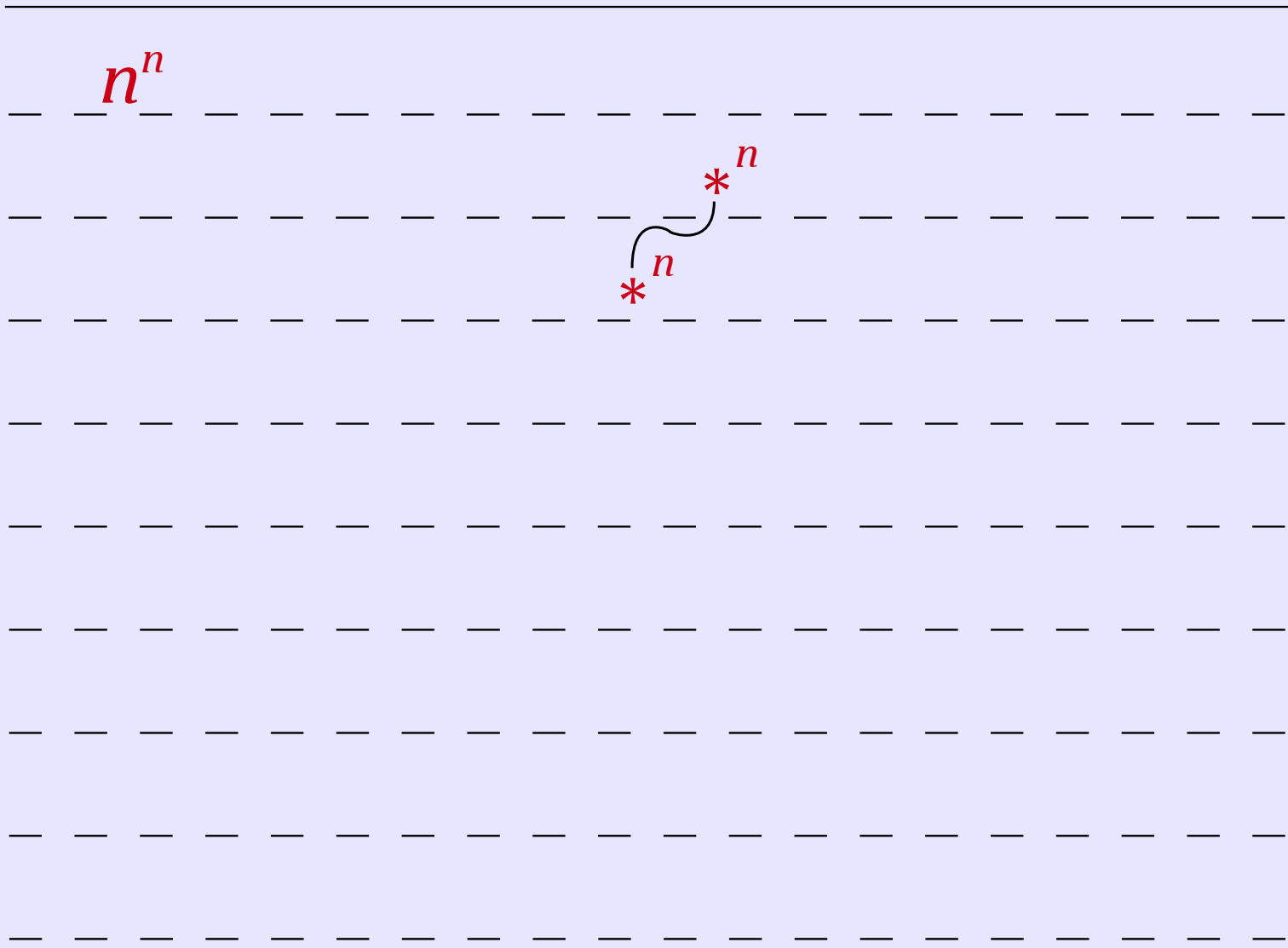
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$f:\text{unit}\rightarrow\text{int} \vdash f()$

$Ref_{\text{unit}\rightarrow\text{int}} \longrightarrow 1 \rightarrow Int \longrightarrow Int$

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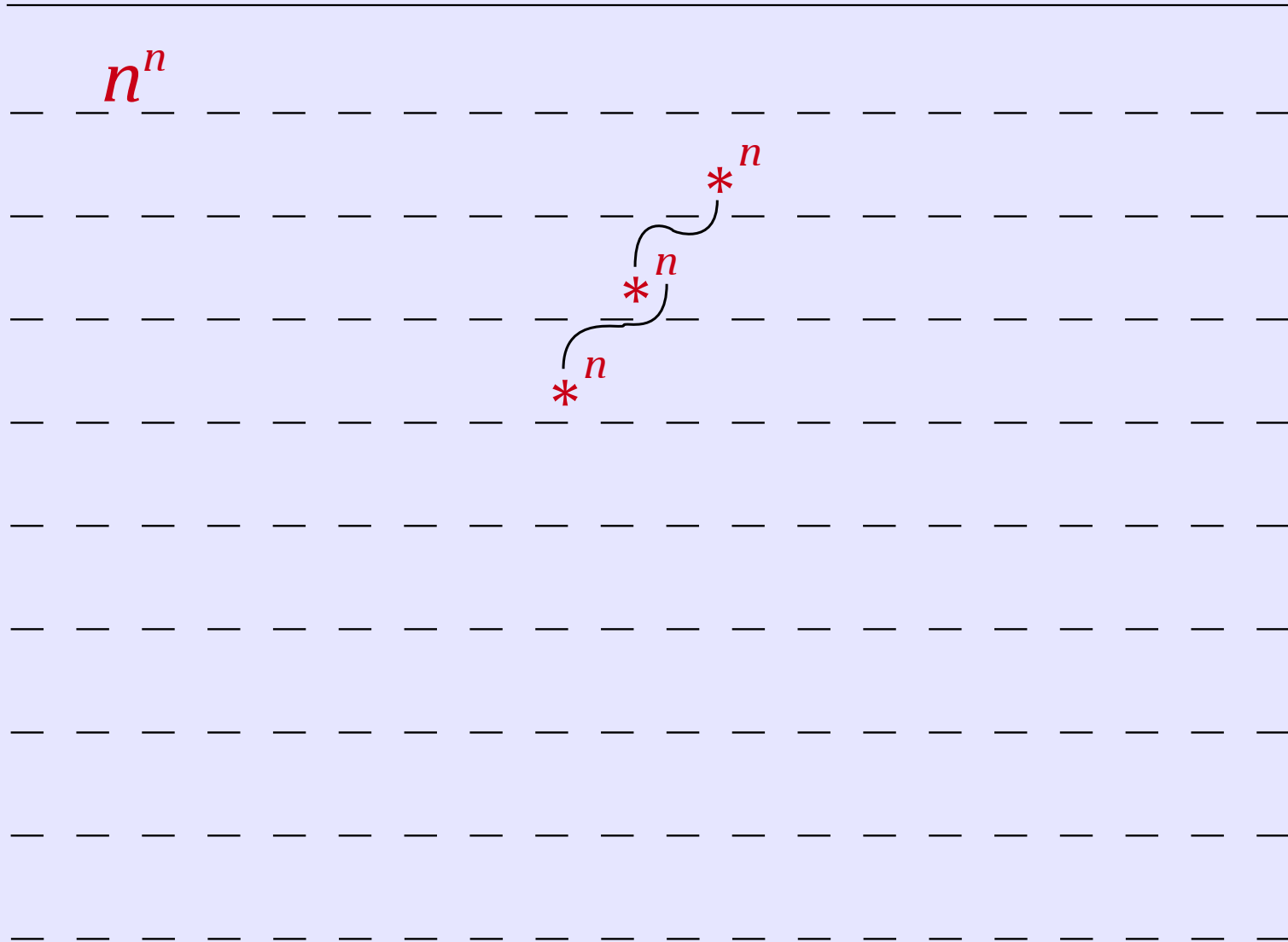
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$Ref_{\text{unit}\rightarrow\text{int}} \rightarrow 1 \rightarrow Int \rightarrow Int$

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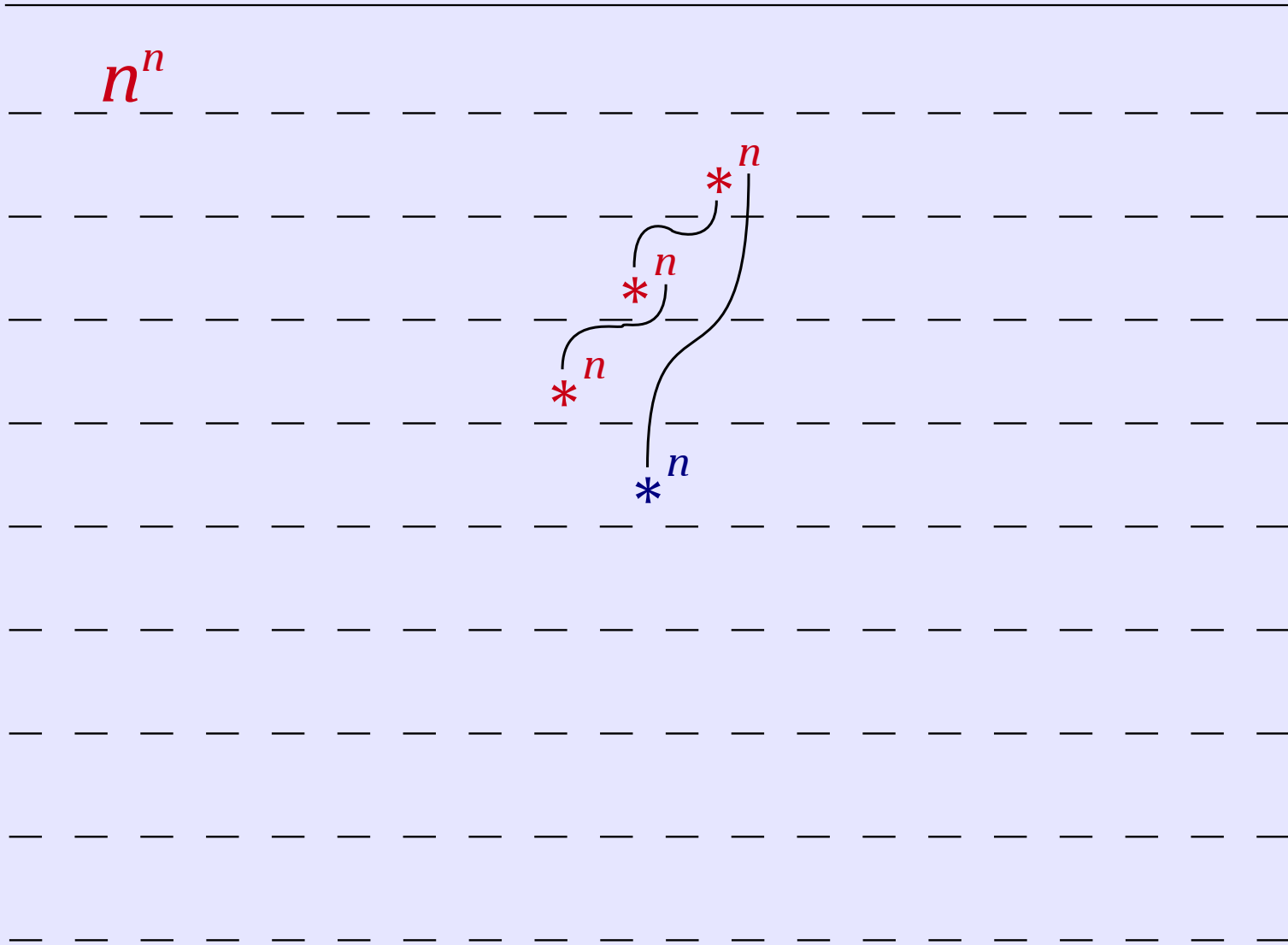
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$f:\text{unit}\rightarrow\text{int} \vdash f()$

$\text{Ref}_{\text{unit}\rightarrow\text{int}} \longrightarrow 1 \rightarrow \text{Int} \longrightarrow \text{Int}$

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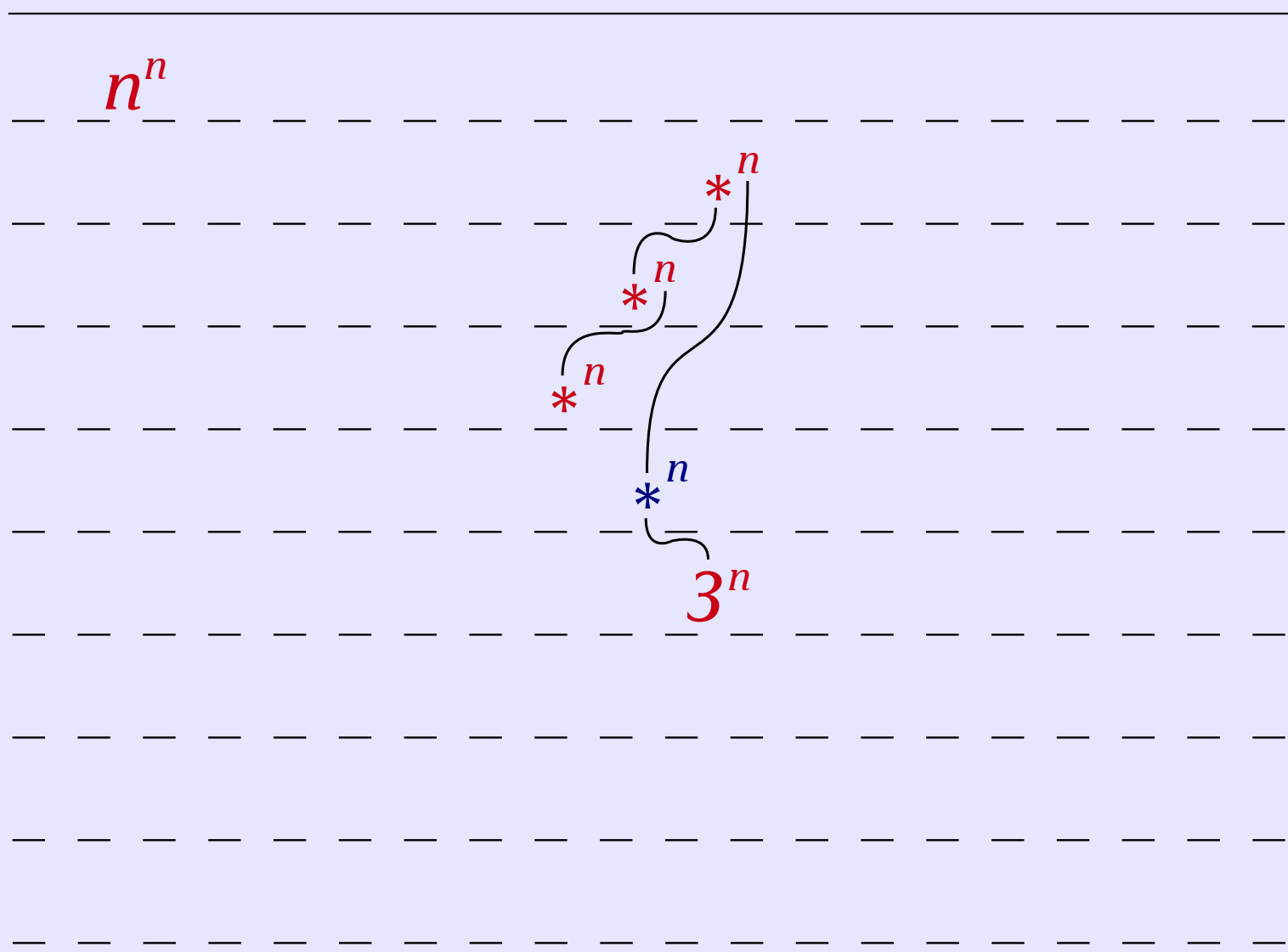
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$x:\text{ref}(\text{unit}\rightarrow\text{int}) \vdash x:=\lambda y.3 ; \lambda z.(!x)z$

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$\text{Ref}_{\text{unit}\rightarrow\text{int}} \longrightarrow 1 \rightarrow \text{Int} \longrightarrow \text{Int}$

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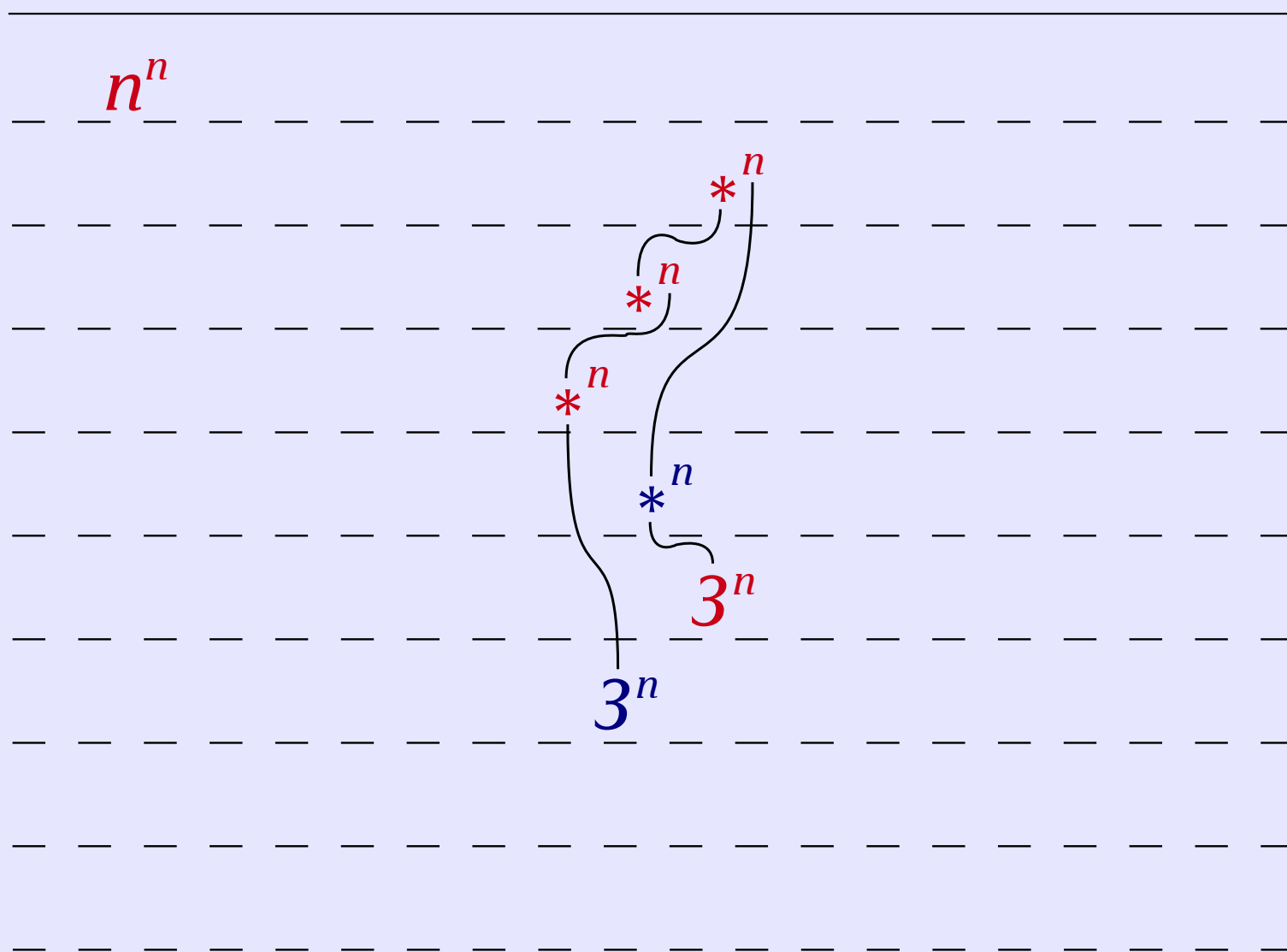
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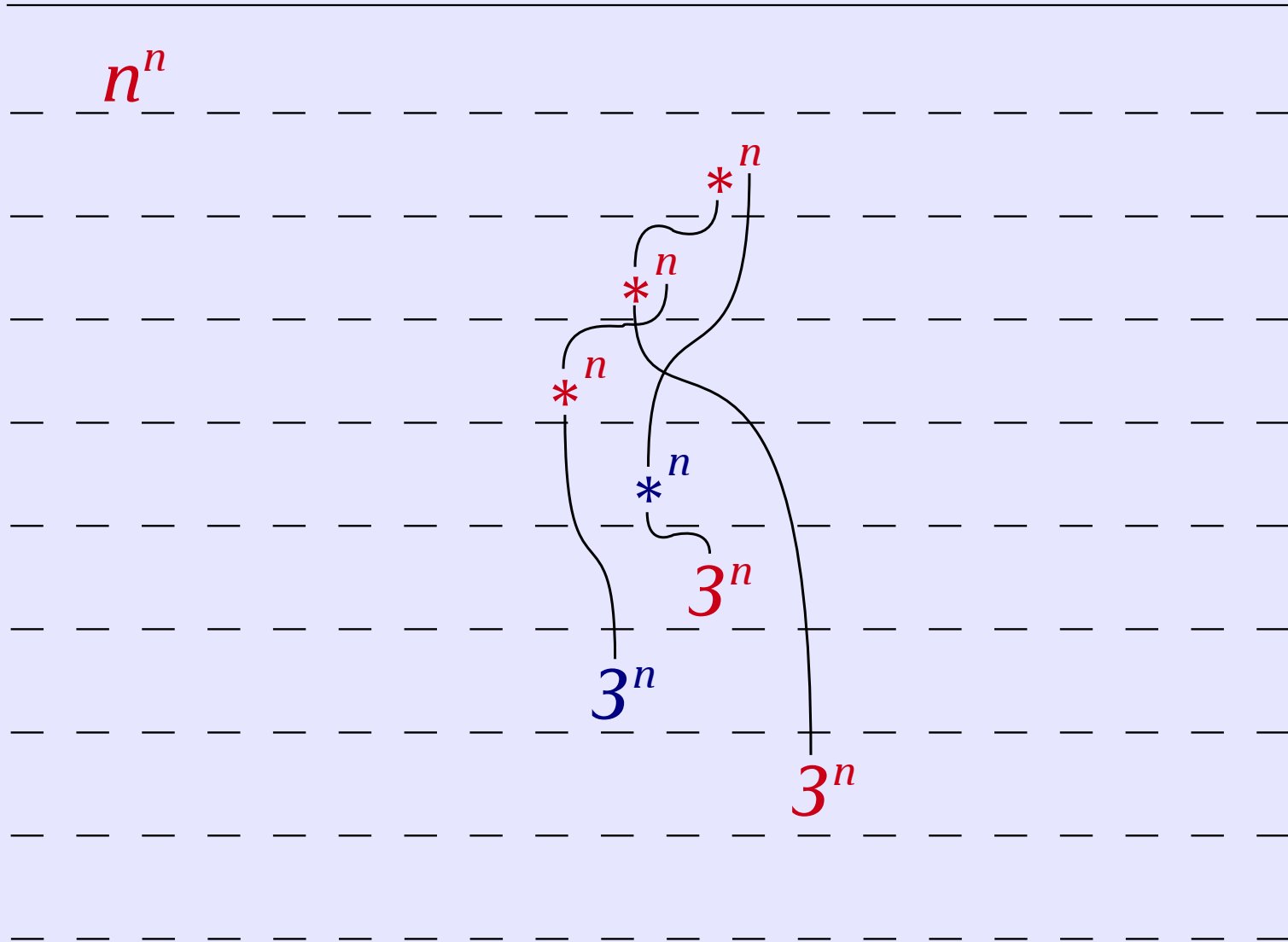
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$\text{Ref}_{\text{unit}\rightarrow\text{int}} \rightarrow 1 \rightarrow \text{Int} \rightarrow \text{Int}$

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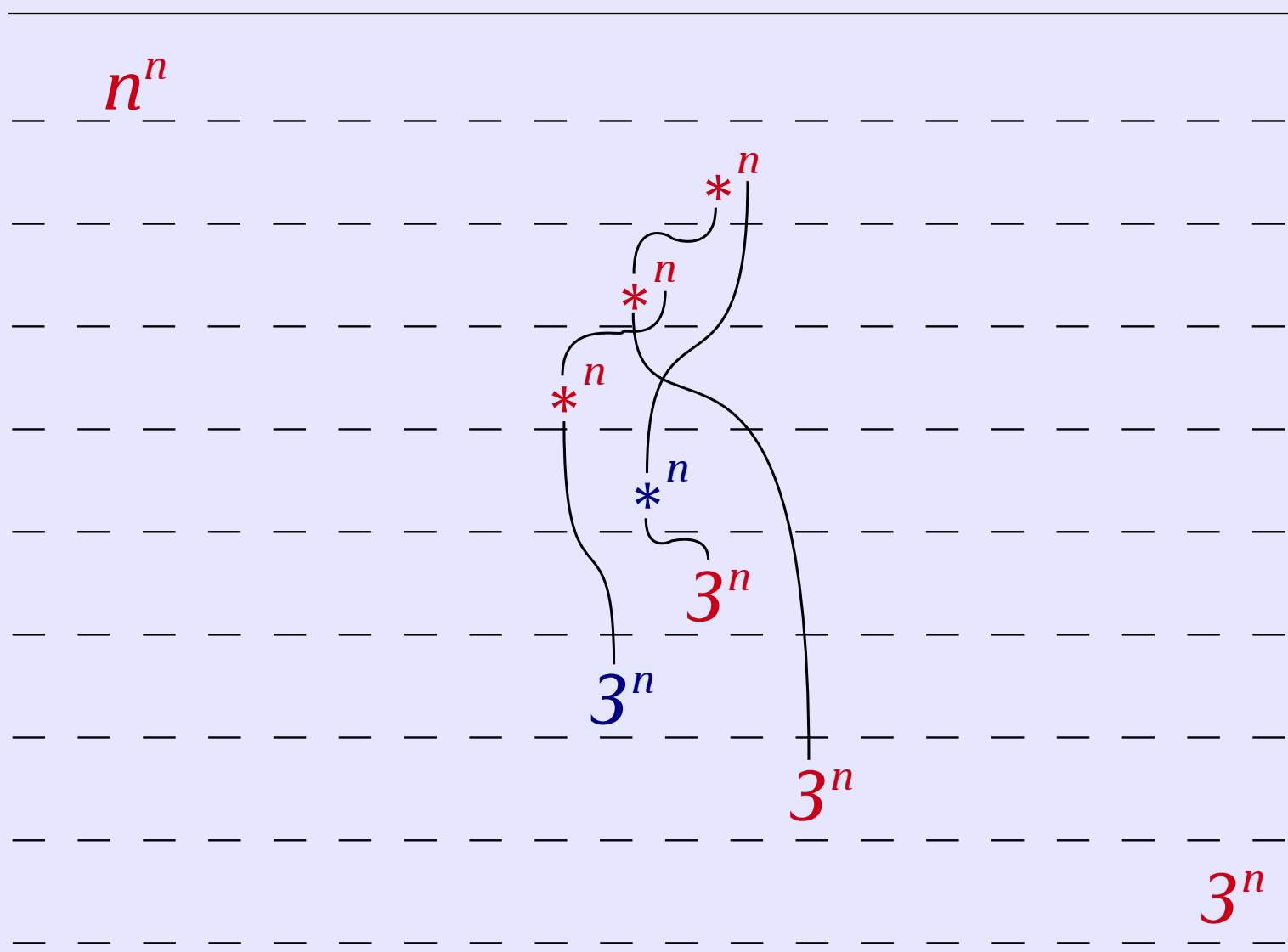
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$x:\text{ref}(\text{unit}\rightarrow\text{int}) \vdash x:=\lambda y.3 ; \lambda z.(!x)z$

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Our model

Built using **game semantics**

Uses a built-in notion of **names** and games which **carry the store** around

It models RefML **fully abstractly**

$$M_1 \cong M_2 \iff \llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket$$

What's next

- Connections with LTS semantics [Jeffrey & Rathke '99], [Laird '07]
- Connections with quotiented model [Tz.'07]
- Algorithmic game semantics
- Polymorphism and recursive types

What's next

thank you!

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- Connections with quotiented model [Tz.'07]
- Algorithmic game semantics
- Polymorphism and recursive types