

# Nominal game semantics and automata

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MSR, Cambridge, Dec 2014

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# Computation with names (Java)

...

```
public void foo() {  
    // Create new list  
    List x = new ArrayList();  
  
    x.add(1); x.add(2);  
    Iterator i = x.iterator();  
    Iterator j = x.iterator();  
    i.next(); i.remove(); j.next();  
}
```

...

**new** creates a **fresh name**:

- different from any previous one
- comparable for equality

# Computation with names (ML)

type with only value: ()

“pure” names

$\lambda x. \text{ref}() : \text{unit} \rightarrow (\text{unit ref})$

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$(\lambda x. \text{ref}()) () == (\lambda x. \text{ref}()) ()$

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"pure" names

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$\text{let } f = [ - ] \text{ in } f() == f()$

$(\lambda x. \text{ref}()) () == (\lambda x. \text{ref}()) ()$

false

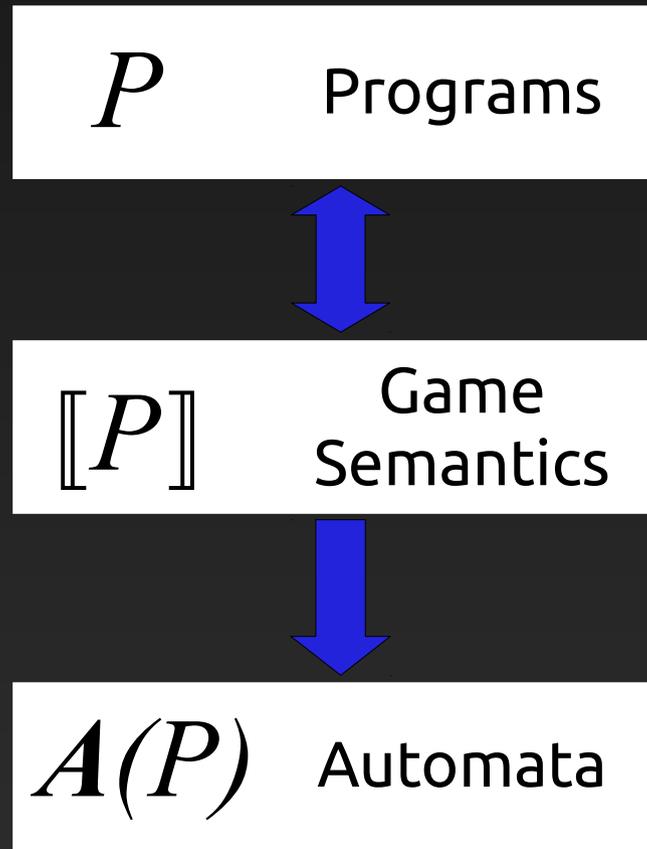
# What this talk is about

We give an overview of techniques for modelling and analysing **computation with names**

Names are an abstraction for handling **dynamic resources**: *references, objects, channels, exceptions...*

Our approach starts denotationally, using **game semantics**, and reaches concrete algorithms using **automata over infinite alphabets**

# Overview



# Example

Call-by-value language with unit references

$\lambda x. \text{ref}() \not\cong \text{let } y = \text{ref}() \text{ in } \lambda x. y : \text{unit} \rightarrow \text{unit ref}$

$$P \cong P'$$

*same observable behaviour in every context*

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Call-by-value language with unit references

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$$\text{let } y = \text{ref}() \text{ in } \lambda x. (x == y) \cong \lambda x. \text{false} : \text{unit} \rightarrow \text{bool}$$

$$P \cong P'$$

*same observable behaviour in every context*

# Example

Call-by-value language with unit references

“Create a *fresh reference*; then *compare* input references with the fresh one and return the result of the comparison”

$\lambda x. \text{ref}() \not\cong \text{let } y = \text{ref}() \text{ in } \lambda x. y : \text{unit} \rightarrow \text{unit ref}$

$\text{let } y = \text{ref}() \text{ in } \lambda x. (x == y) \cong \lambda x. \text{false} : \text{unit} \rightarrow \text{bool}$

$P \cong P'$

*same observable behaviour in every context*

# Quiz

Call-by-value language with **int** references

$g : \text{int ref} \rightarrow \text{unit} \quad \vdash \quad \text{let } x, y = \text{ref}(0) \text{ in } (g x); y := !x; y : \text{int ref}$

*vs*

$g : \text{int ref} \rightarrow \text{unit} \quad \vdash \quad \text{let } x = \text{ref}(0) \text{ in } (g x); x : \text{int ref}$

Can we capture real “meaning”?

How to assign denotations to programs,

$$\llbracket - \rrbracket : \text{Syntax} \longrightarrow \mathcal{M}$$

such that:

$$P \cong P' \iff \llbracket P \rrbracket = \llbracket P' \rrbracket$$

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*full abstraction*

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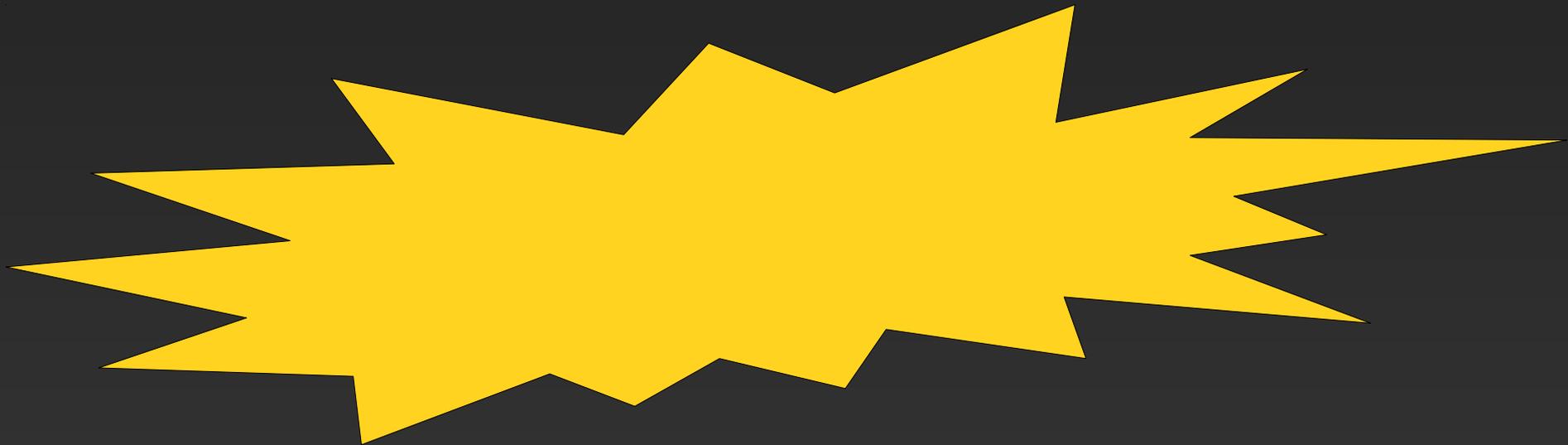
such that:

$$P \cong P' \iff \llbracket P \rrbracket = \llbracket P' \rrbracket$$

Here the Syntax will be some fragment of ML/F#,  
i.e. call-by-value PCF + references + exceptions + ...

$$T ::= \text{unit} \mid \text{int} \mid T \text{ ref} \mid T \rightarrow T \mid \dots \quad \boxed{M = M \mid \dots}$$
$$M ::= () \mid i \mid a \mid x \mid \lambda x.M \mid M M \mid \text{ref } M \mid M := M \mid !M \mid$$

# Game Semantics



# Game Semantics

- Computation is modelled as a 2-player game between:
  - *Opponent* (the environment), aka  $O$
  - *Proponent* (the program), aka  $P$
- Qualitative games ( $\neq$  Game Theory)
- Programs = *strategies* for  $P$
- *Categories* of games

# Games played in arenas

$$x_1:T_1, \dots, x_n:T_n \vdash M:T$$

# Games played in arenas

free variables

program

output type

$$x_1 : T_1, \dots, x_n : T_n \vdash M : T$$

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$$[[M]] : [[T_1, \dots, T_n]] \longrightarrow [[T]]$$

# Games played in arenas

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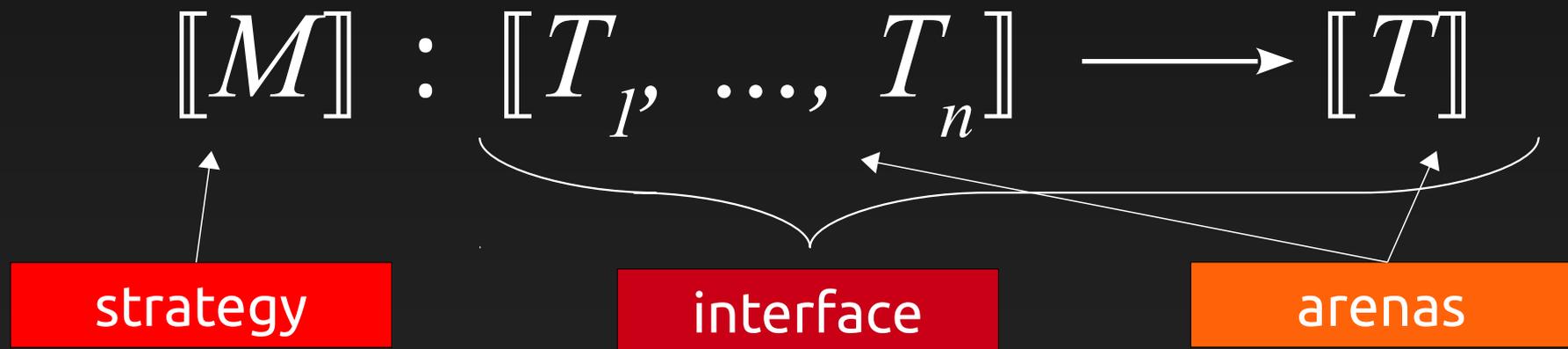
strategy

interface

arenas

(aka prearena)

# Arenas of moves



# Arenas of moves

$$[[M]] : [[T_1, \dots, T_n]] \longrightarrow [[T]]$$

arenas

moves

$$[[\text{unit}]] = \{ * \}$$

$$[[\text{int}]] = \{ 0, 1, -1, \dots \}$$

$$[[T \text{ ref}]] = \{ a, b, \dots \}$$

$$a, b, \dots \in \mathcal{N}_T$$

...

# Arenas of moves

$$[[M]] : [[T_1, \dots, T_n]] \longrightarrow [[T]]$$



arenas

$\mathcal{N}_T$  a set of *names*:

- infinitely many
- comparable for equality only

$$[[\text{unit}]] = \{ * \}$$

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# Arenas of moves

$$[[M]] : [[T_1, \dots, T_n]] \longrightarrow [[T]]$$



arenas

$$[[\text{unit}]] = \{ * \} = \mathbf{1}$$

$$[[\text{int}]] = \{ 0, 1, -1, \dots \} = \mathbb{Z}$$

$$[[T \text{ ref}]] = \{ a, b, \dots \} = \mathbb{A}_T \quad a, b, \dots \in \mathcal{N}_T$$

$\mathcal{N}_T$  a set of *names*:

- infinitely many
- comparable for equality only

# A simple interface

$$\mathbb{Z} \longrightarrow \mathbb{Z}$$

(i.e. calls)

questions

{ 0, 1, -1, ... }

(i.e. returns)

answers

{ 0, 1, -1, ... }

*O* : Opponent

*P* : Proponent

# A simple interface

$$\mathbb{Z} \longrightarrow \mathbb{Z}$$

(i.e. calls)

questions

{ 0, 1, -1, ... }

justification

(i.e. returns)

answers

{ 0, 1, -1, ... }

*O* : Opponent

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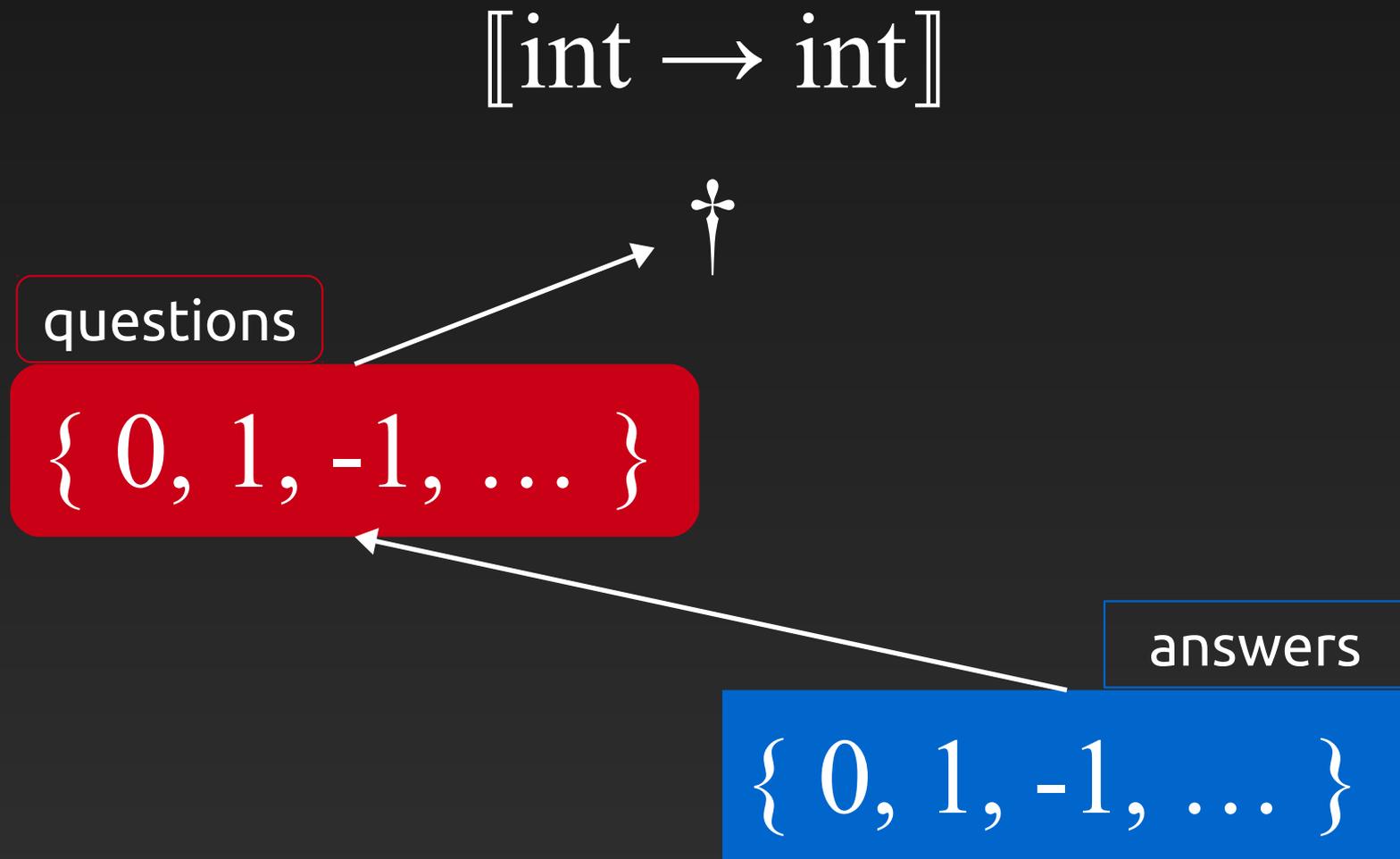
# A higher-order arena

$[[\text{int} \rightarrow \text{int}]]$



*"here is a function"*

# A higher-order arena

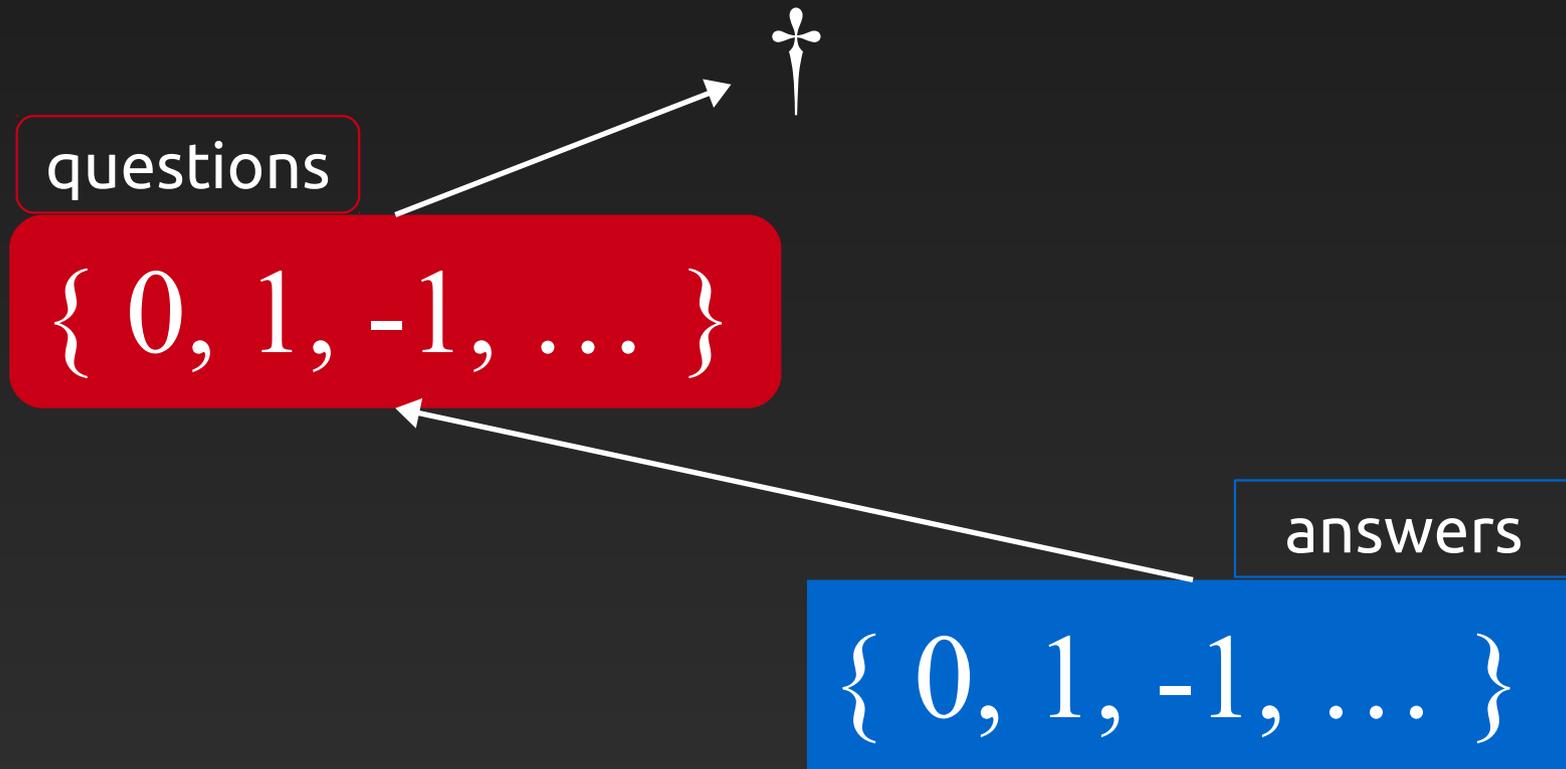


$O$  : Opponent

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# A higher-order arena

$$\llbracket \text{int} \rightarrow \text{int} \rrbracket = \mathbb{Z} \Rightarrow \mathbb{Z}$$

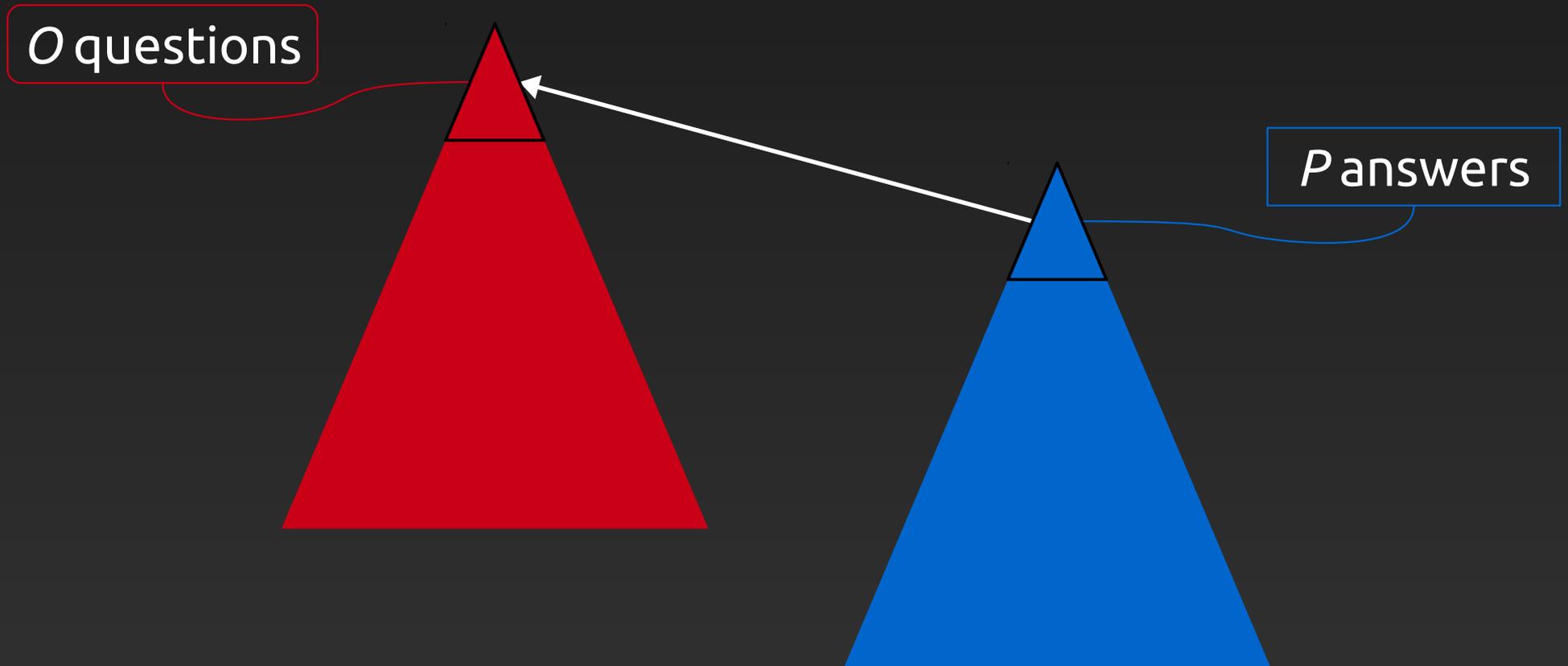


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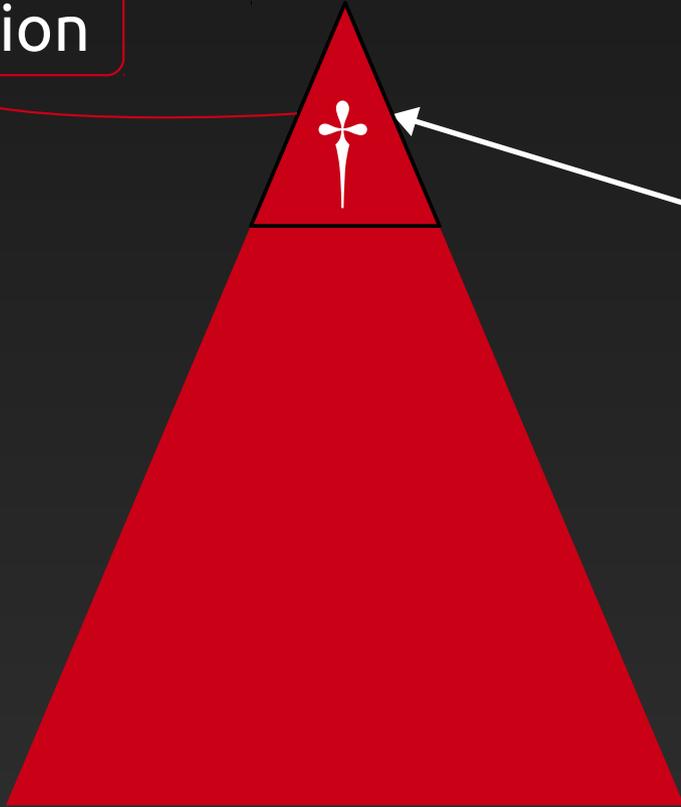
# A higher-order interface

$$[[T]] \longrightarrow [[T']]$$

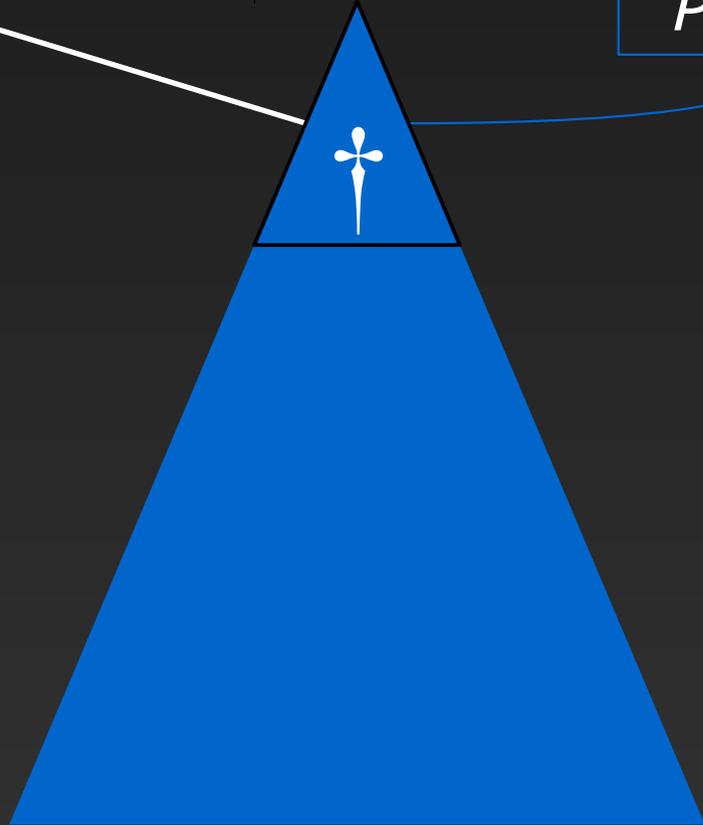


$$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$$

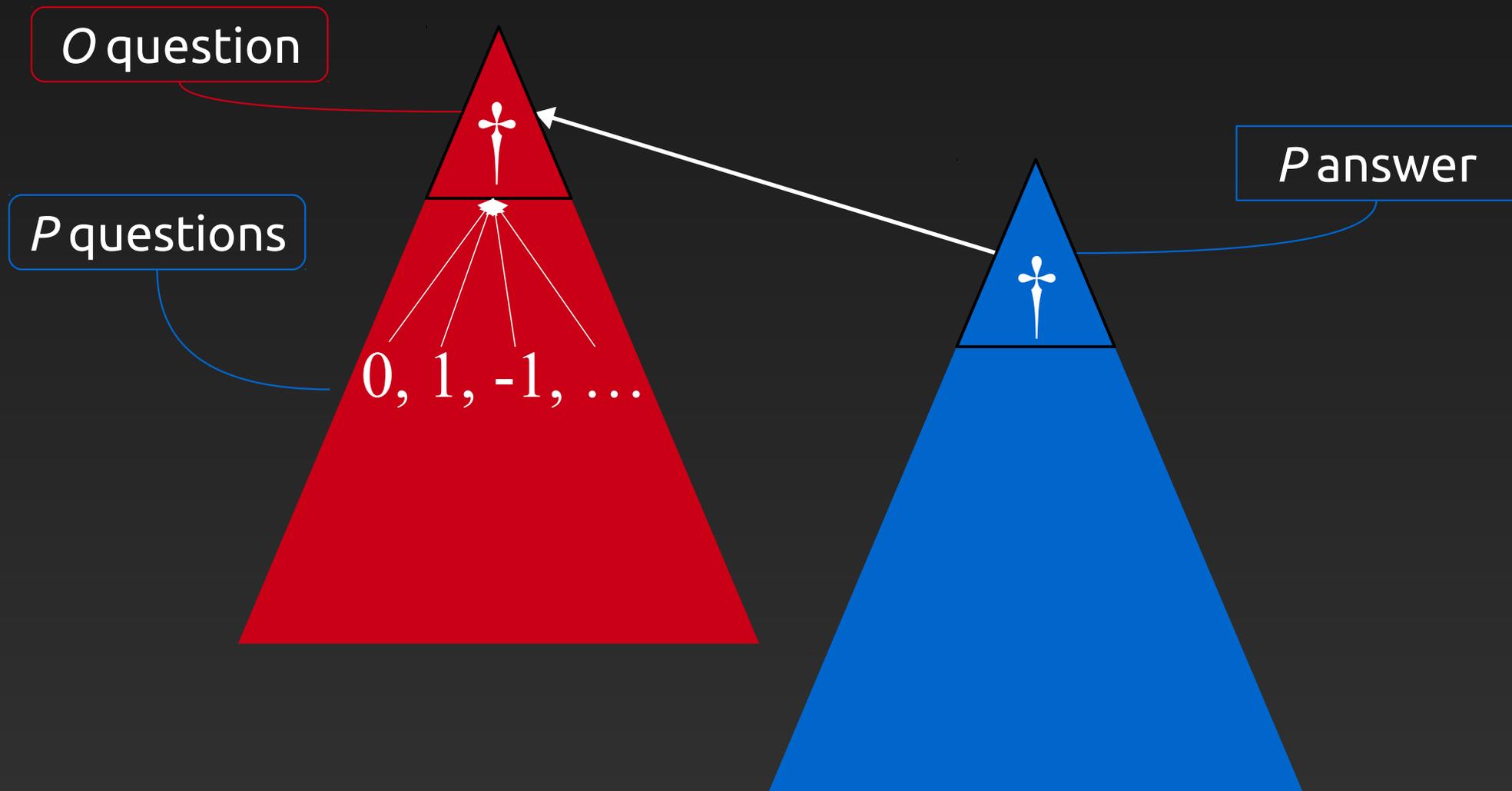
*O* question



*P* answer



$$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$$



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$O$  question



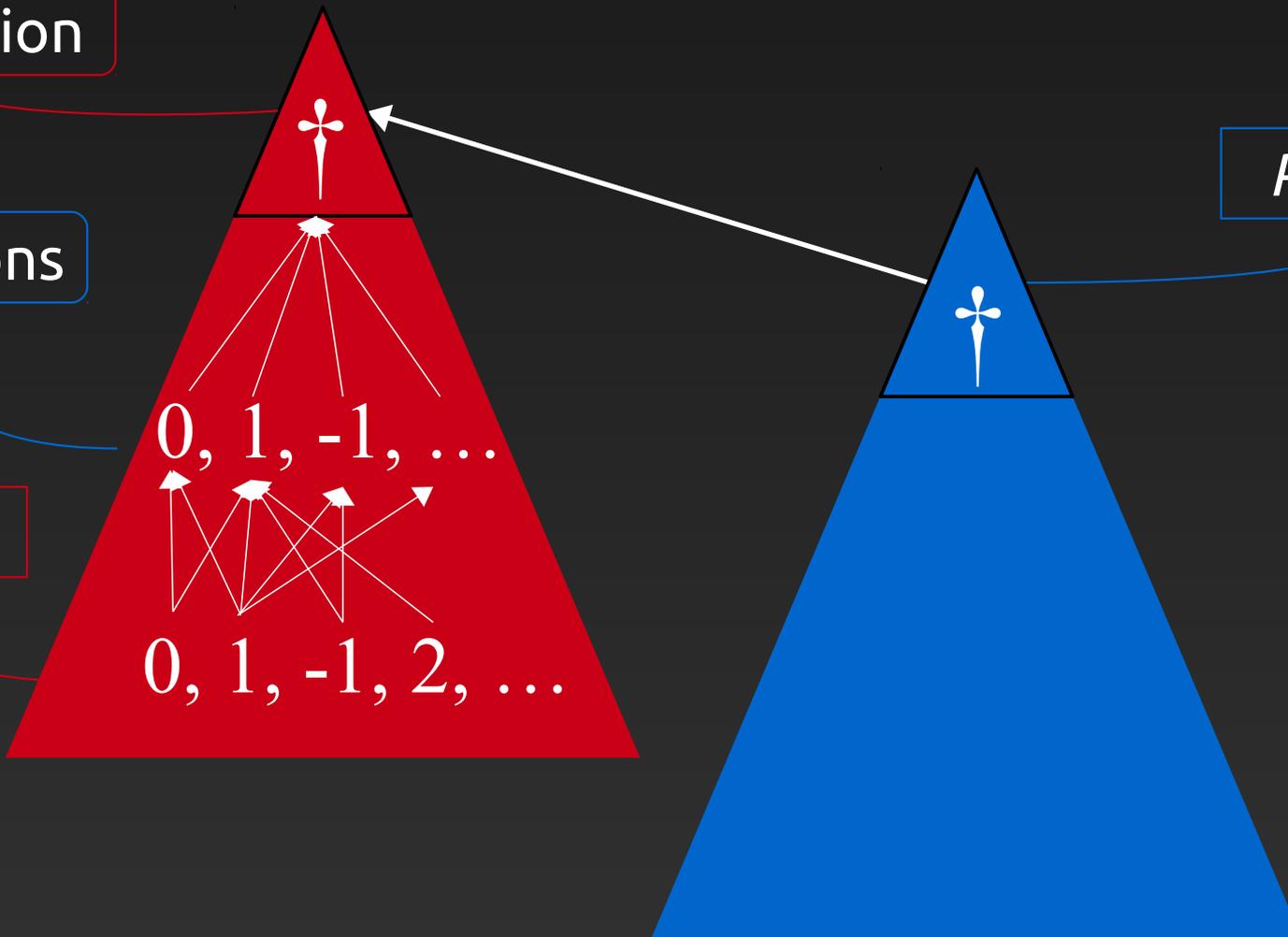
$P$  questions

$0, 1, -1, \dots$

$O$  answers

$0, 1, -1, 2, \dots$

$P$  answer

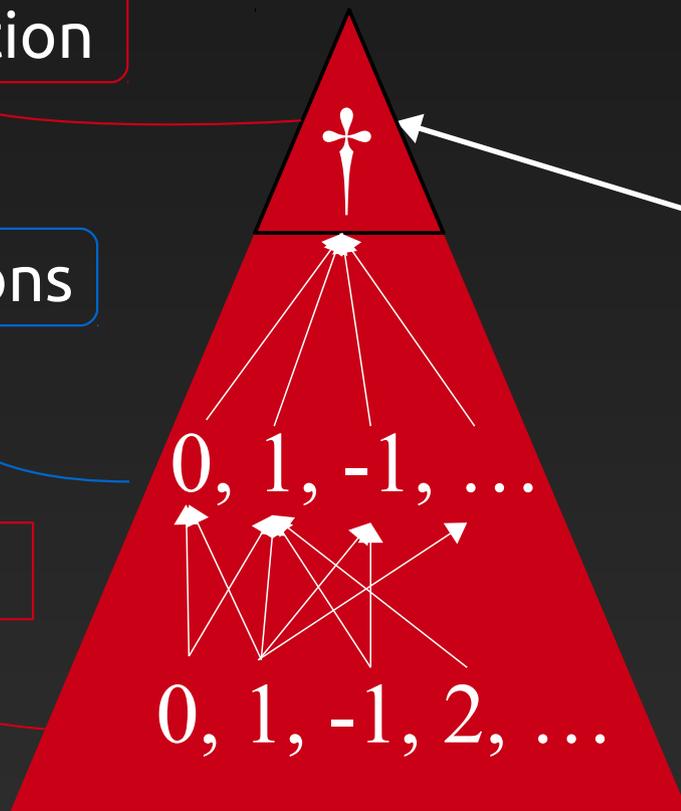


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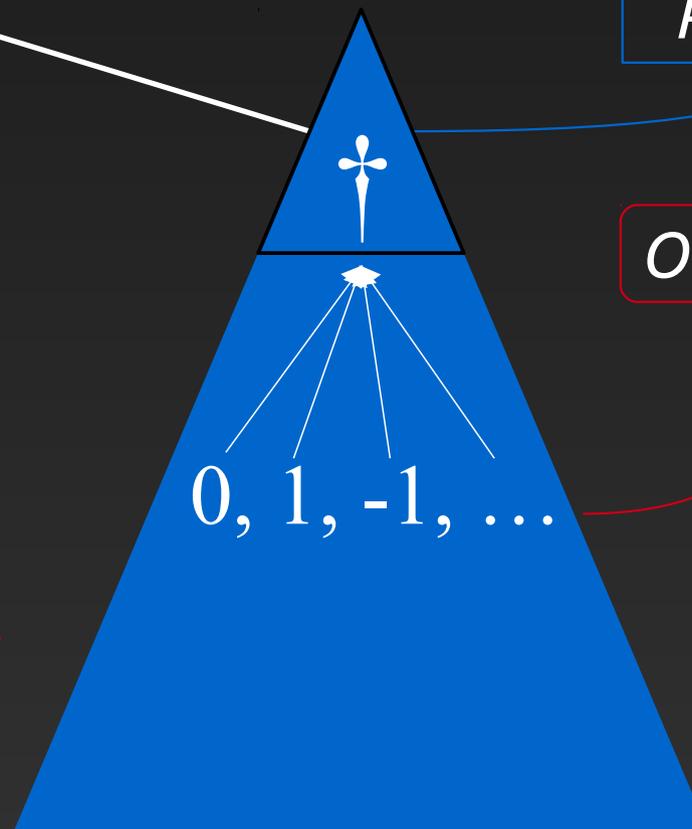
$P$  questions

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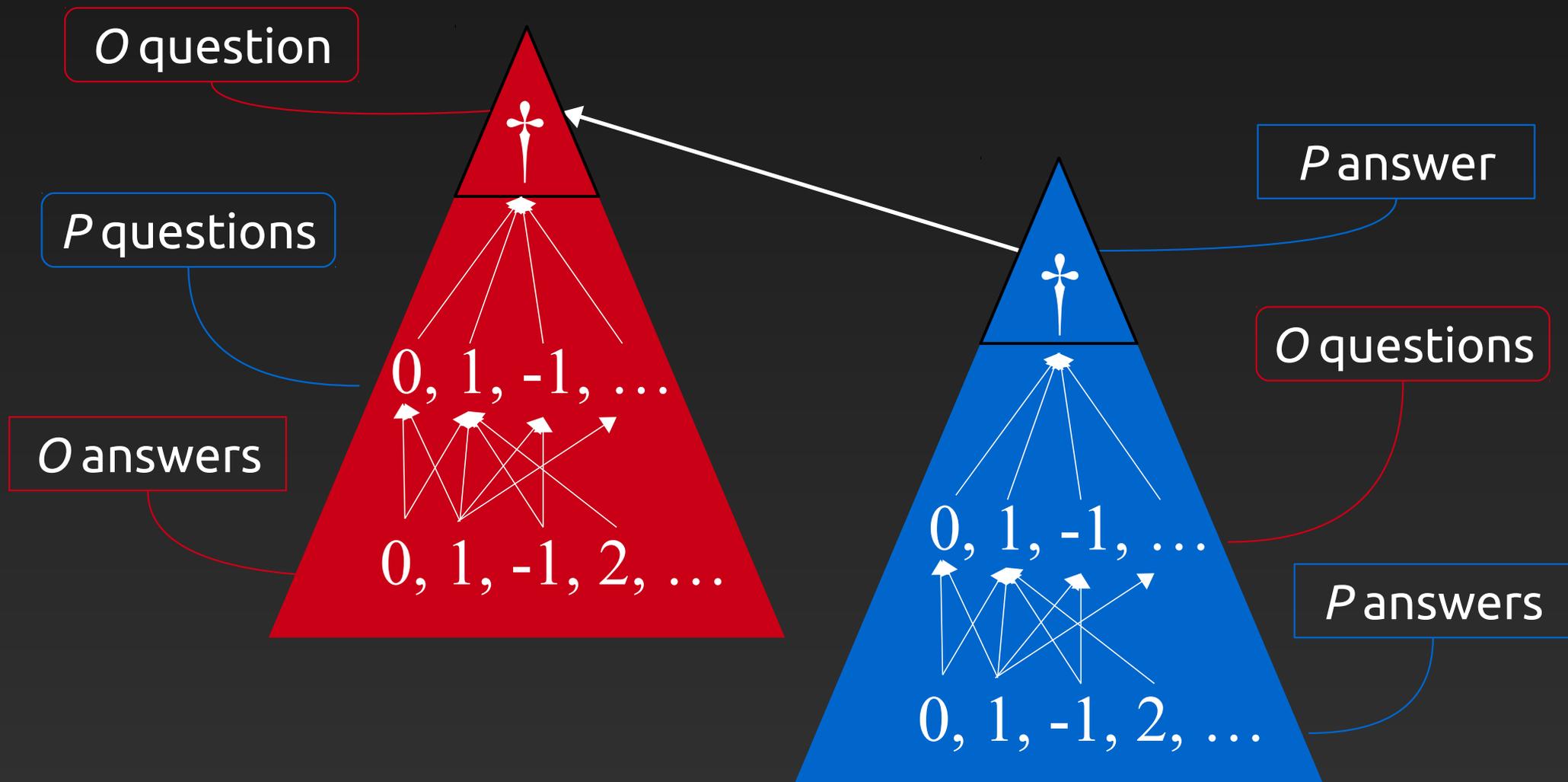


$P$  answer

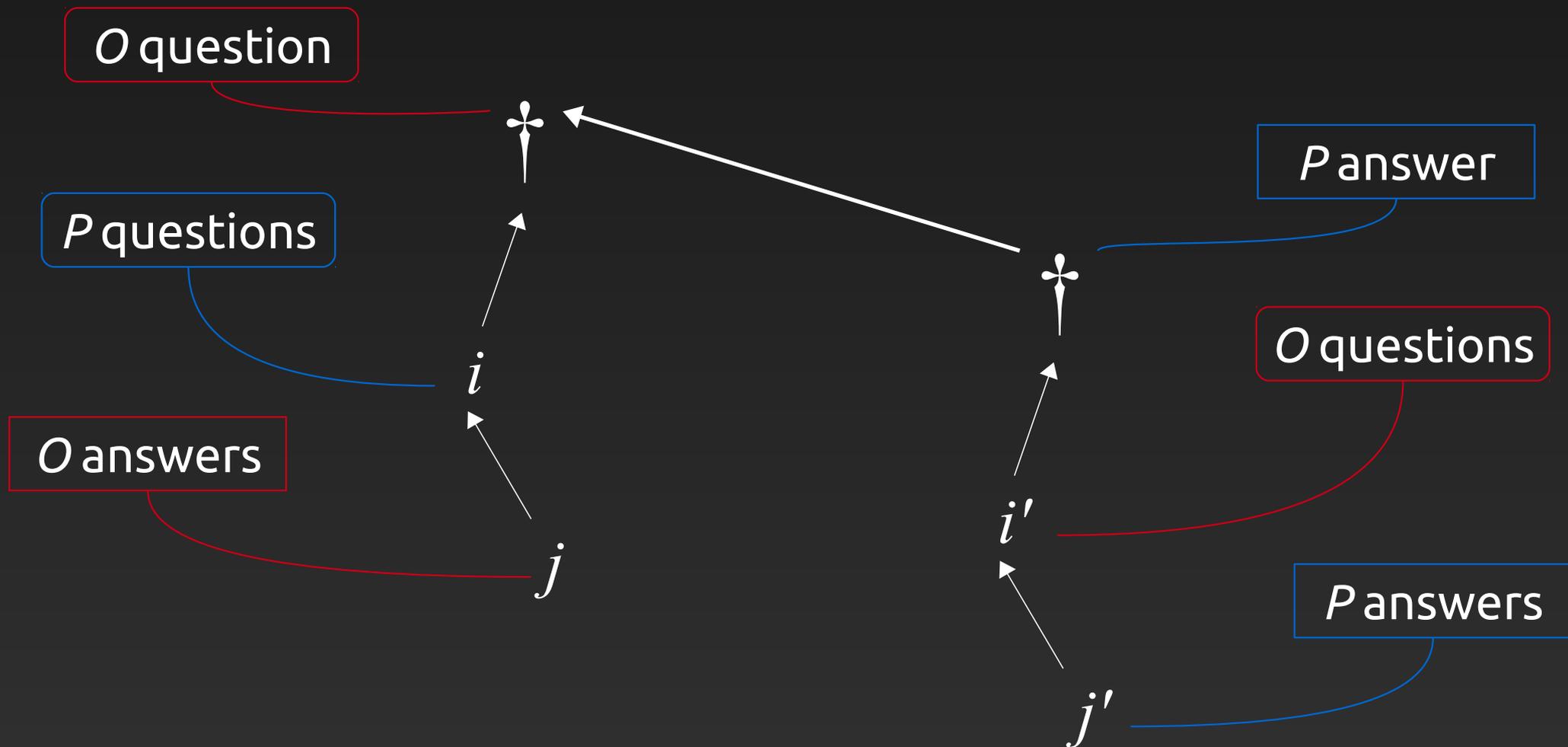
$O$  questions



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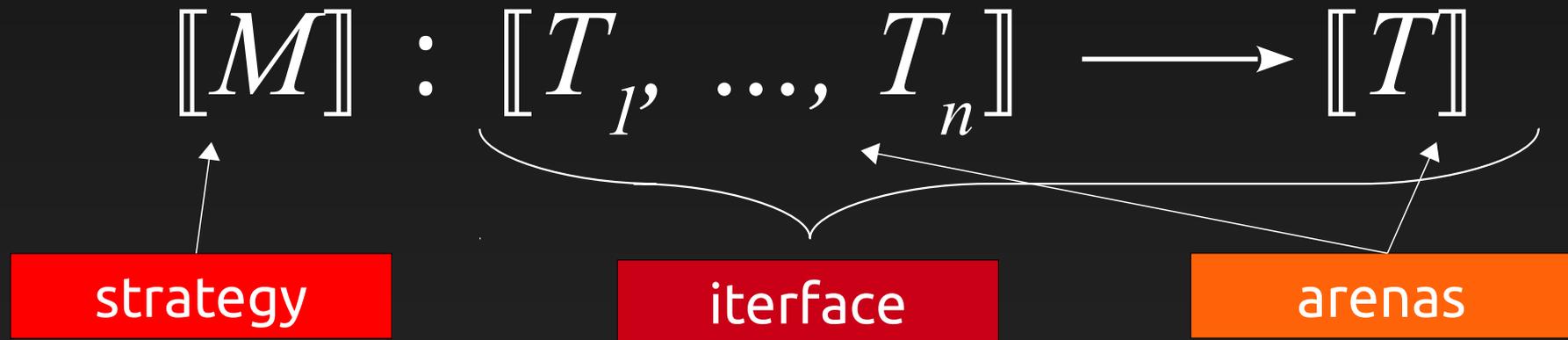


$$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$$



$$i, j, i', j' = 0, 1, -1, 2, -2, \dots$$

# Strategies



Strategies are “instructions” for  $P$  on how to play on a given interface

- Formally, sets of even-length plays satisfying combinatorial conditions linked to language expressivity

# Example

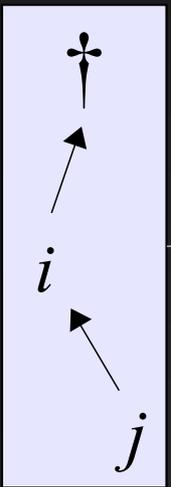
$$f : \text{int} \rightarrow \text{int} \vdash \lambda x. f x + 1 : \text{int} \rightarrow \text{int}$$
$$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$$

---

# Example

$f : \text{int} \rightarrow \text{int} \vdash \lambda x. f x + 1 : \text{int} \rightarrow \text{int}$

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$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$

$\dagger$

$O, Q$

$\dagger$

$i$

$j$

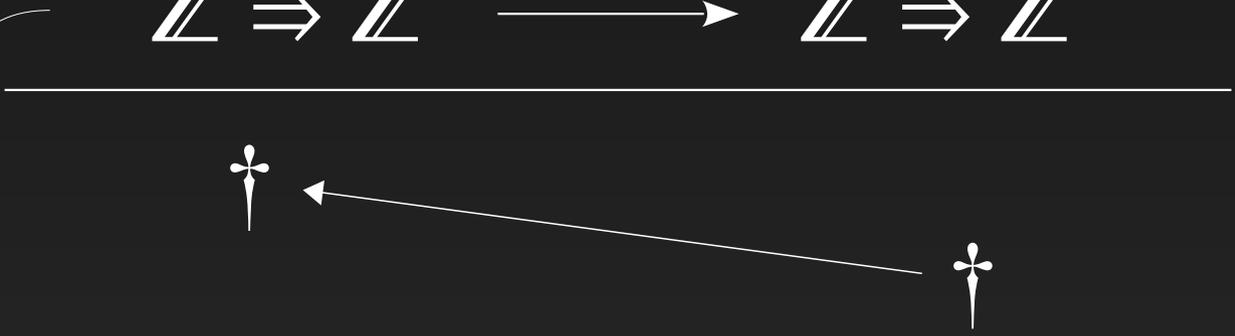
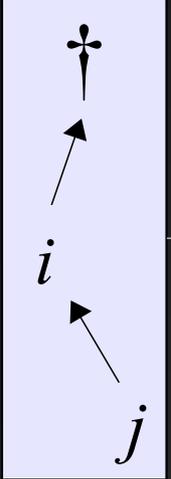
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$O, Q$

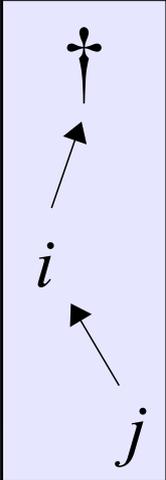
$P, A$



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⊥

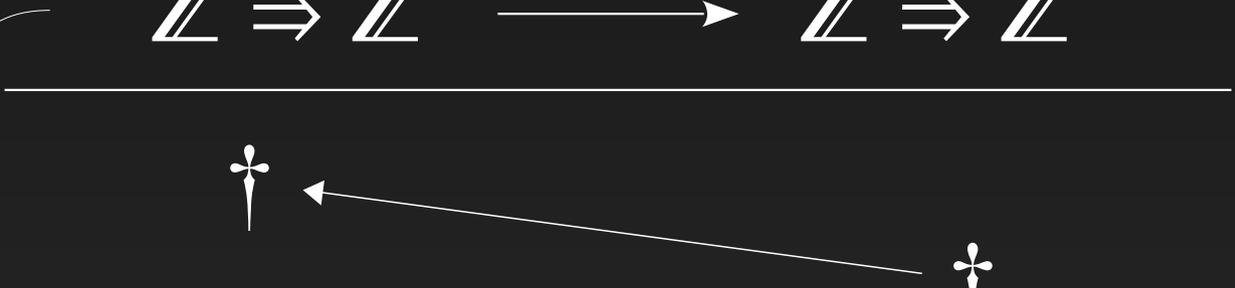
$O, Q$

⊥

$P, A$

5

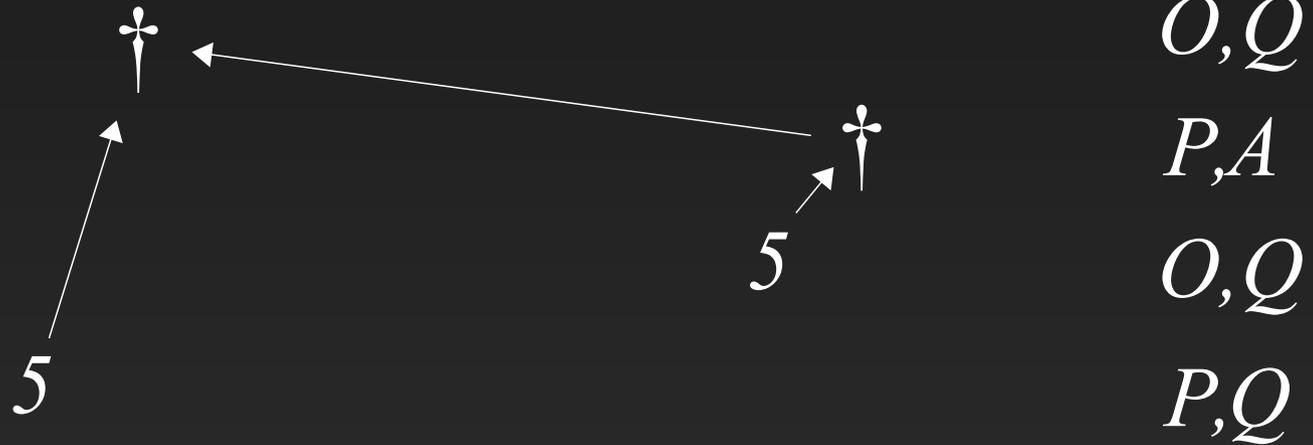
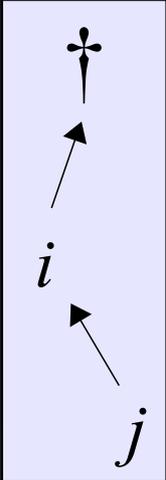
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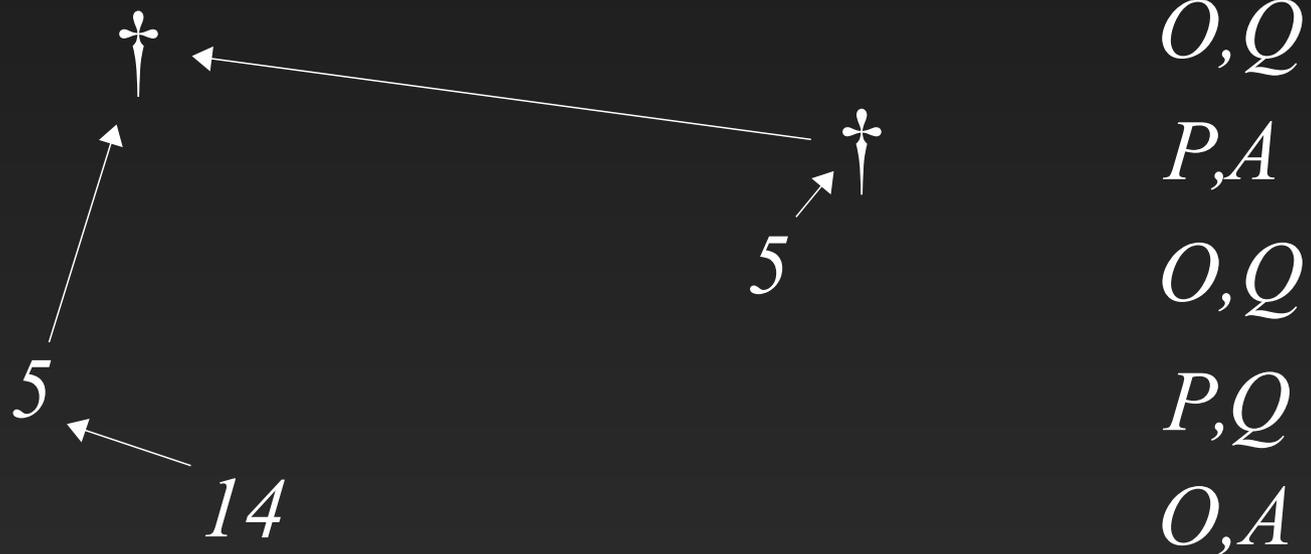
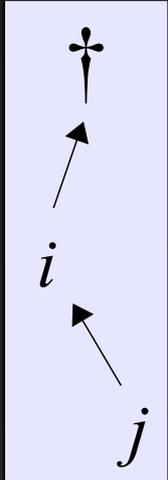
$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$



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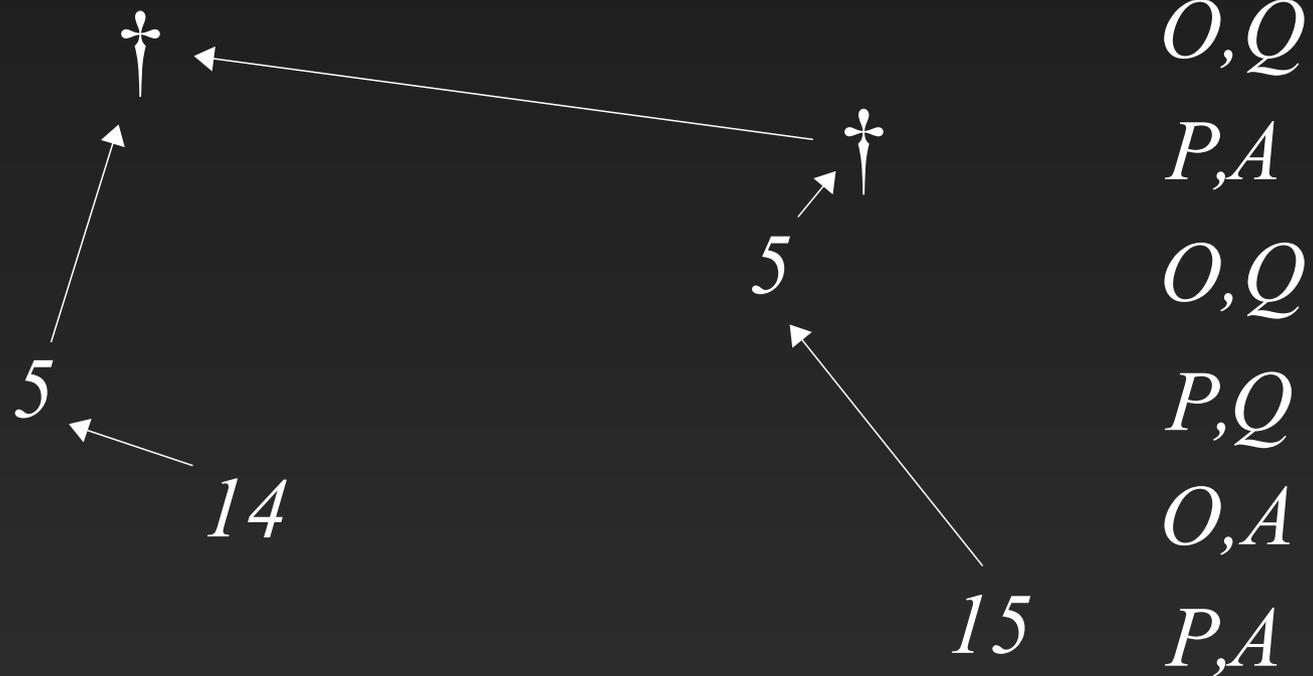
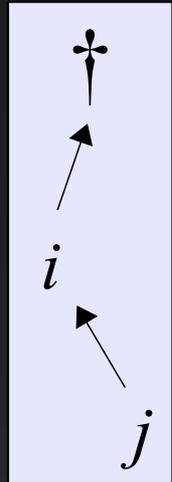
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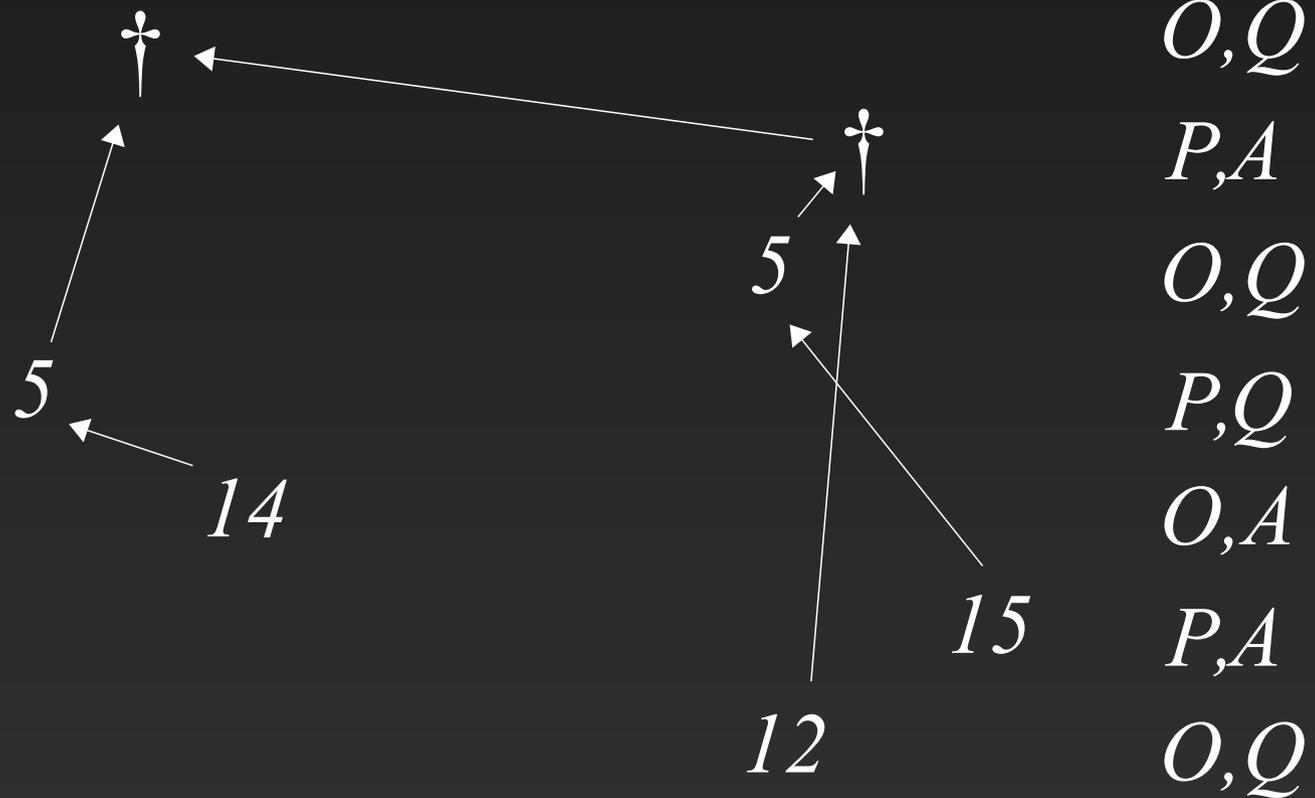
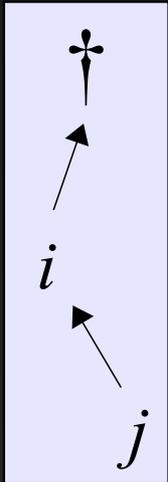
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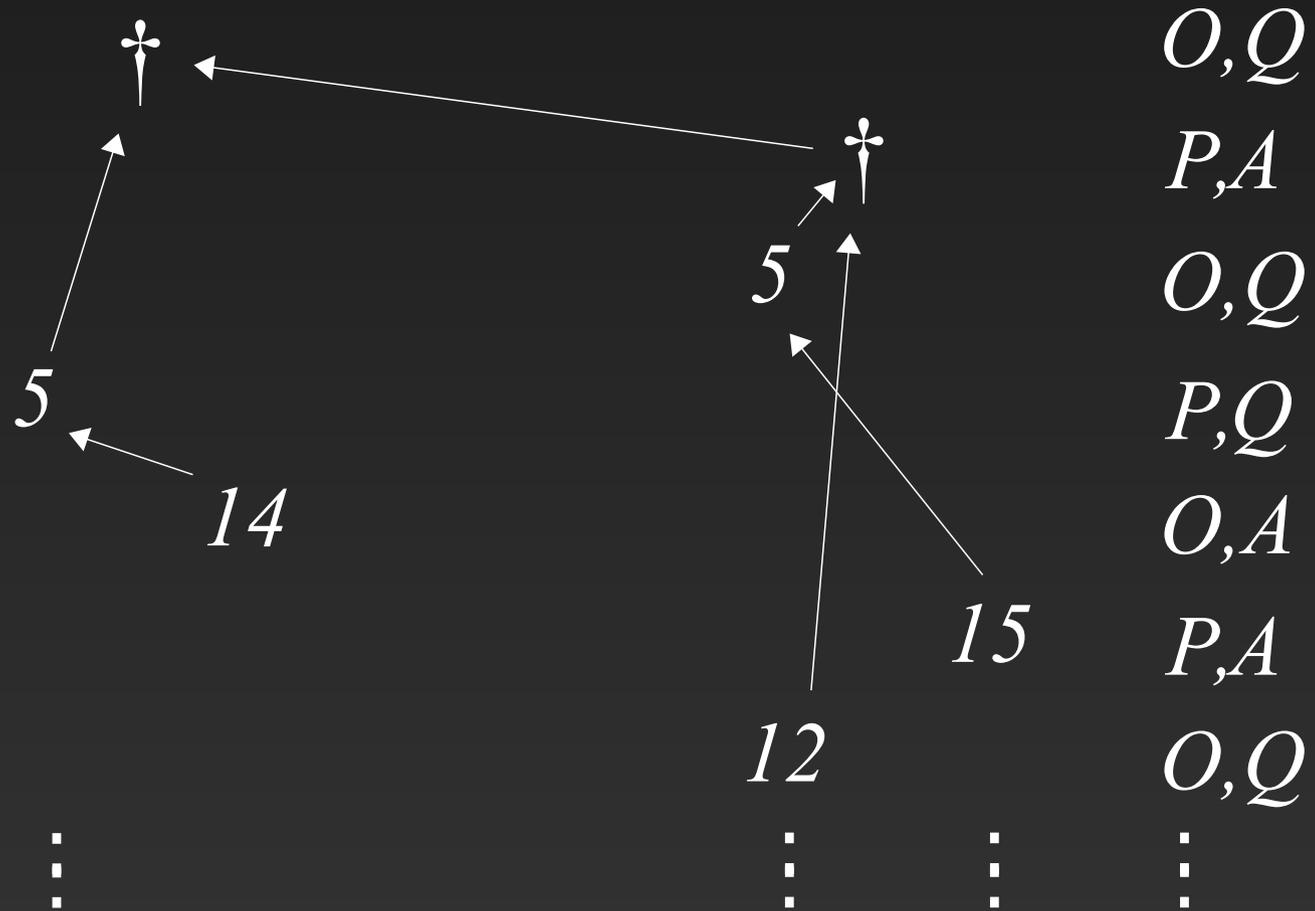
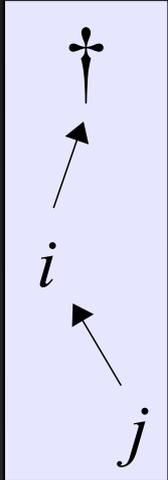
$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$



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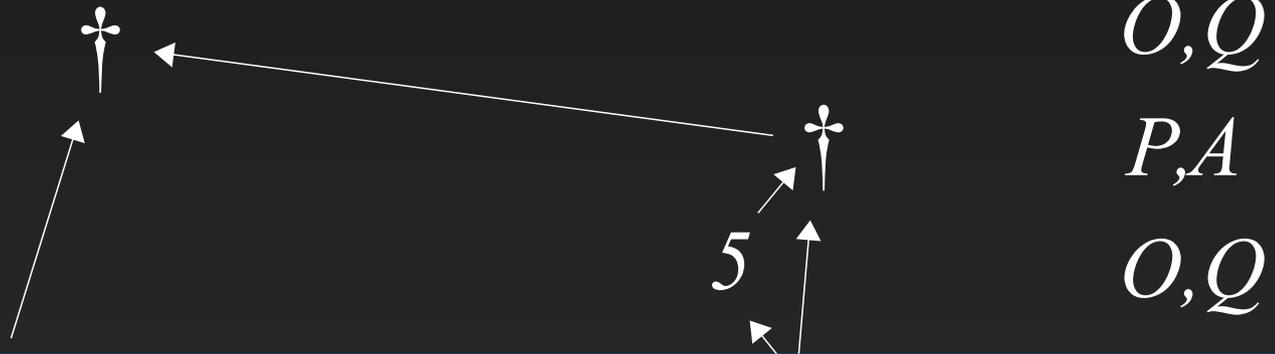
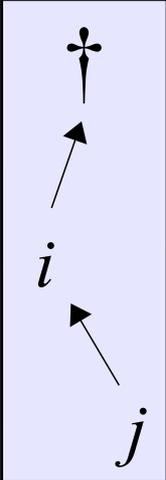
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$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$



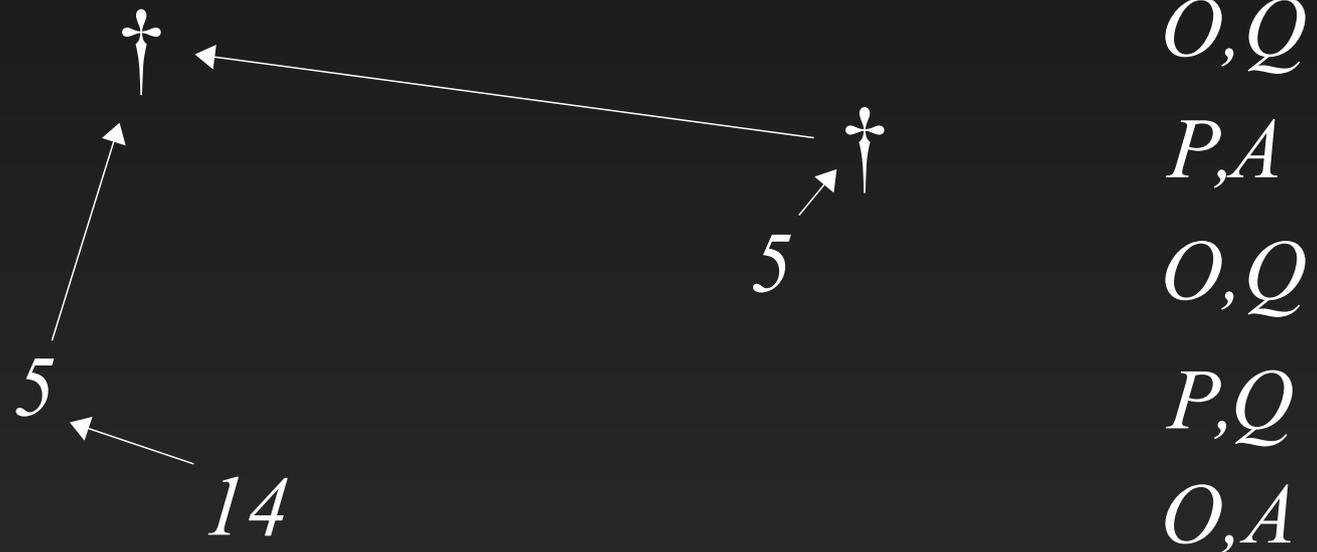
$[[\lambda x. f x + 1]] = \{ \dagger \quad \dagger \quad 5 \quad 5 \quad 14 \quad 15 \dots \}$   
 $OQ \quad PA \quad OQ \quad PQ \quad OA \quad PA$

$\vdots \quad \vdots \quad \vdots \quad \vdots$

# Another example

$$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$$

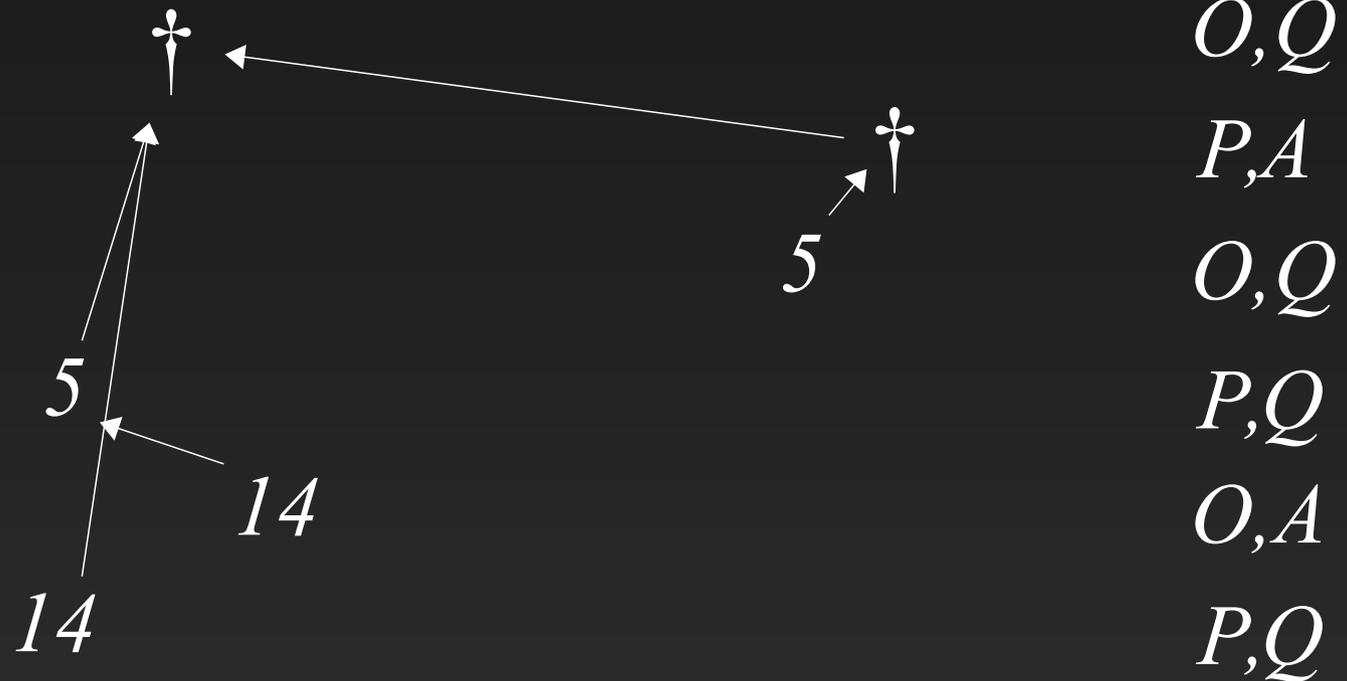
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# Another example

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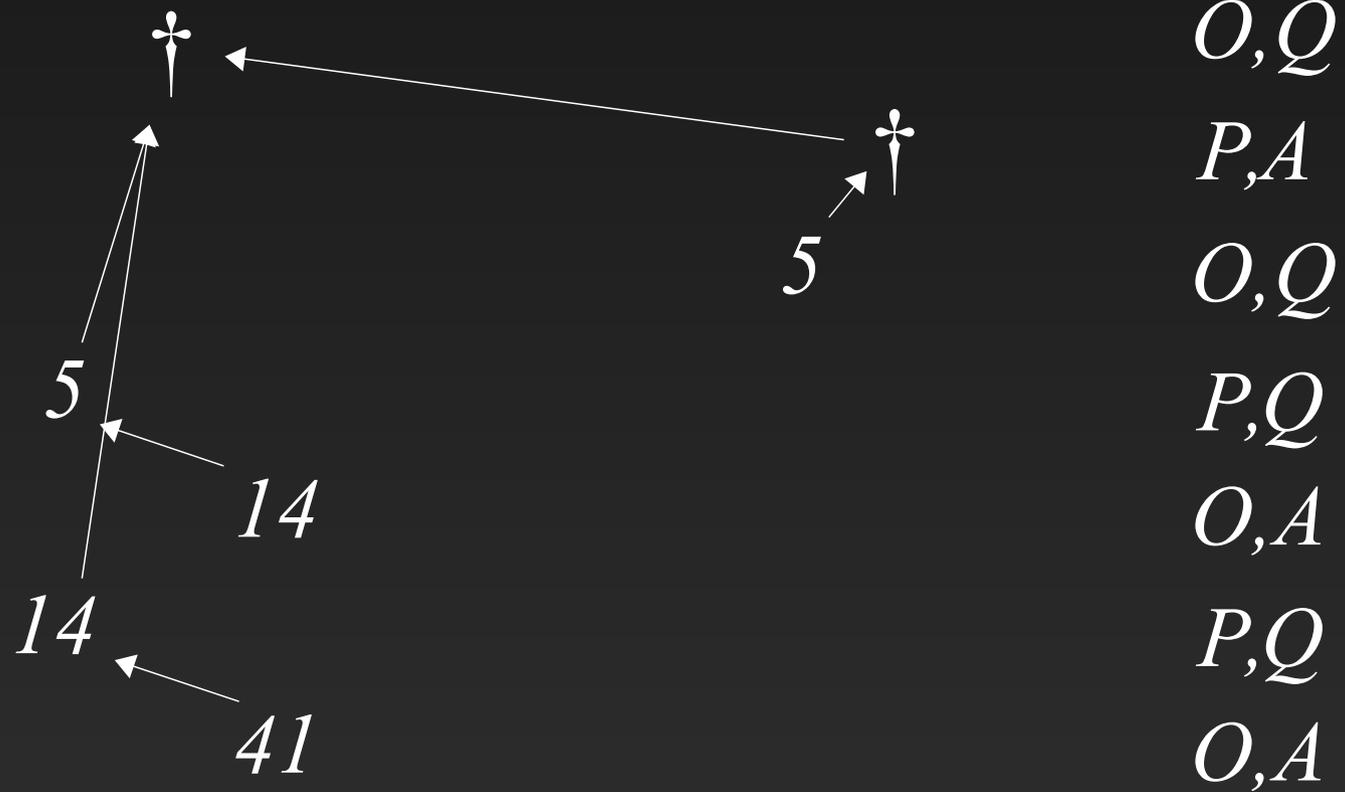

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# Another example

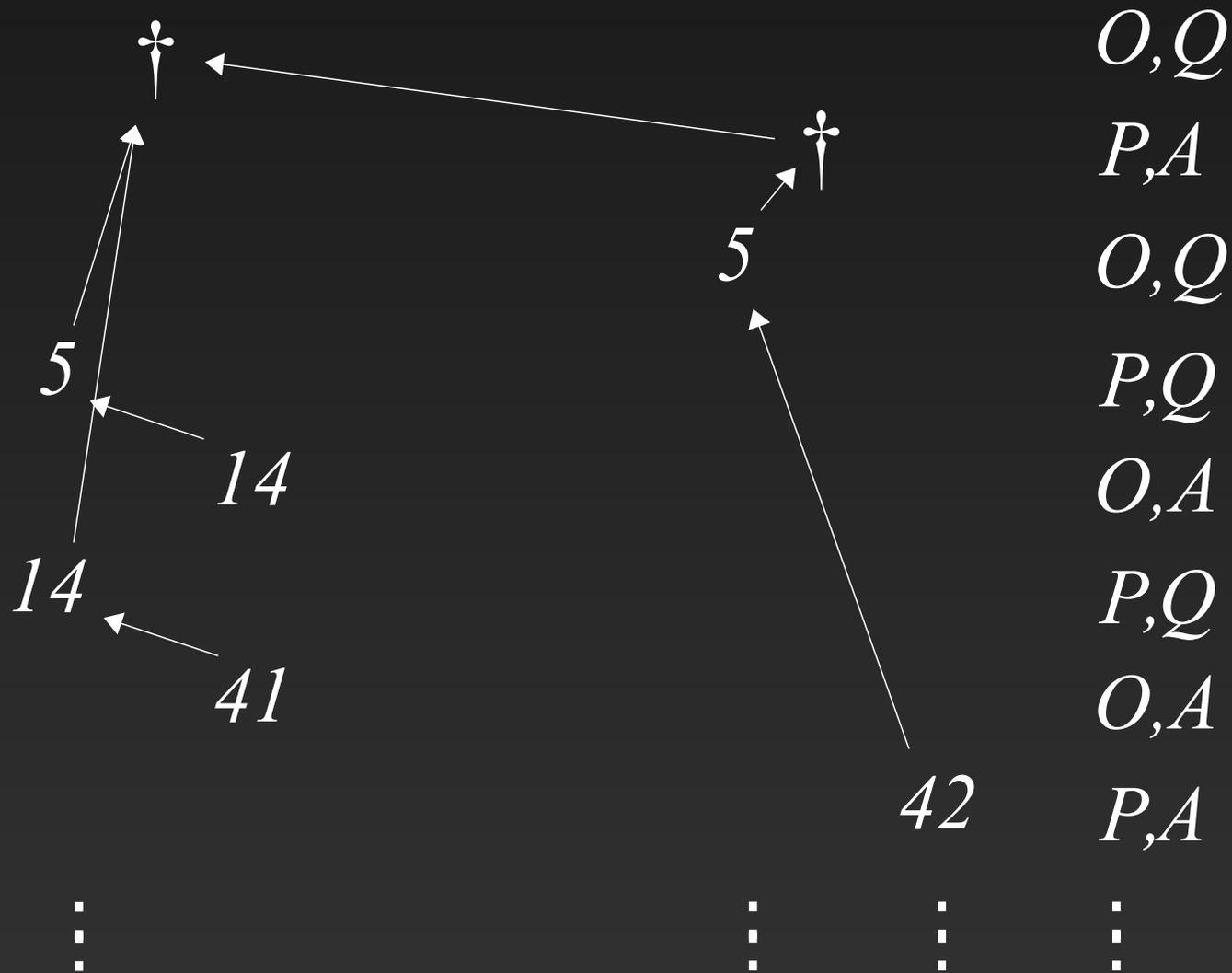
$$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$$


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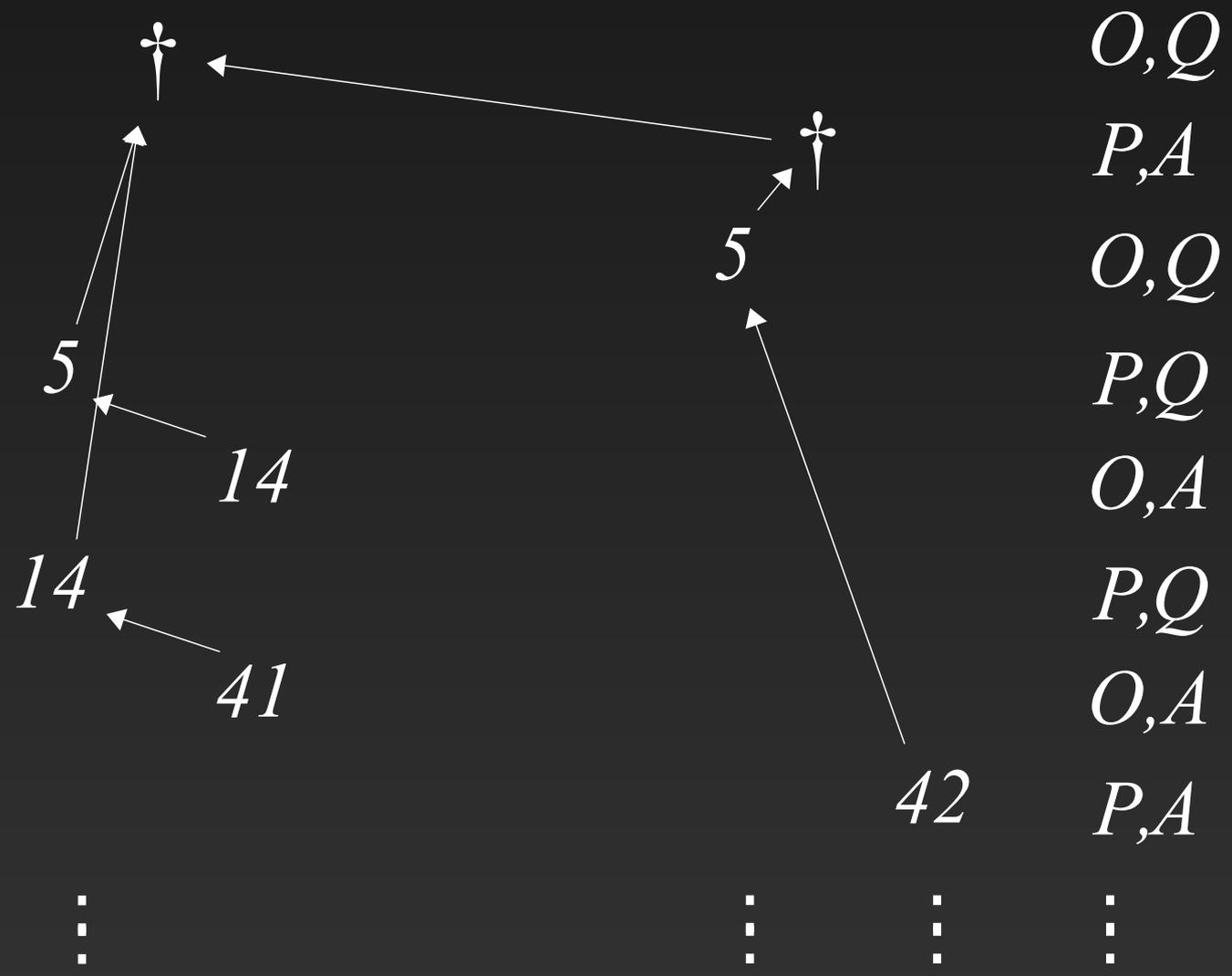
# Another example

$$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$$



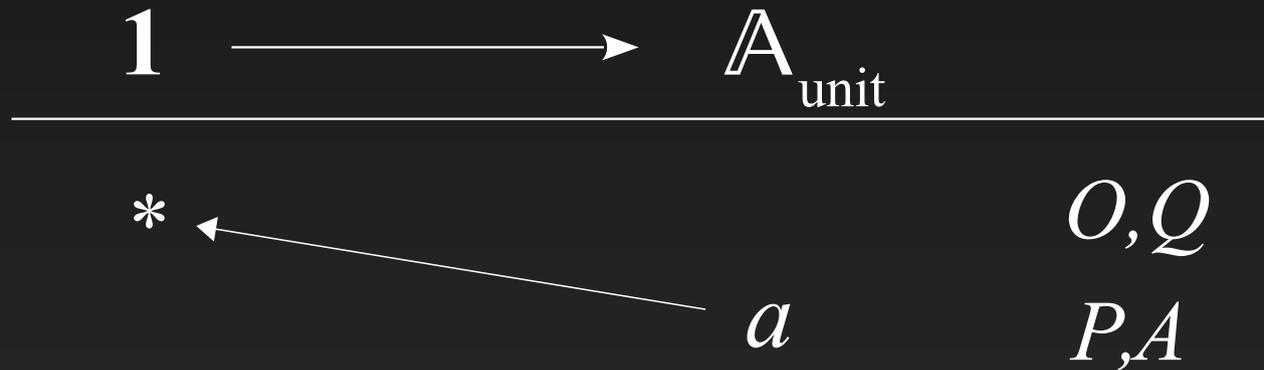
$f : \text{int} \rightarrow \text{int} \vdash \lambda x. f(f(x)) + 1 : \text{int} \rightarrow \text{int}$

$\mathbb{Z} \Rightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \Rightarrow \mathbb{Z}$



# Nominal games

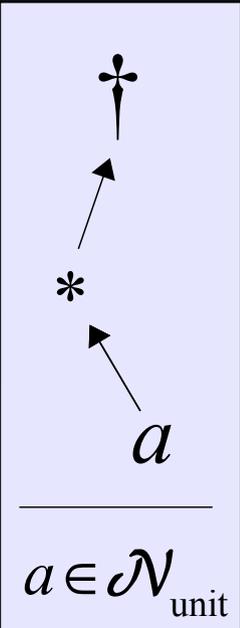
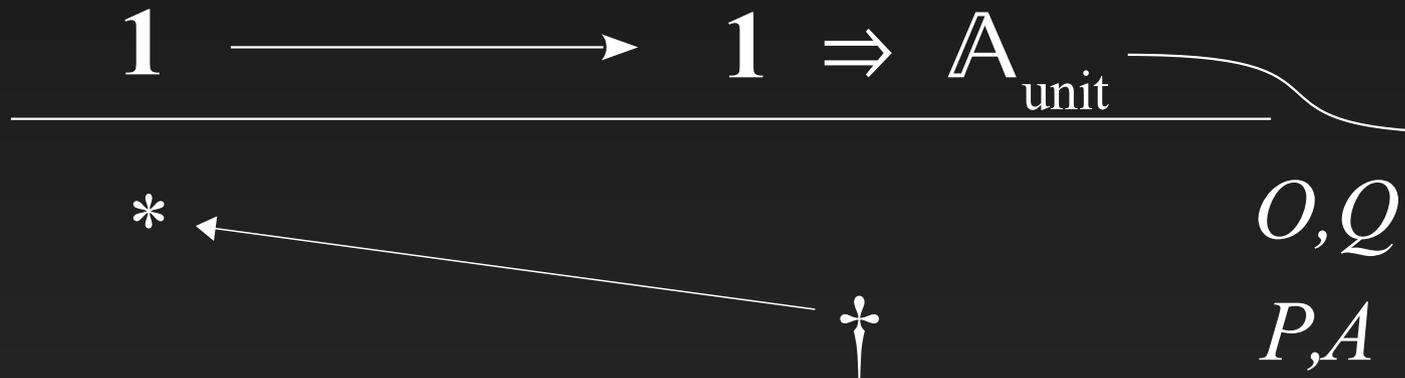
$\vdash \text{ref}() : \text{ref unit}$



$$a \in \mathcal{N}_{\text{unit}}$$

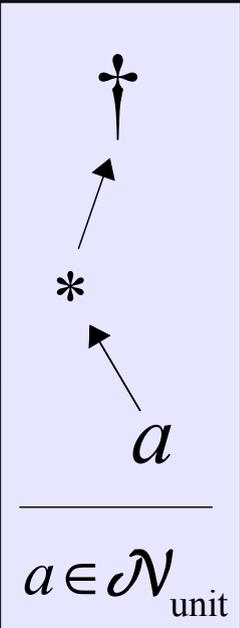
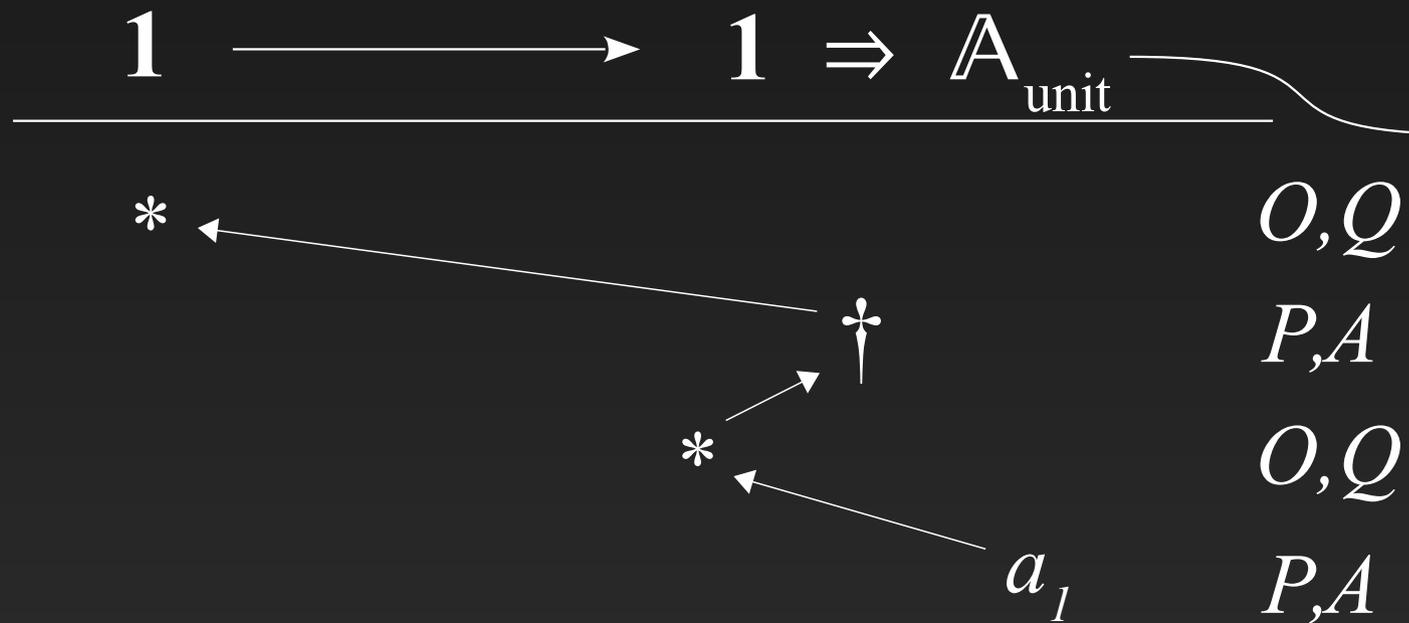
# Nominal games (2)

$\vdash \lambda x. \text{ref}() : \text{unit} \rightarrow \text{ref unit}$



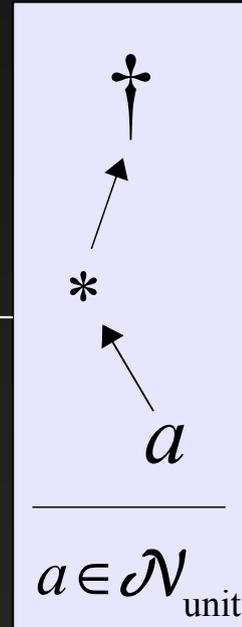
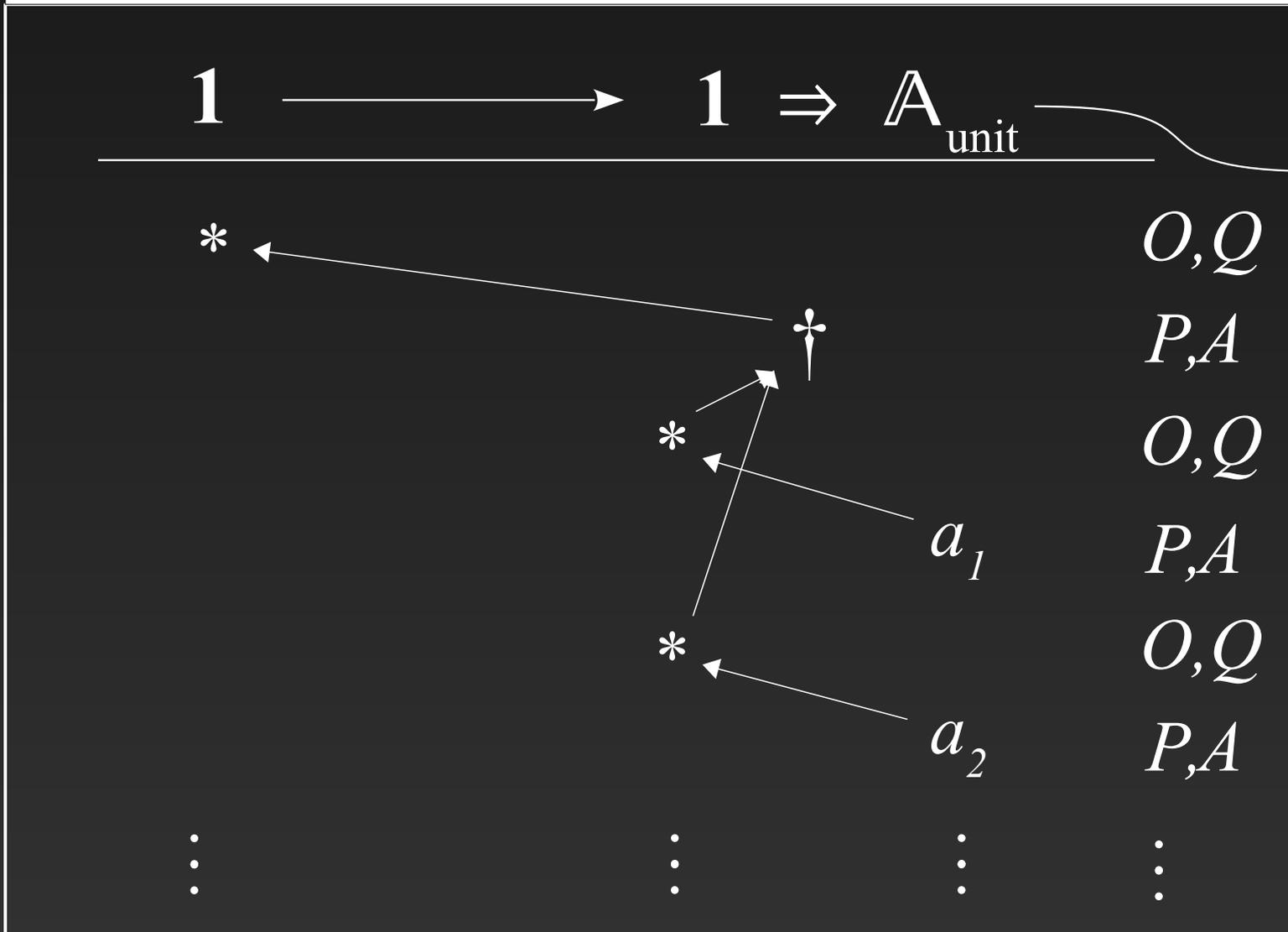
# Nominal games (2)

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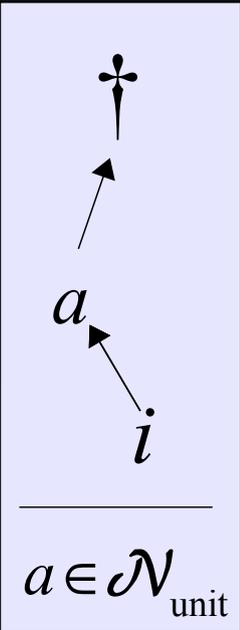
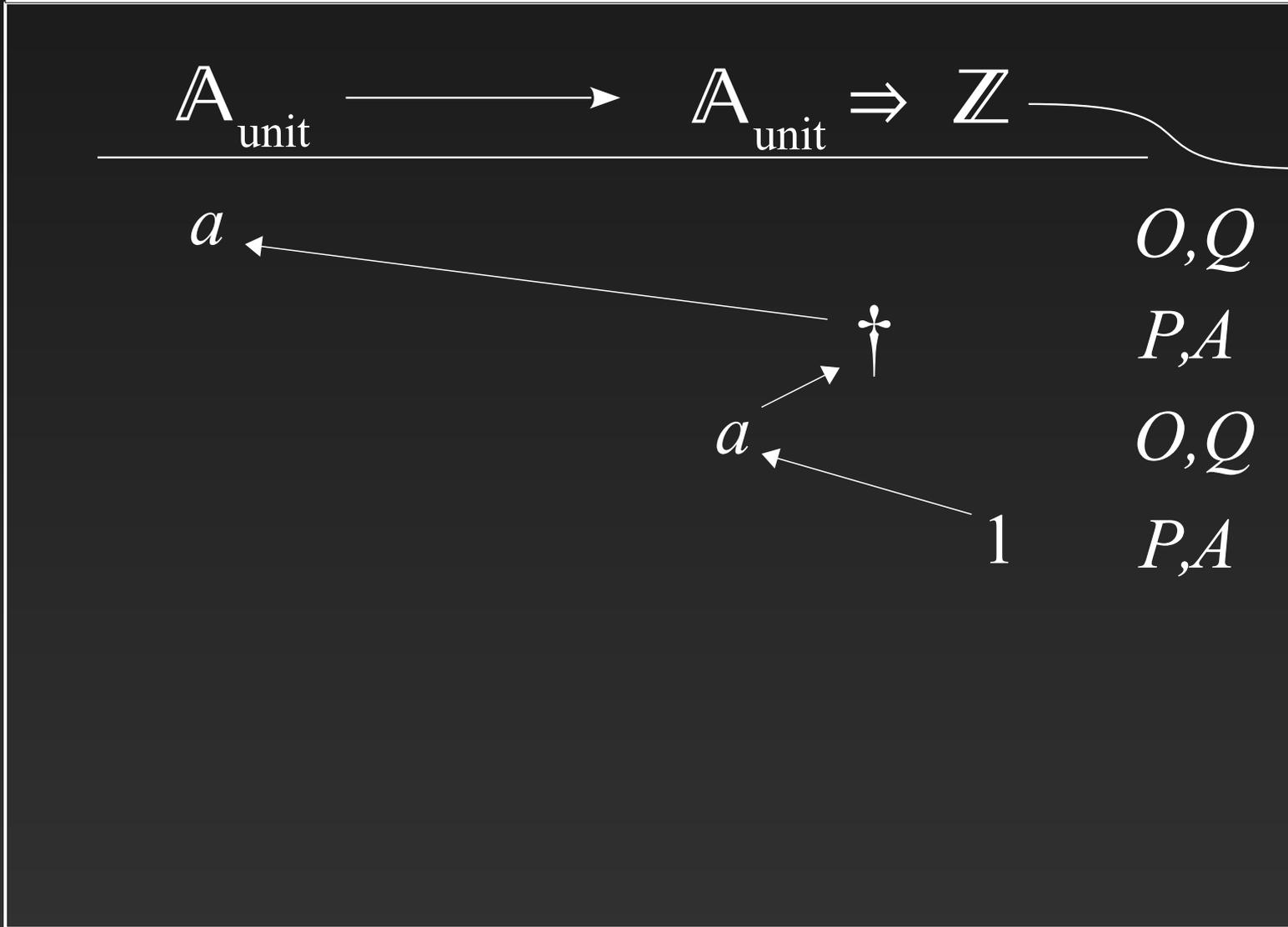
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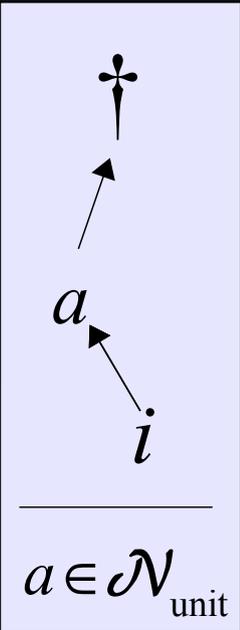
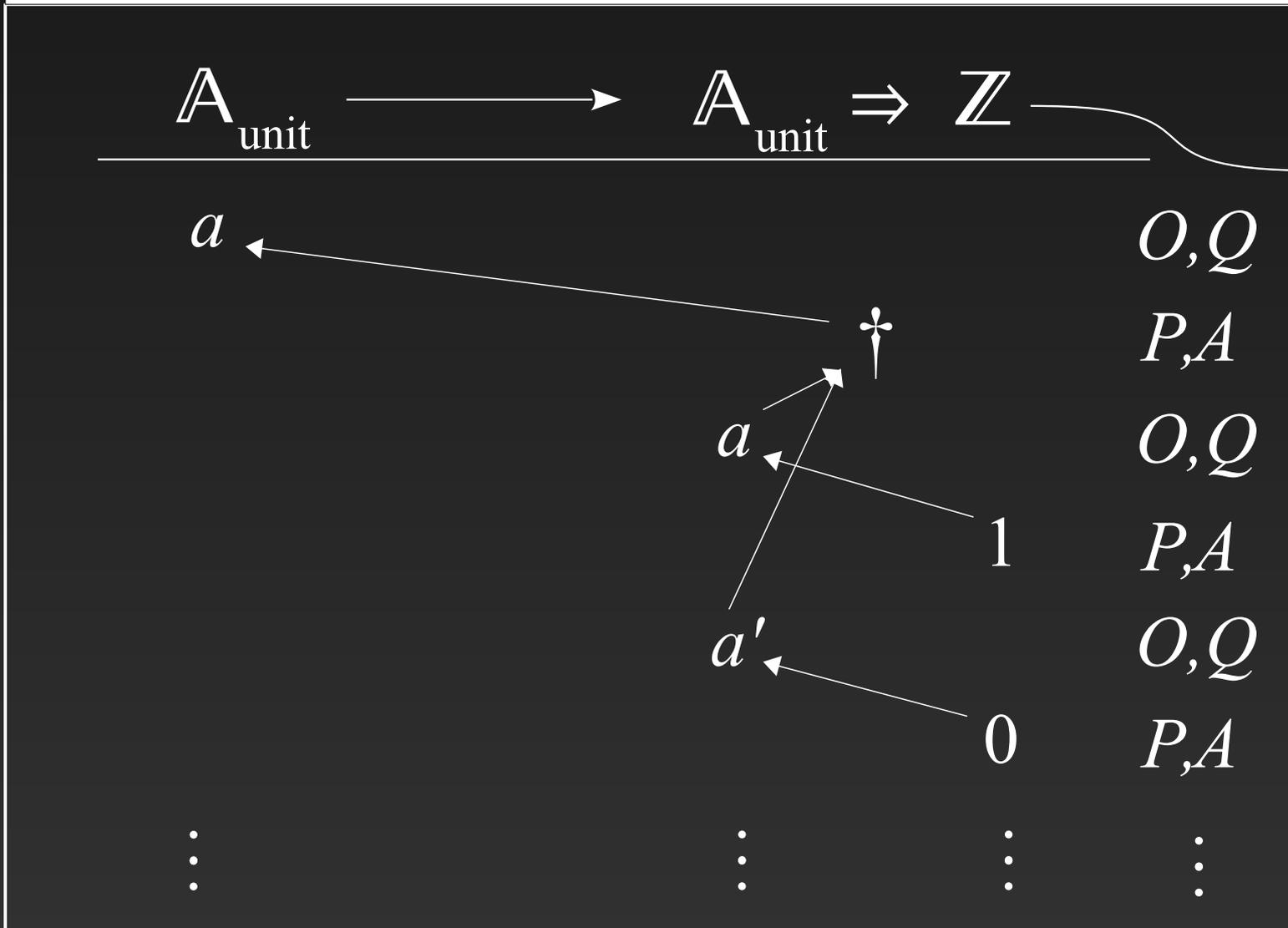
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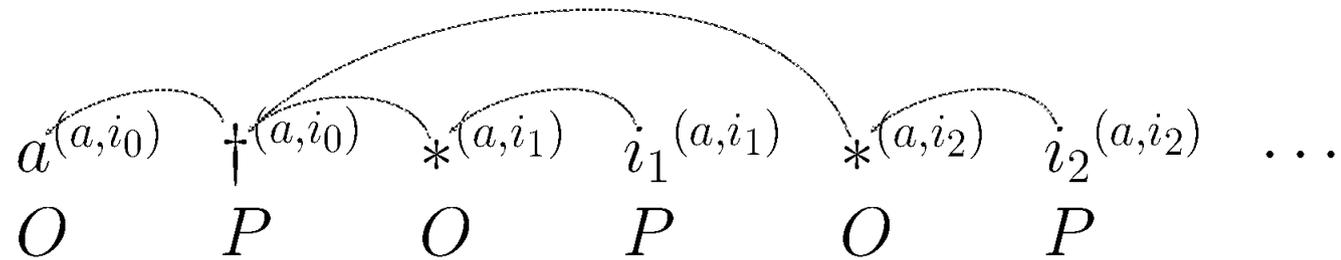


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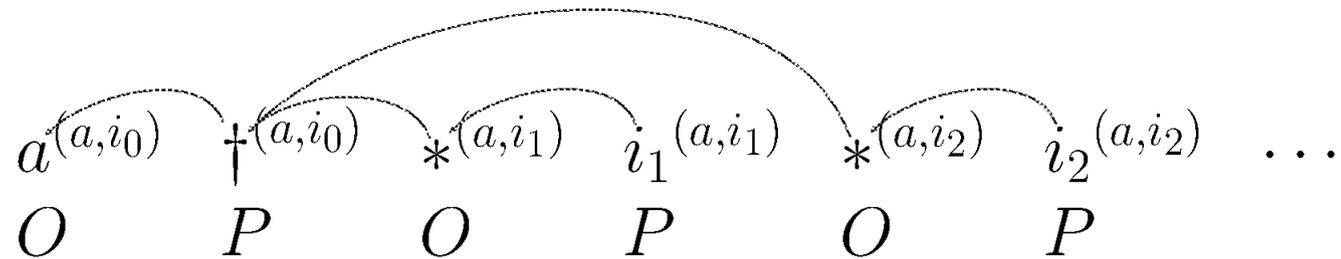


# Nominal games: explicit store

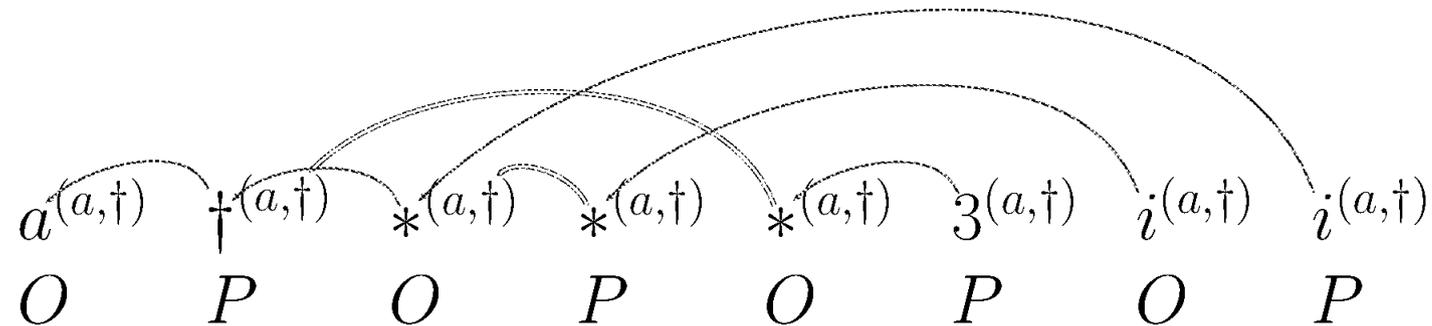


$$= \llbracket x : \text{int ref} \vdash \lambda y. !x : \text{unit} \rightarrow \text{int} \rrbracket : \mathbb{A}_{\text{int}} \rightarrow (1 \Rightarrow \mathbb{Z})$$

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$$= \llbracket x : (\text{unit} \rightarrow \text{int}) \text{ ref} \vdash x := \lambda y. 3; \lambda y. (!x)() \rrbracket : \mathbb{A}_{\text{unit} \rightarrow \text{int}} \rightarrow (1 \Rightarrow \mathbb{Z})$$

# In LaTeX

Games live in a  
category of  
*nominal sets*  
[Gabbay&Pitts]

**Definition** An *arena*  $A = (M_A, I_A, \vdash_A, \lambda_A)$  is given by:

- a strong nominal set  $M_A$  of *moves*,
- a nominal subset  $I_A \subseteq M_A$  of *initial moves*,
- a nominal *labelling* function  $\lambda_A : M_A \rightarrow \{O, P\} \times \{Q, A\}$ ,
- a nominal *justification* relation  $\vdash_A \subseteq M_A \times (M_A \setminus I_A)$ ;

satisfying the conditions:

- $m \in I_A \implies \lambda_A(m) = (P, A)$
- $m \vdash_A n \implies \lambda_A^{O,P}(m) \neq \lambda_A^{O,P}(n) \wedge (\lambda_A^{Q,A}(m) = A \implies \lambda_A^{Q,A}(n) = Q)$

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Given arenas  $A, B$ , the *interface*  $A \rightarrow B = (M_{A \rightarrow B}, I_{A \rightarrow B}, \vdash_{A \rightarrow B}, \lambda_{A \rightarrow B})$  is given by:

- $M_{A \rightarrow B} = M_A \uplus M_B$  and  $I_{A \rightarrow B} = I_A$
- $\lambda_{A \rightarrow B} = [\lambda_A, \lambda_B]$
- $\vdash_{A \rightarrow B} = (I_A \times I_B) \cup \vdash_A \cup \vdash_B$

# In LaTeX

**Definition** A *play* in  $A \rightarrow B$  is a sequence of *moves-with-store*, written  $m^\Sigma$ , where  $m \in M_{A \rightarrow B}$  and  $\Sigma$  a finite *store*, satisfying the conditions:

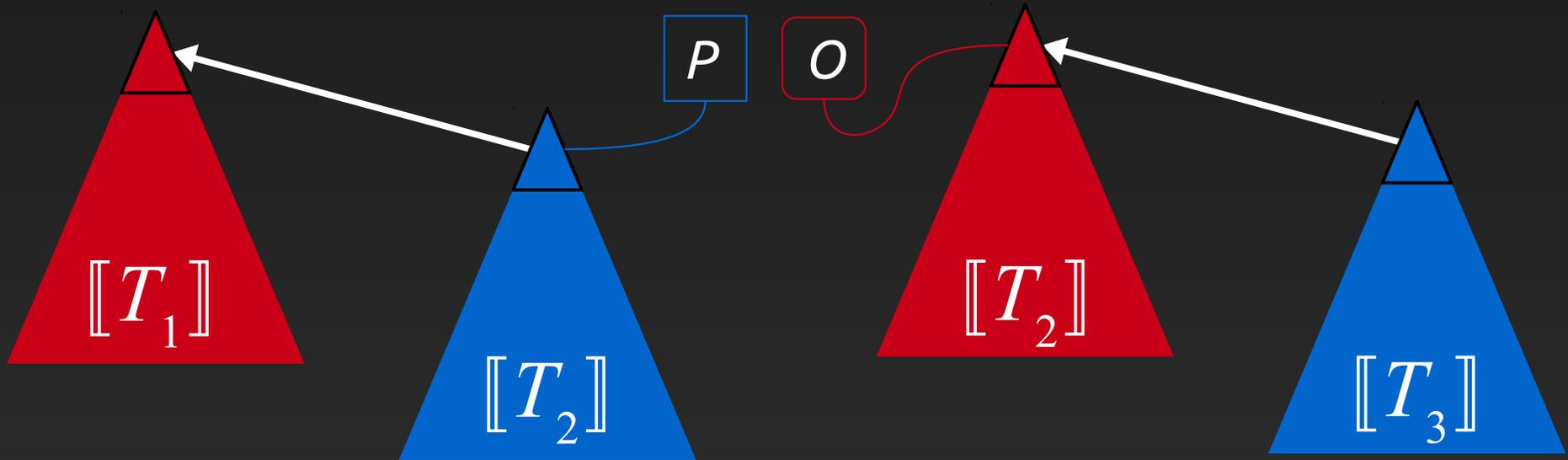
- O/P alternation,
- justification,
- well-bracketing,
- frugality (stores contain only visible/reachable names),
- ...

**Definition** Given an interface  $A \rightarrow B$ , a *strategy*  $\sigma : A \rightarrow B$  is a nominal set of even-length plays satisfying the conditions: [...]

# Composition

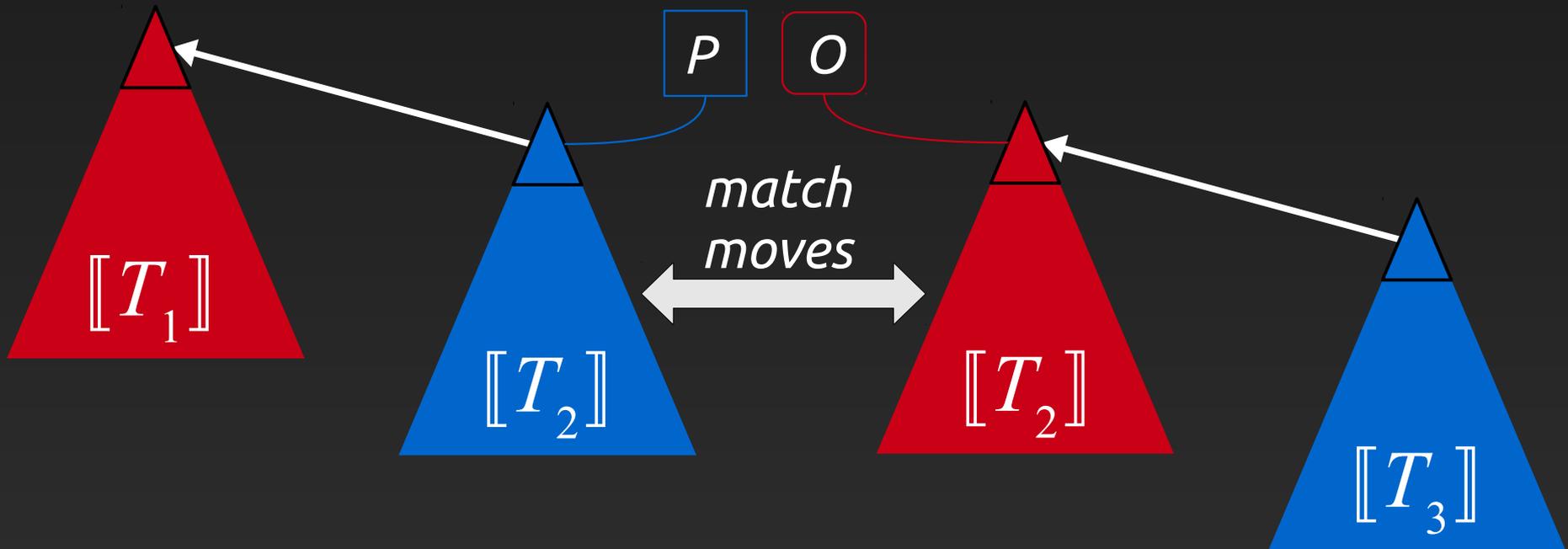
$\llbracket f_1:T_1 \vdash M_1:T_2 \rrbracket$

$\llbracket f_2:T_2 \vdash M_2:T_3 \rrbracket$



# Composition

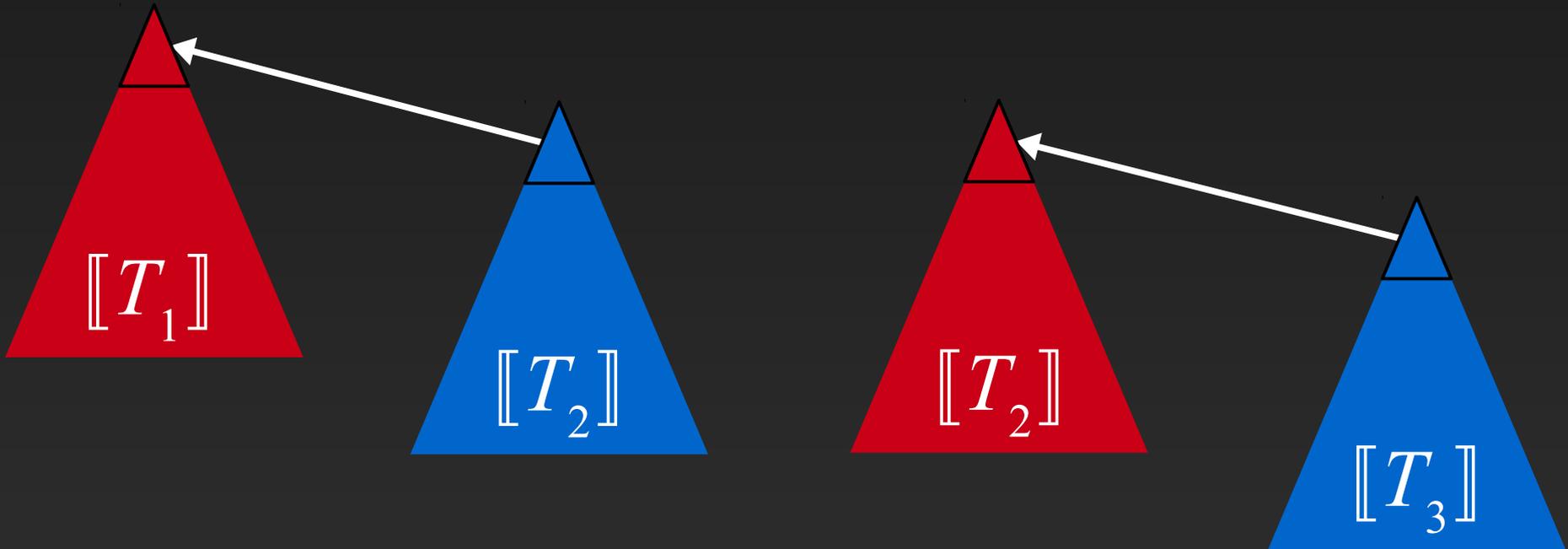
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# Composition

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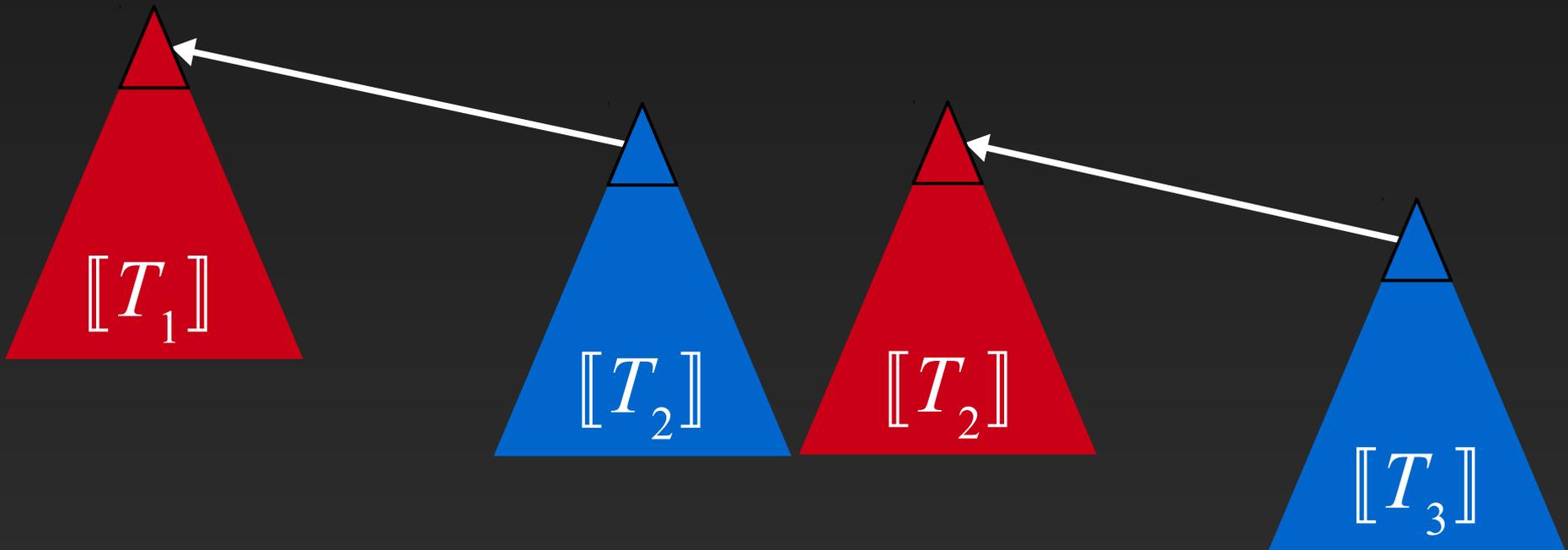
$\llbracket f_2:T_2 \vdash M_2:T_3 \rrbracket$



# Composition

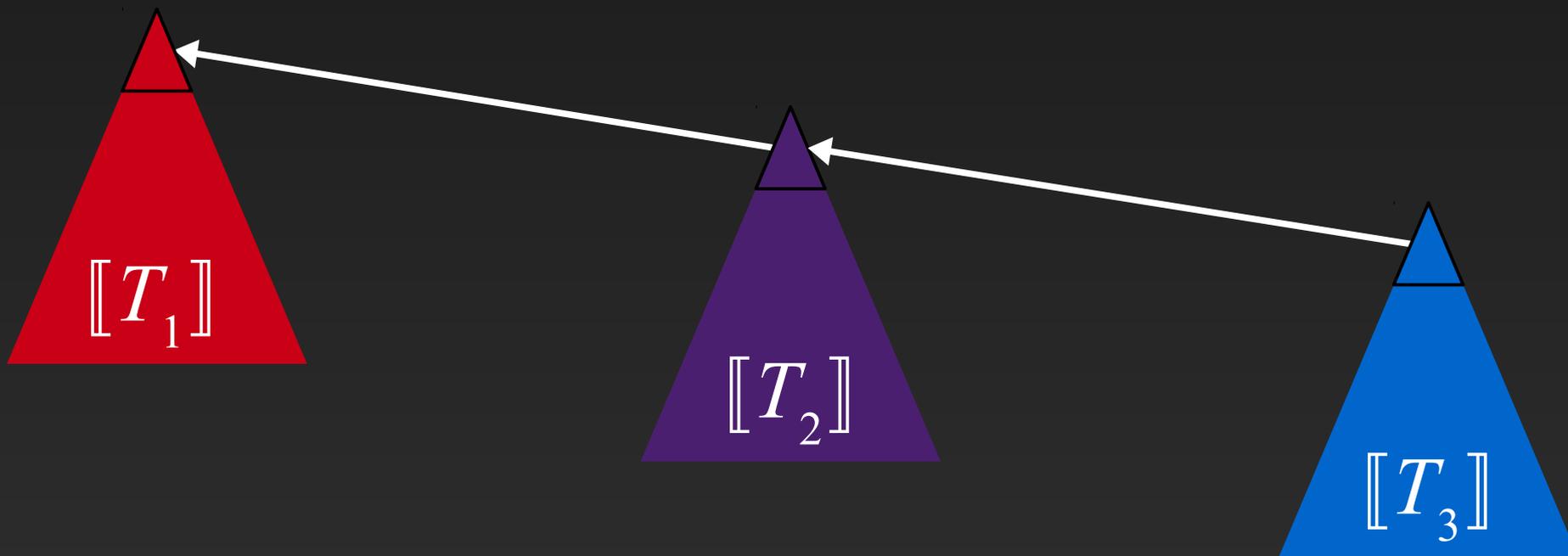
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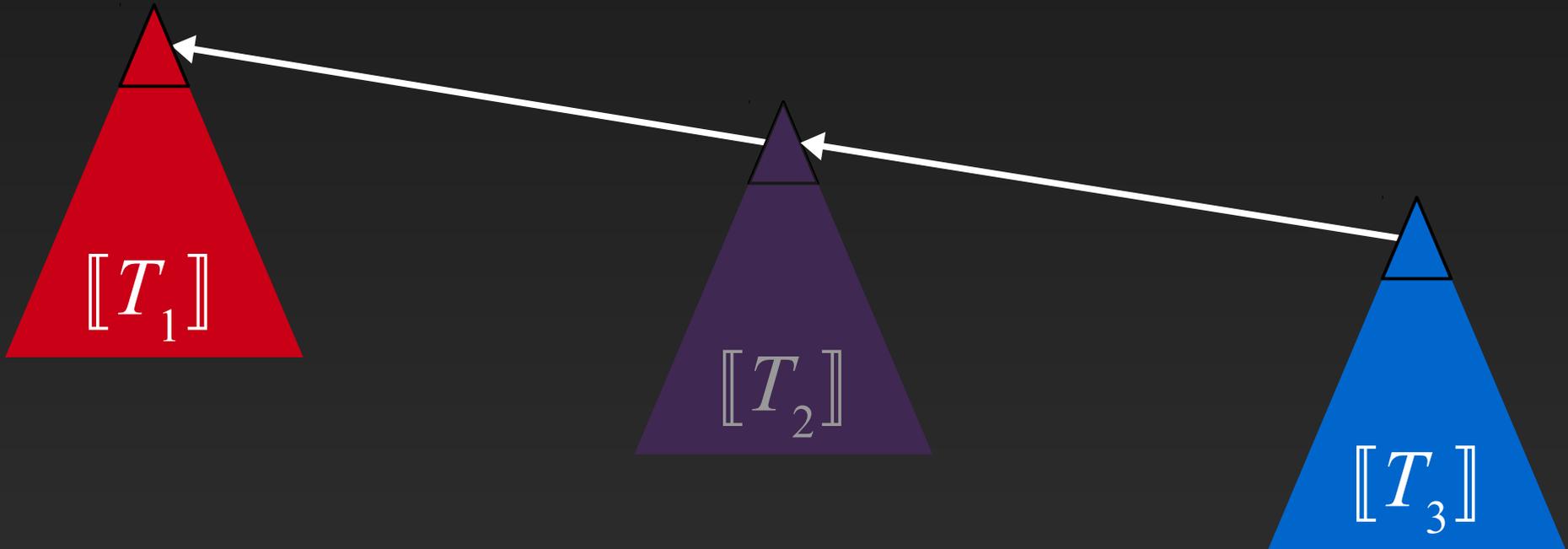
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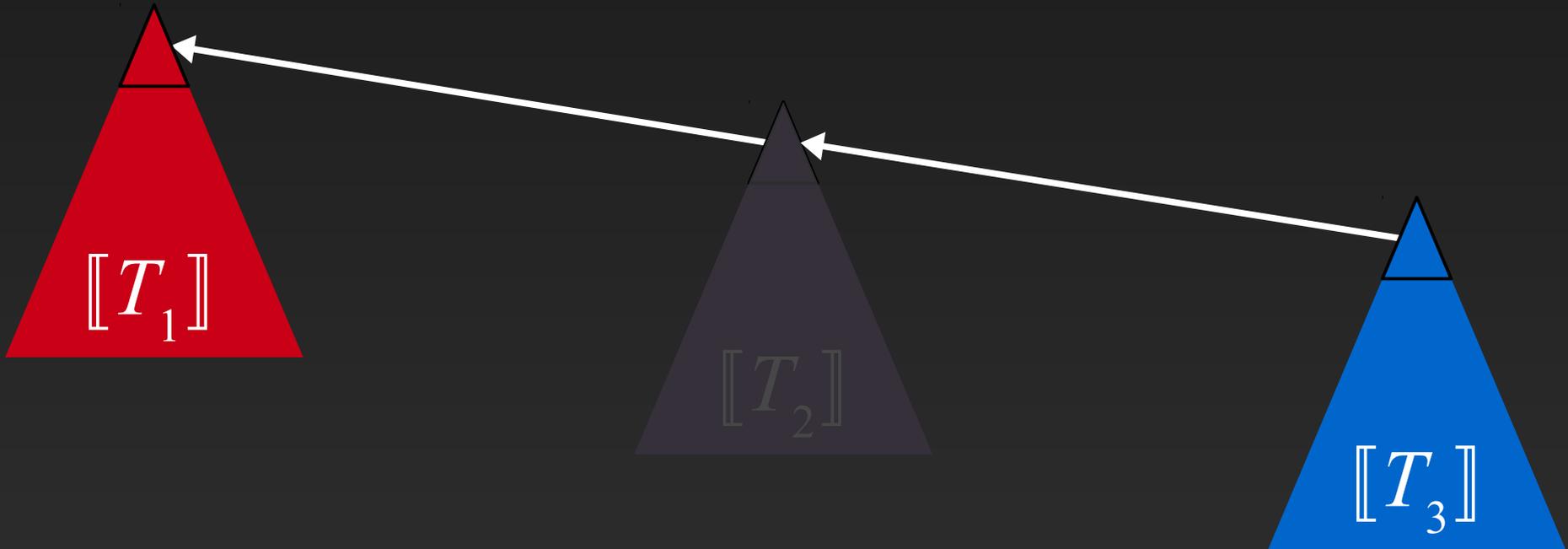
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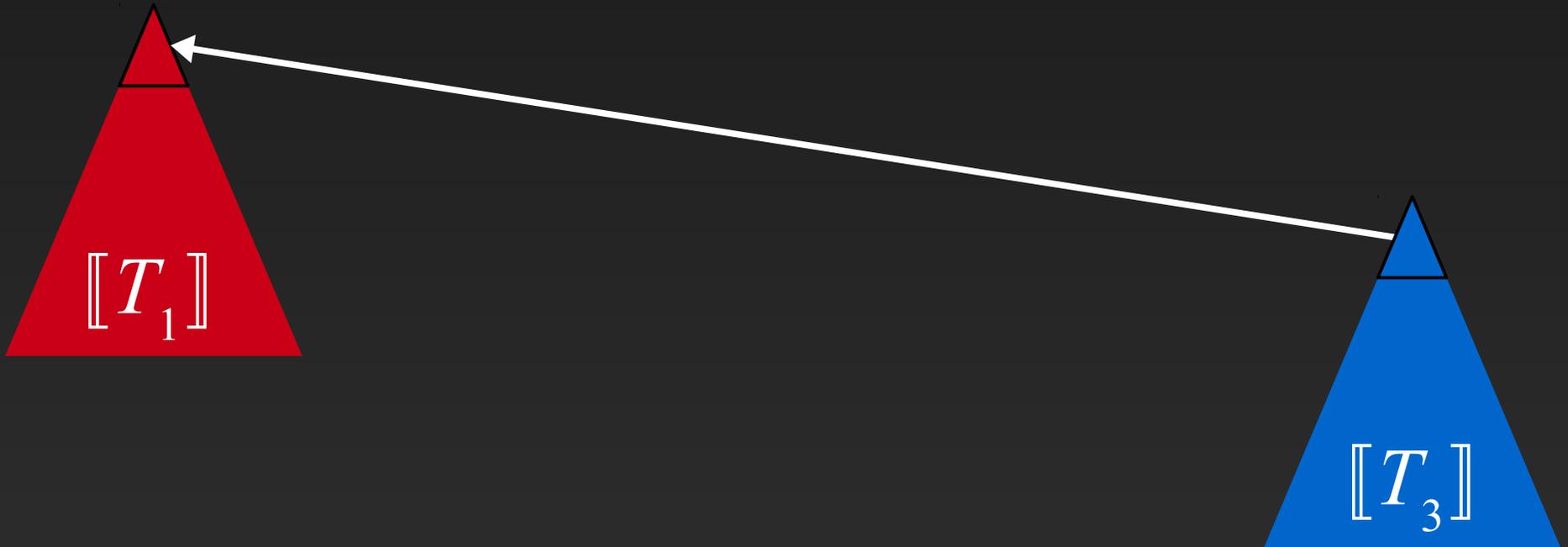
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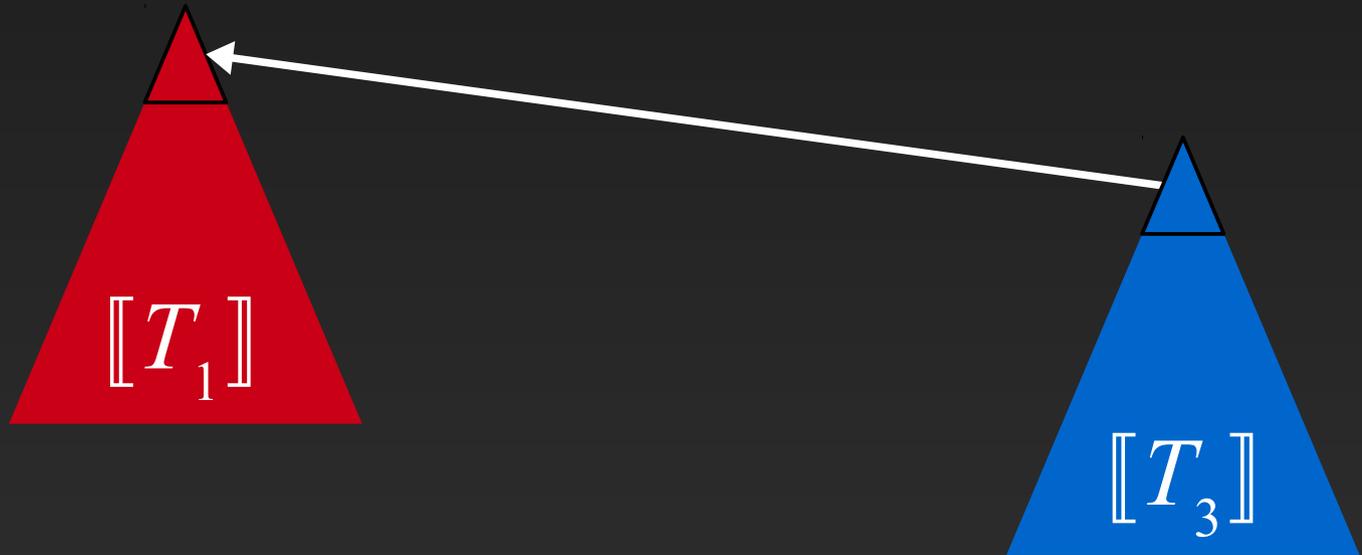
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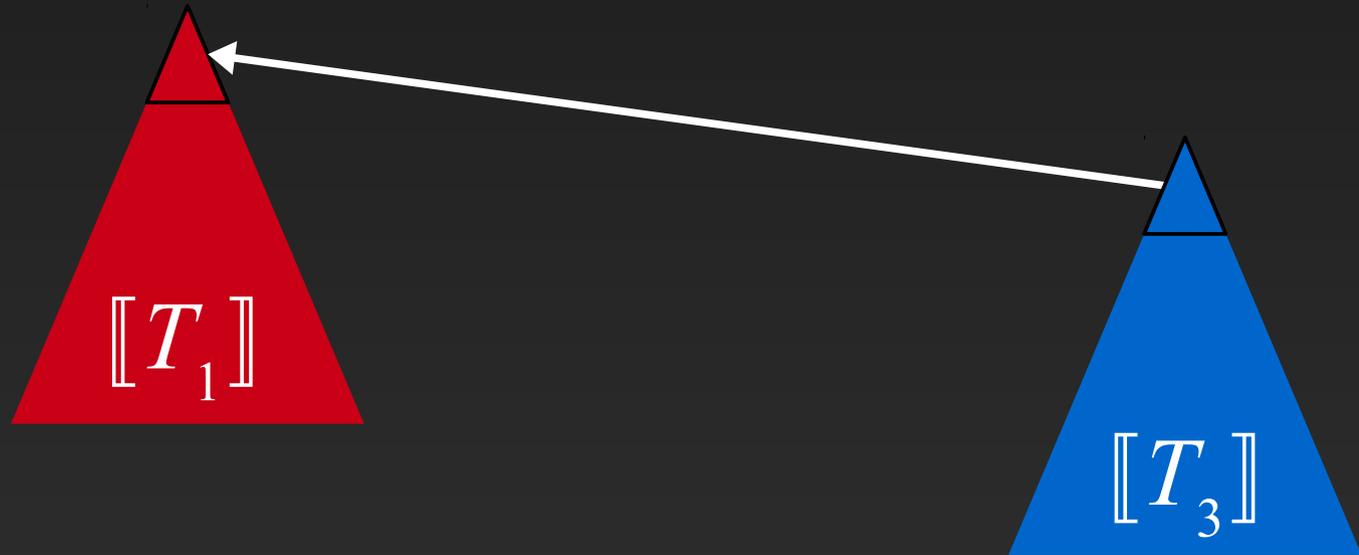
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$$\begin{aligned} & \llbracket f_1:T_1 \vdash M_1:T_2 \rrbracket ; \llbracket f_2:T_2 \vdash M_2:T_3 \rrbracket \\ & = \llbracket f_1:T_1 \vdash \text{let } f_2 = M_1 \text{ in } M_2:T_3 \rrbracket \end{aligned}$$



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$$A \xrightarrow{\sigma} B \xrightarrow{\tau} C = A \xrightarrow{\sigma;\tau} C$$

# Nominal composition example

$\vdash \text{ref}() : \text{ref unit}$

$1 \longrightarrow \mathbb{A}_{\text{unit}}$

$\frac{}{* \longleftarrow a \quad \begin{array}{l} O, Q \\ P, A \end{array}}$

$y : \text{ref unit} \vdash \lambda x. x == y : \text{ref unit} \rightarrow \text{int}$

$\mathbb{A}_{\text{unit}} \longrightarrow \mathbb{A}_{\text{unit}} \Rightarrow \mathbb{Z}$

$a \longleftarrow \dagger \quad \begin{array}{l} O, Q \\ P, A \end{array}$

$\begin{array}{l} a \\ \longleftarrow \\ \dagger \\ \longleftarrow \\ a' \end{array} \quad \begin{array}{l} O, Q \\ P, A \\ O, Q \end{array}$

$\begin{array}{l} \longleftarrow \\ 1 \\ \longleftarrow \\ a' \end{array} \quad \begin{array}{l} P, A \\ O, Q \end{array}$

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# Nominal composition example

$\vdash \text{ref}() : \text{ref unit}$

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$*$   $\longleftarrow$   $a$   $\begin{matrix} O, Q \\ P, A \end{matrix}$

•  
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$a'$   $\longleftarrow$   $\dagger$   $\begin{matrix} O, Q \\ P, A \end{matrix}$

$0$   $\longleftarrow$   $\dagger$   $\begin{matrix} O, Q \\ P, A \end{matrix}$

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Special conditions to ensure name and store privacy (*Laird conditions*)

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$\frac{}{a' \longleftarrow 0 \quad \begin{array}{l} O, Q \\ P, A \end{array}}$

$\dagger \longleftarrow a'$

$= \llbracket \lambda x. 0 : \text{ref unit} \rightarrow \text{int} \rrbracket$

# Taking stock

Games yield denotational models, which:

- are compositional / operational
- precisely capture what is going on:
  - sound & complete:  $P \cong P' \Leftrightarrow \llbracket P \rrbracket = \llbracket P' \rrbracket$
  - every “computable” strategy is in the language

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  - every “computable” strategy is in the language

Effects = – conditions + name generators

- Ground store: – innocence + ground reference names
- Higher-order store: – visibility + HO reference names
- Exceptions: – well-bracketing + exception names

# Nominal games portfolio

- Full abstraction for languages with:
  - References (ground & higher-order)
  - Concurrency (higher-order channels)
  - Exceptions (local, private)
  - Objects (Interface MJ)

dblp: Laird, Murawski, T.

- Trace models

dblp: Laird, Ghica, Jaber, T.

- Algorithmic games

# Algorithmic game semantics

$P$  Programs



$\llbracket P \rrbracket$  Game Semantics

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$$\llbracket \lambda x. \text{ref}() \rrbracket = \{ * \dagger * a_1 * a_2 \dots \}$$

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$P$  Programs



$\llbracket P \rrbracket$  Game Semantics



$A(P)$  Automata

$$\llbracket \lambda x. \text{ref}() \rrbracket = \{ * \dagger * a_1 * a_2 \dots \}$$

$$P \cong P' \Leftrightarrow \llbracket P \rrbracket = \llbracket P' \rrbracket$$

$$P \vdash \varphi \Leftrightarrow A(P) \vdash \varphi$$



# Nominal Automata

# The need for nominal automata

- Nice (fully abstract) game models
- Represented as sets of strings (with structure)
- *How to make them algorithmic/automated?*
- Use automata
- *But, the alphabet is infinite...*
- Use nominal automata (i.e. automata over infinite alphabets)

# Fresh-register automata

$$\llbracket \lambda x. \text{ref}() \rrbracket = \{ * \dagger * a_1 * a_2 \dots \mid a_i\text{'s distinct} \}$$

## Automata with names

- Infinite alphabet
- Freshness recognition

# Fresh-register automata

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## Automata with names

- Infinite alphabet
- Freshness recognition

*Part of a huge body of work on automata over infinite alphabets!*

Finite-state machines with registers

# Register Automata (RA)

Let  $\Sigma = \{a_1, a_2, \dots, a_n, \dots\}$  be an **infinite** alphabet of **names**



*finitely many*  
(say  $R$ ) **registers**

*registers store names*

Label  $\lambda$  of the form:

$\text{read}(i), i \in \{1, \dots, R\}$

$\text{fresh}(i), i \in \{1, \dots, R\}$

•  $\kappa, \kappa \in F$

*a finite set*  
of **constants**

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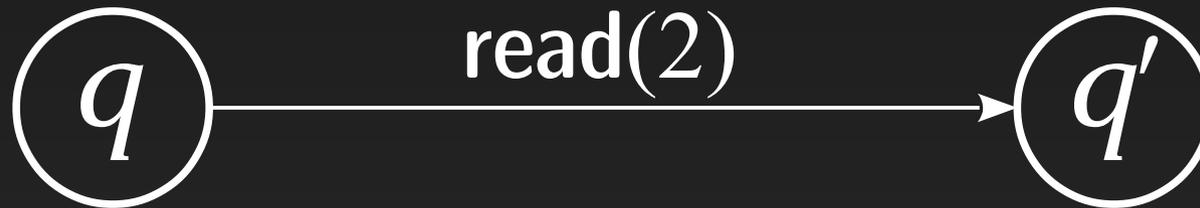
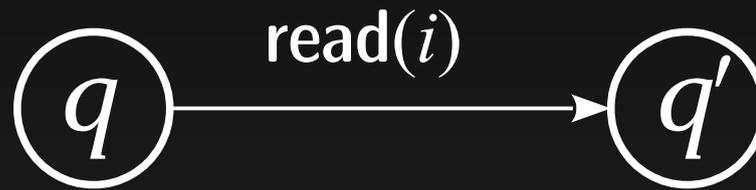
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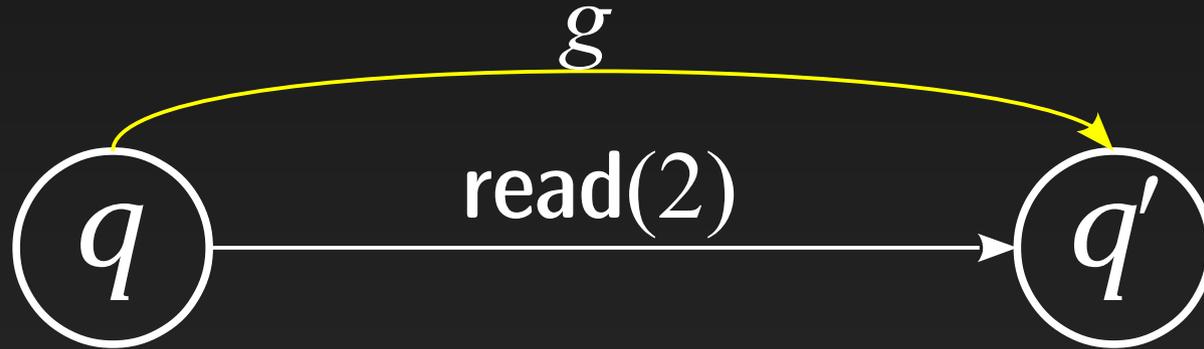
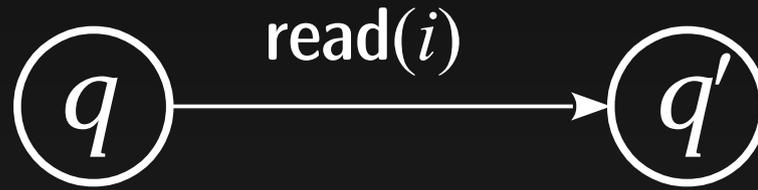
*a finite set*  
of **constants**

Transitions:



$a$	$g$	$b$
-----	-----	-----

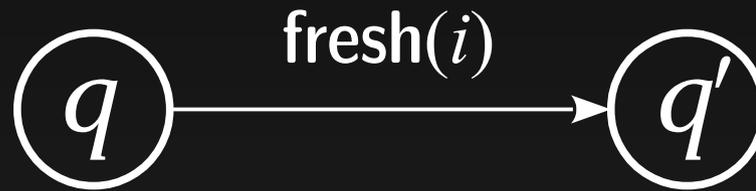
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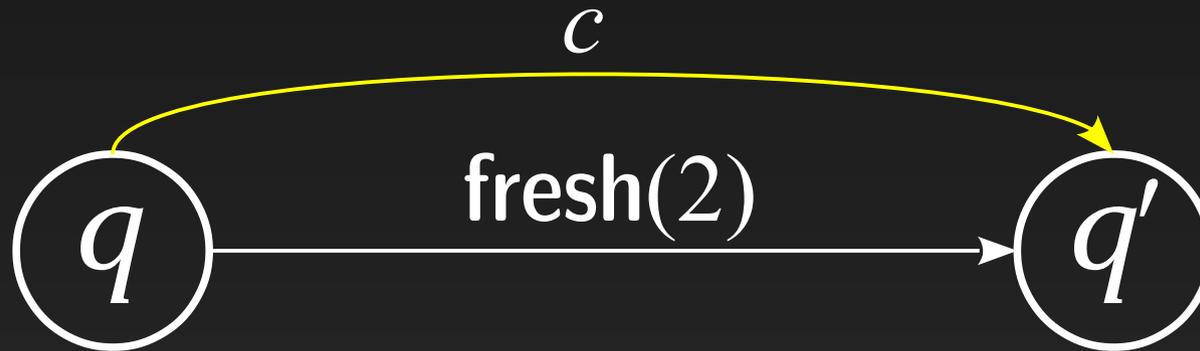
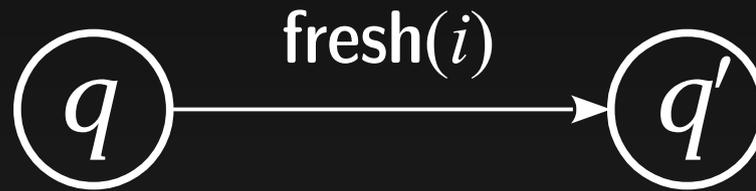
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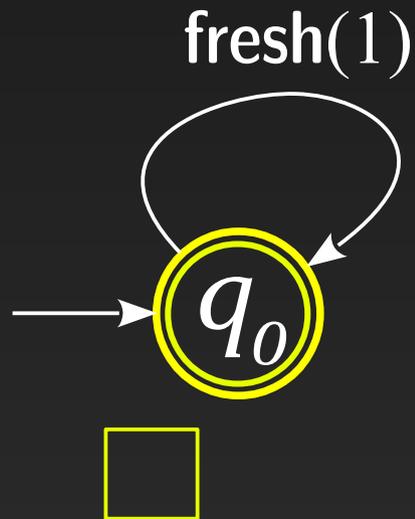


*fresh*

# Example

$$L_1 = \{ a_1 a_2 \dots a_n \in \Sigma^* \mid n \geq 0, \forall i < n. a_i \neq a_{i+1} \}$$

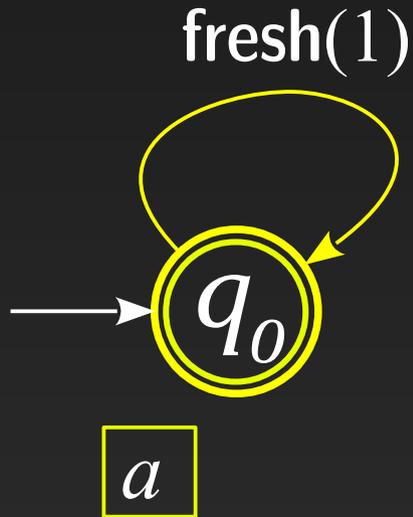
*(all strings where each name is distinct from its predecessor)*



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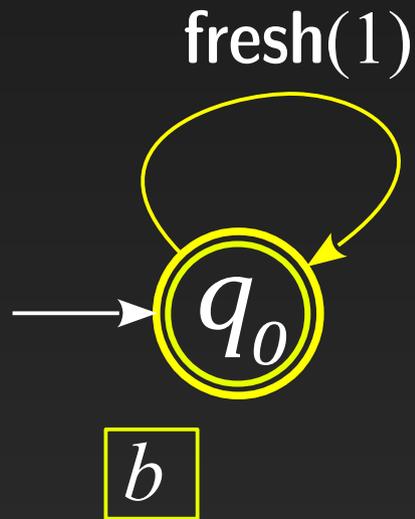
$a$

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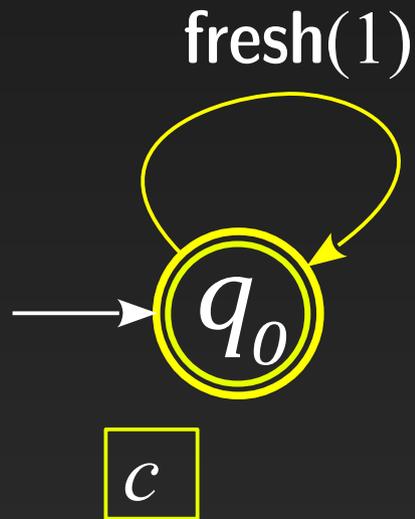
*ab*

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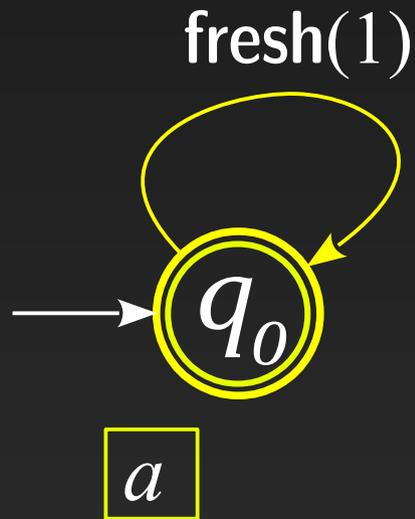
*abc*

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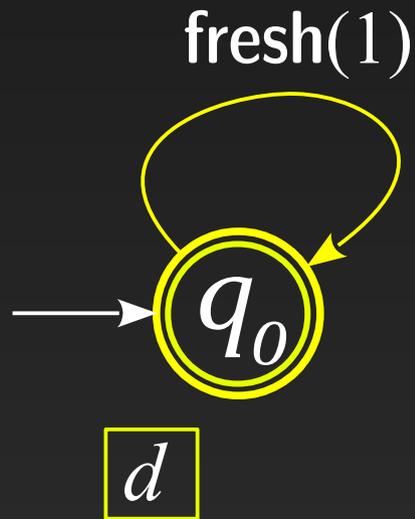


abca

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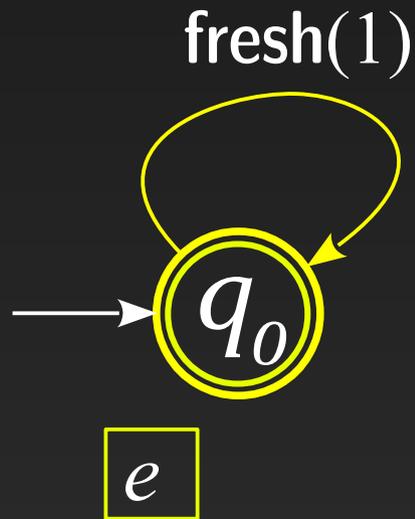


*abcd*

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*(all strings where each name is distinct from its predecessor)*

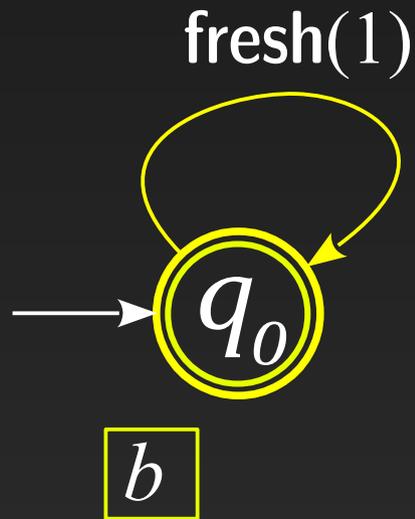


*abcade*

# Example

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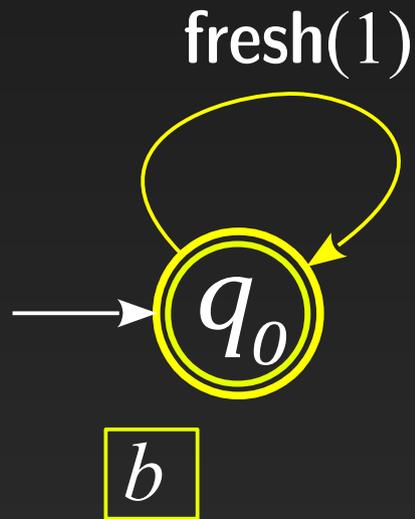


*abcadeb*

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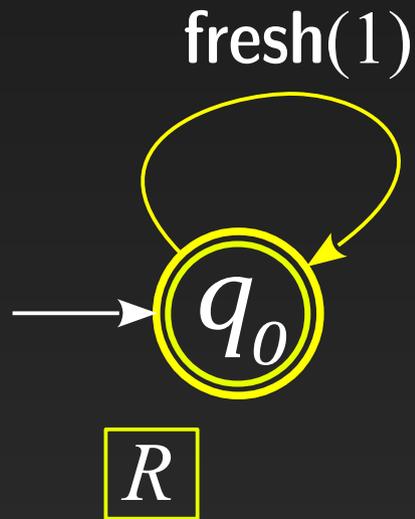


*abcadebagcabab*

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*abcadebagcabab and we love MSR*

# Global freshness?

```
public void foo() {  
    // Create new list  
    List x = new ArrayList();  
  
    x.add(1); x.add(2);  
    Iterator i = x.iterator();  
    Iterator j = x.iterator();  
    i.next(); i.remove(); j.next();  
}
```

we can express safety properties:

*if an iterator modifies its collection  $x$   
then other iterators of  $x$  become invalid*

(the code on the left is bad)

[Grigore, Distefano, Petersen & T. '13]

but we cannot model **new**

# Fresh-Register Automata (FRA)

Let  $\Sigma = \{a_1, a_2, \dots, a_n, \dots\}$  be an **infinite** alphabet of **names**



*finitely many*  
(say  $R$ ) **registers**

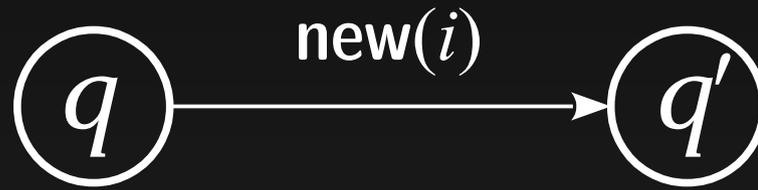
*registers store names*

Label  $\lambda$  of the form:

- **read**( $i$ ),  $i \in \{1, \dots, R\}$
- **fresh**( $i$ ),  $i \in \{1, \dots, R\}$
- $\kappa$ ,  $\kappa \in F$
- **new**( $i$ ),  $i \in \{1, \dots, R\}$

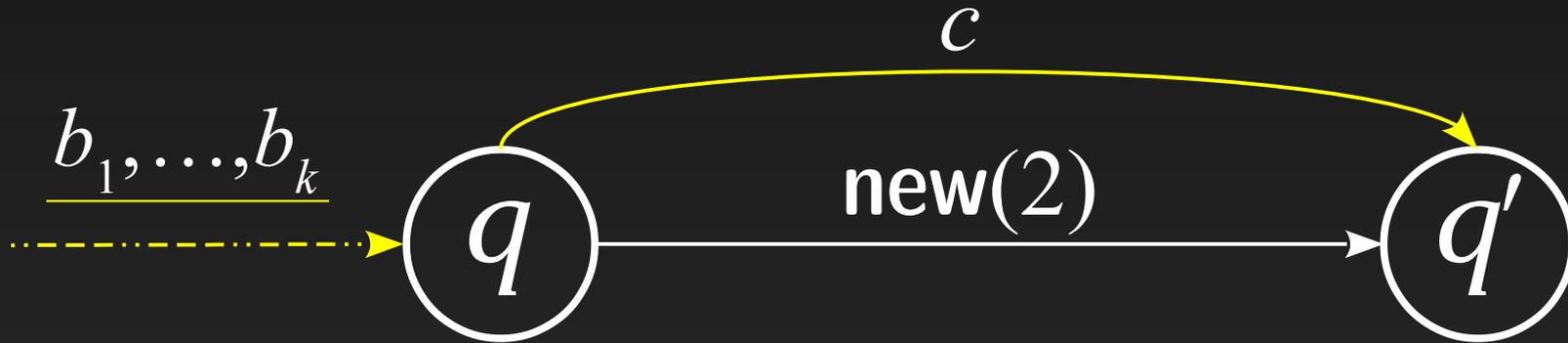
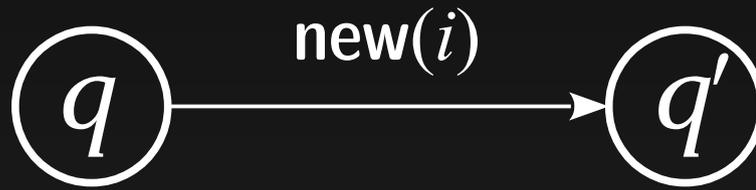
*global freshness oracle*

Transitions:



$a$	$g$	$b$
-----	-----	-----

Transitions:

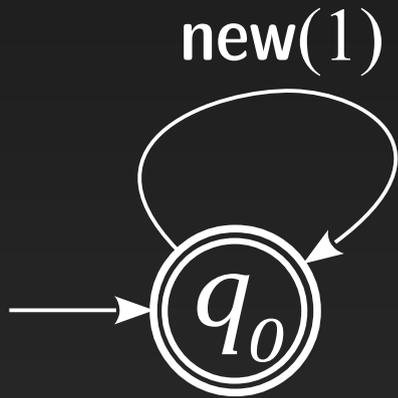


*globally fresh*

# Examples

$$L_2 = \{ a_1 a_2 \dots a_n \in \Sigma^* \mid n \geq 0, \forall i \neq j. a_i \neq a_j \}$$

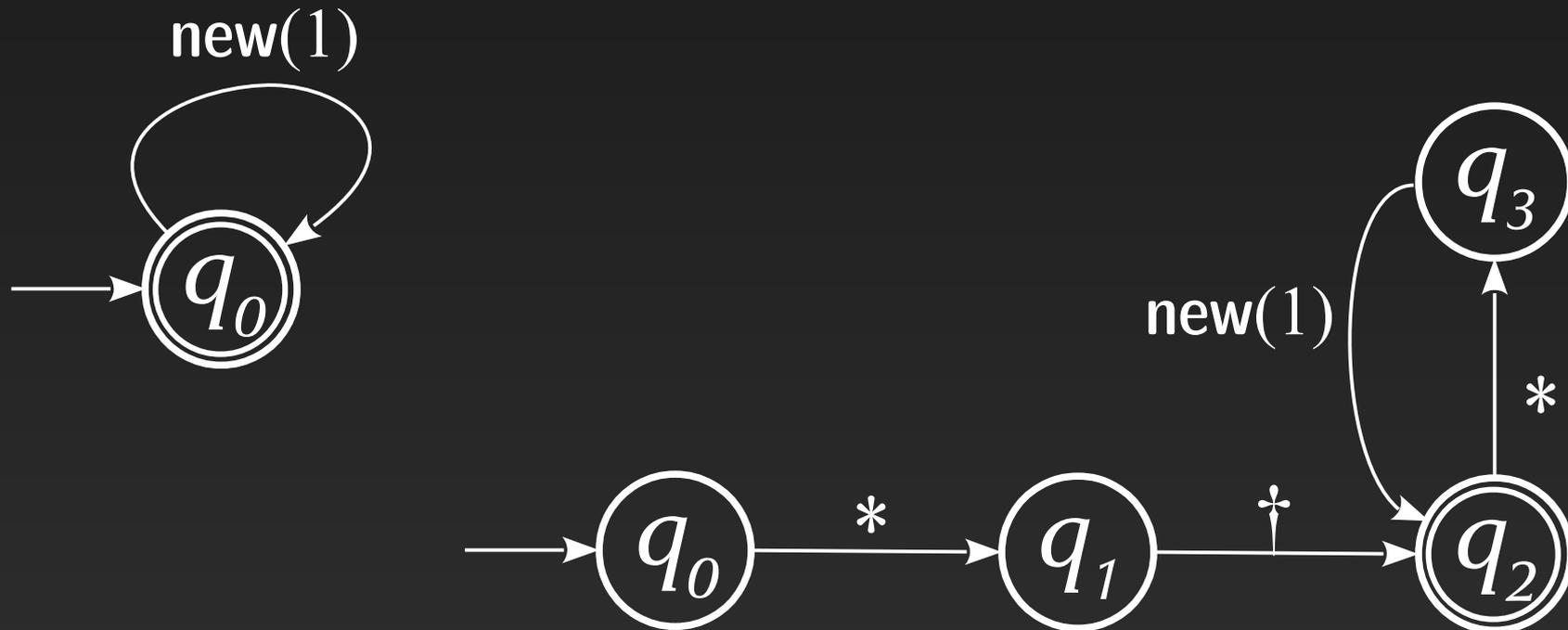
*(all strings of pairwise distinct names)*



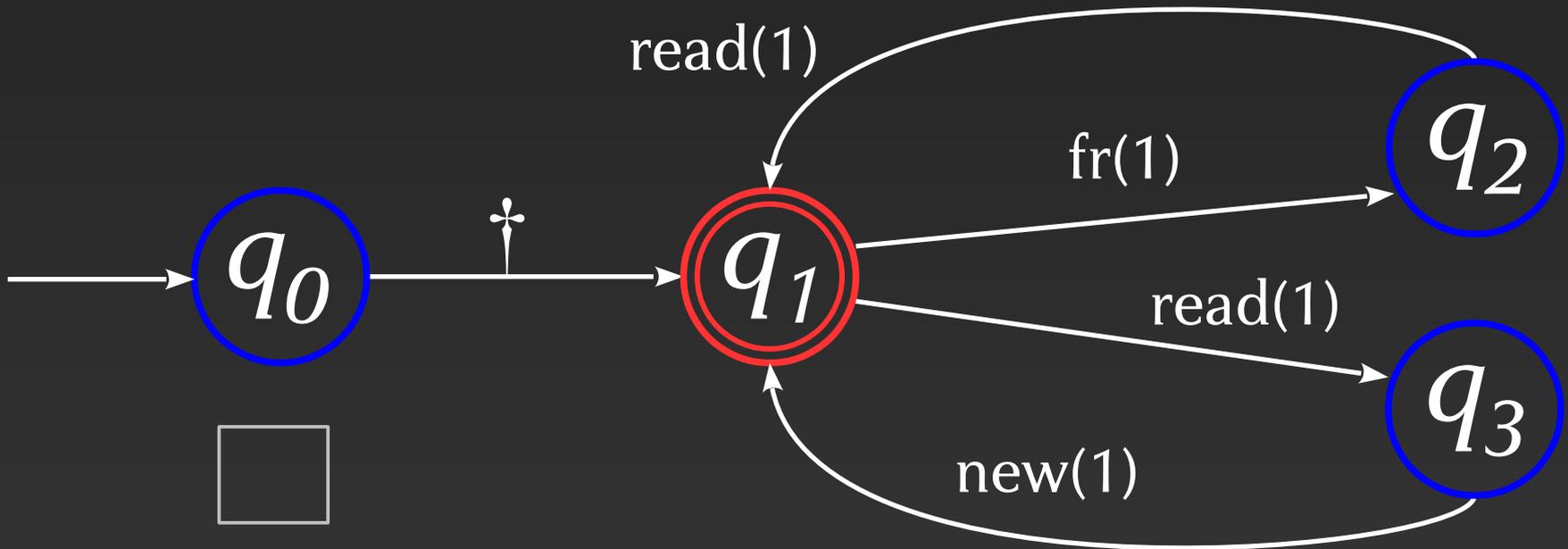
# Examples

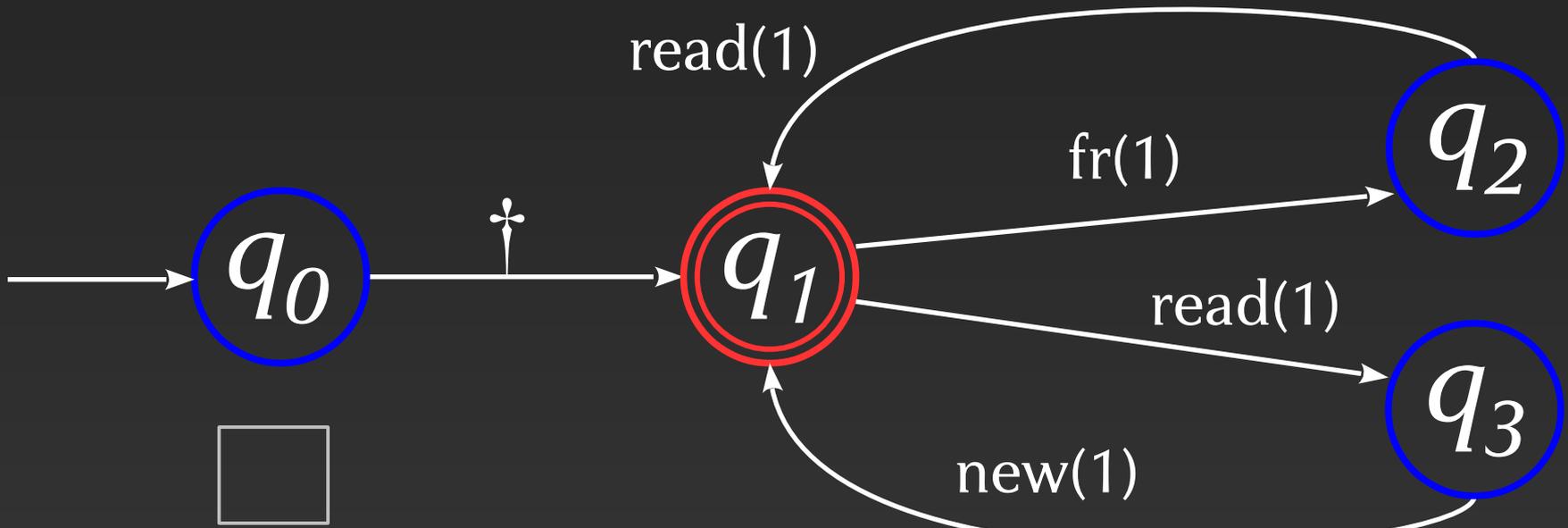
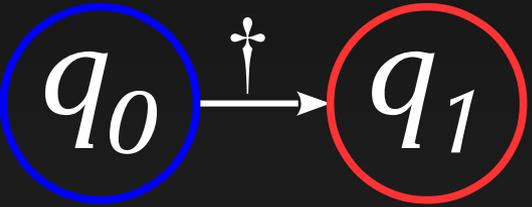
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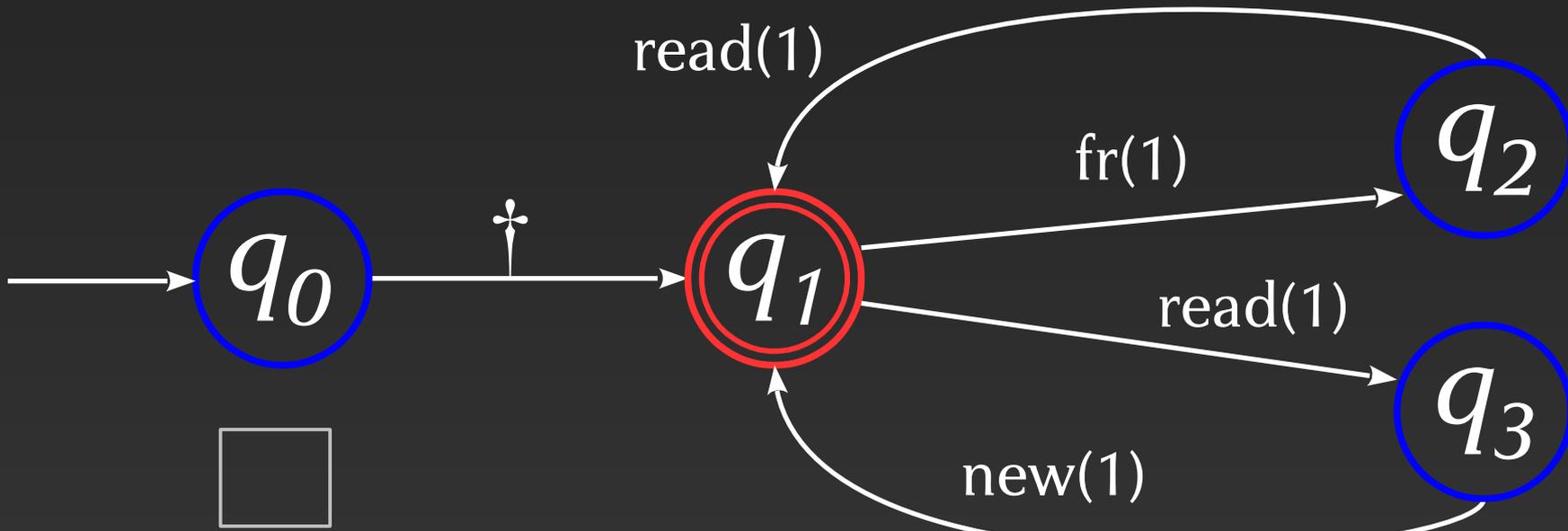
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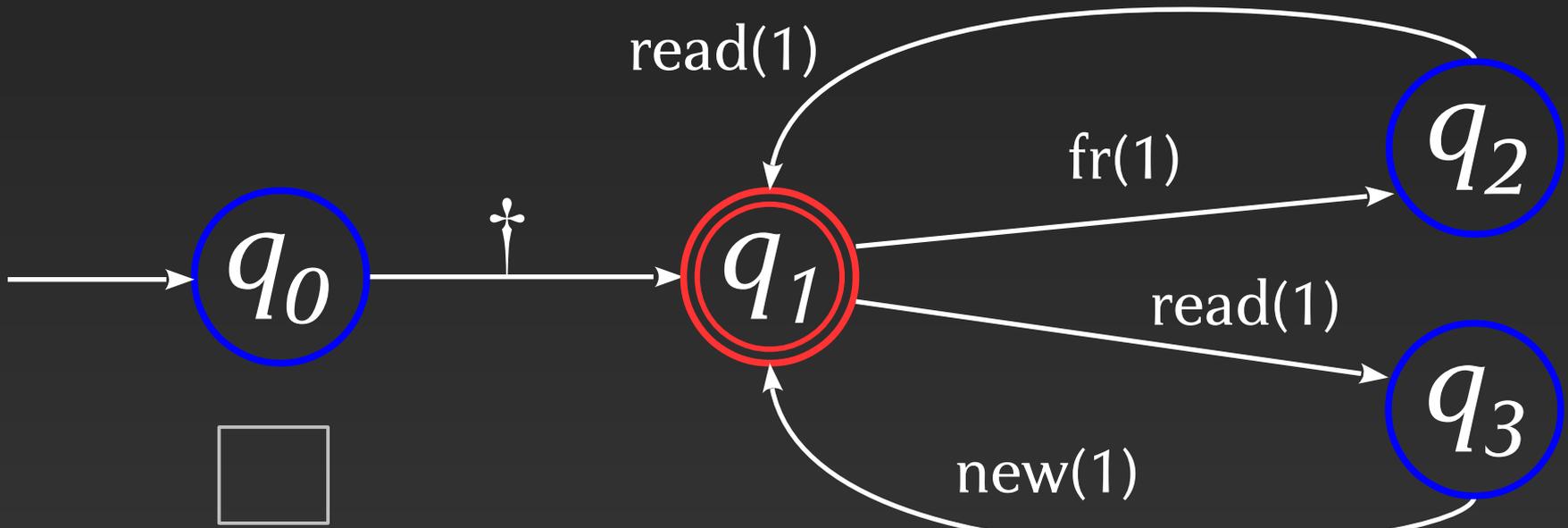
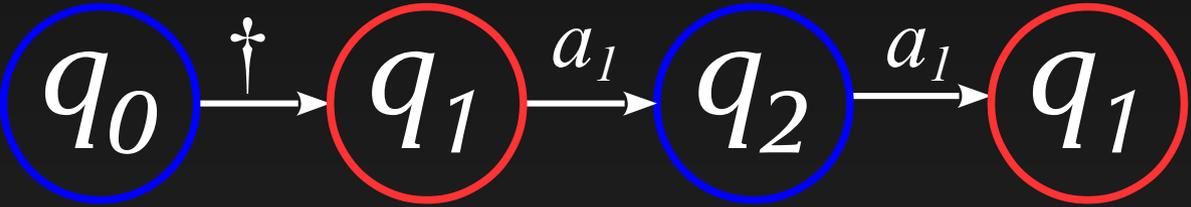


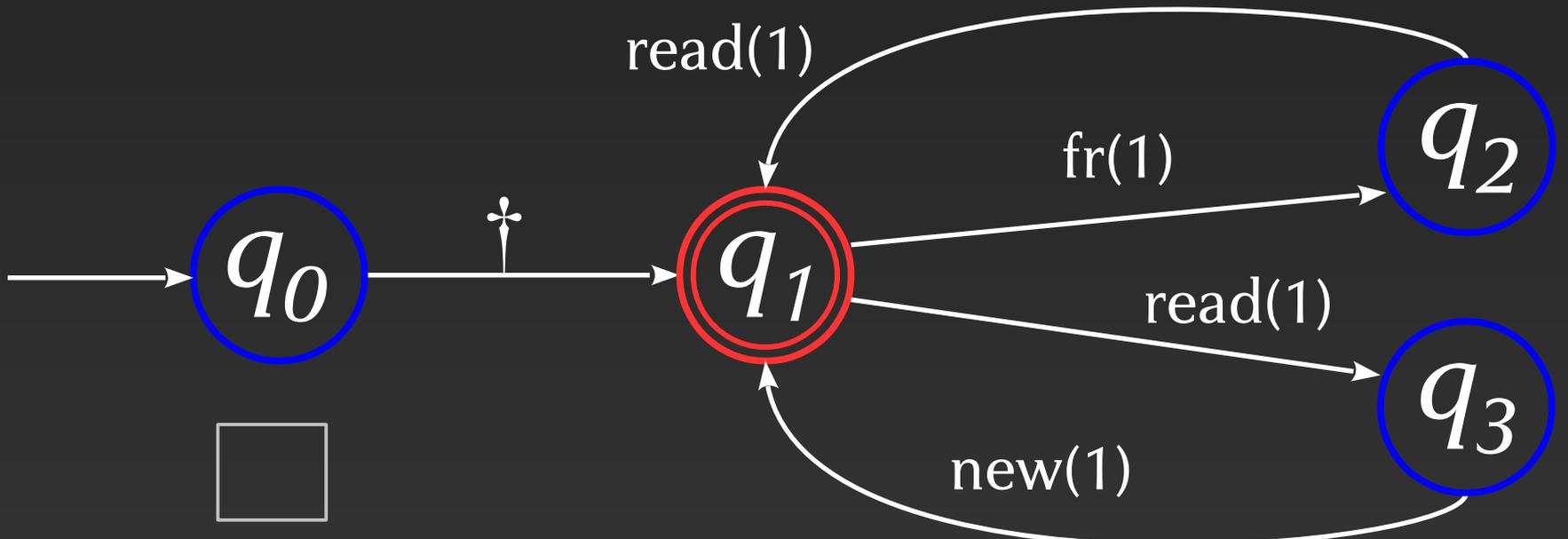
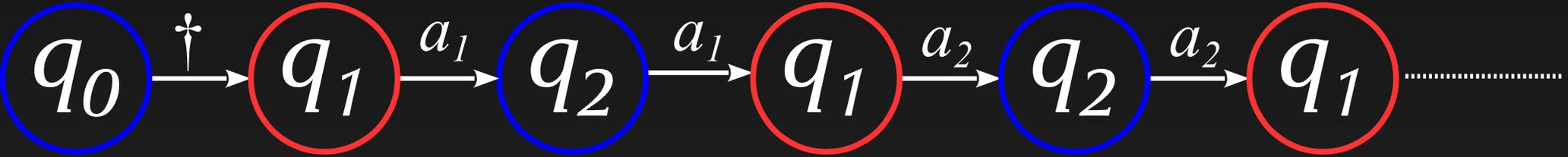
$$[[\lambda x. \text{ref}()]] = \{ * \dagger * a_1 * a_2 \dots \mid a_i \text{'s distinct} \}$$

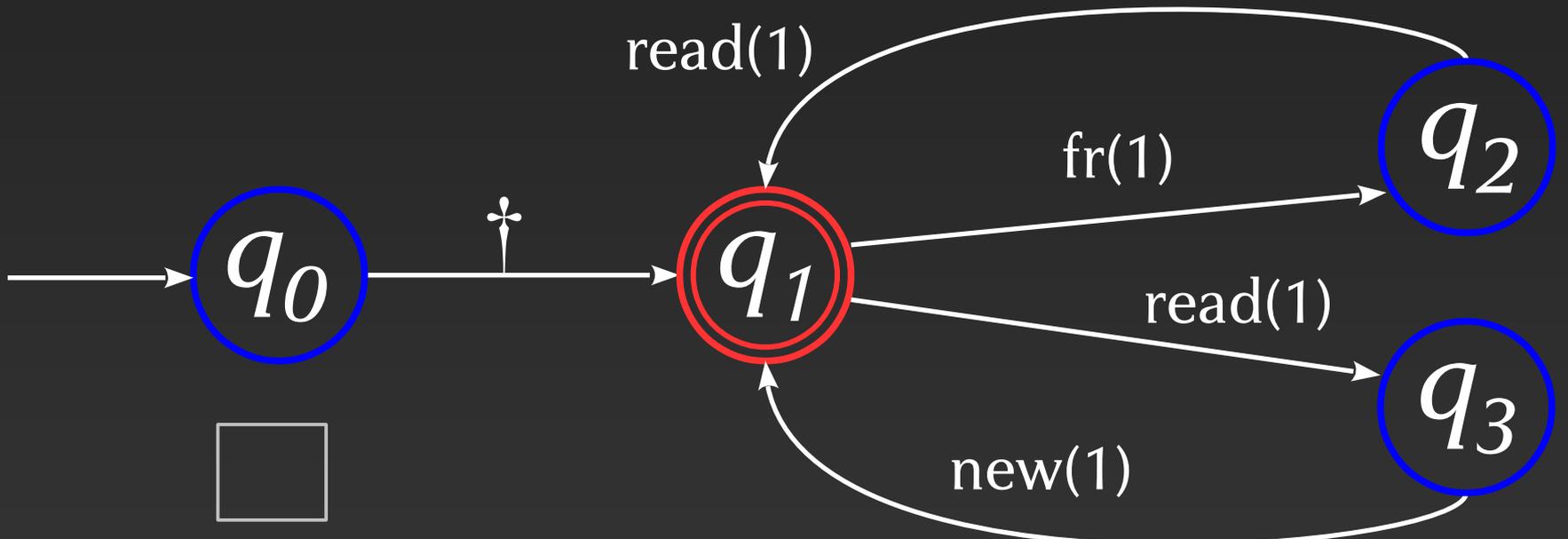
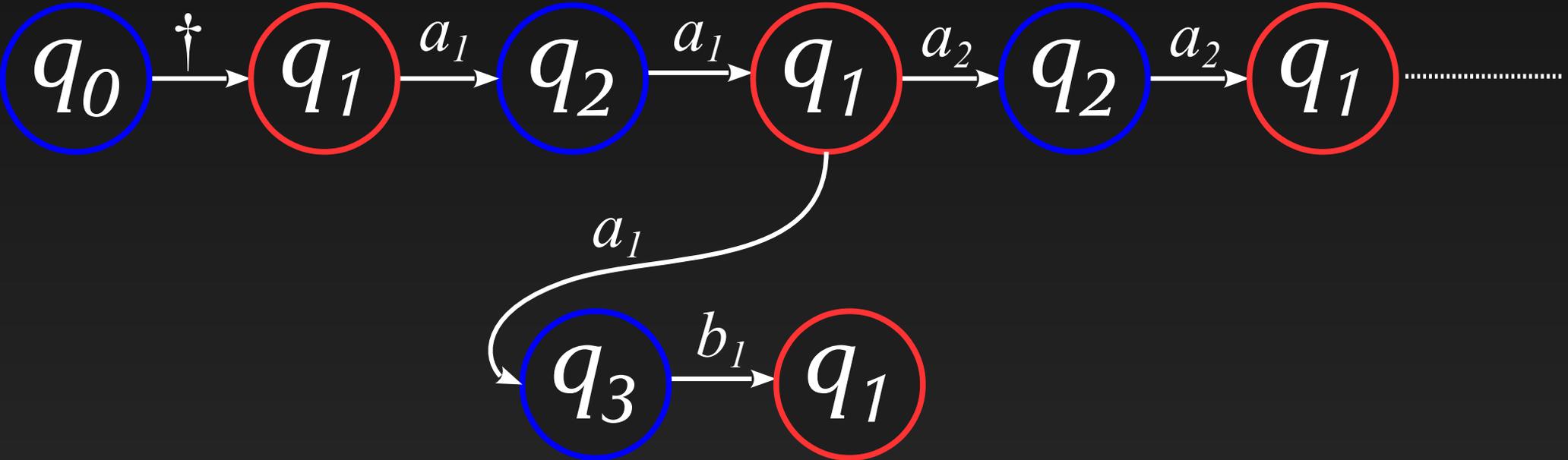


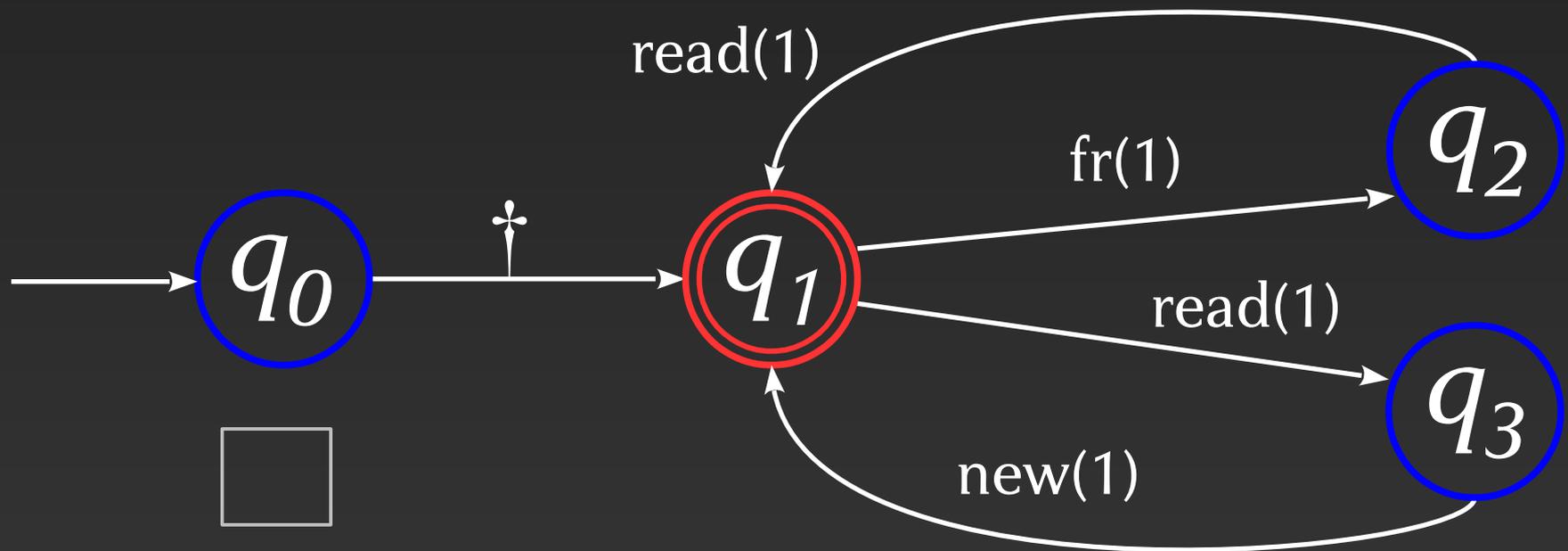
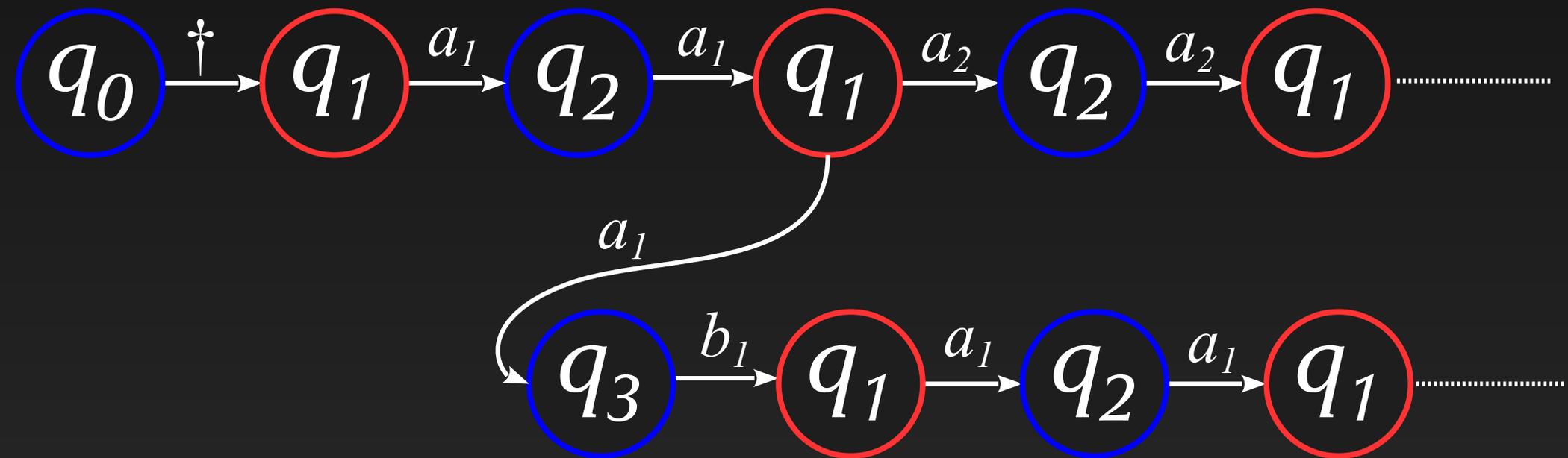










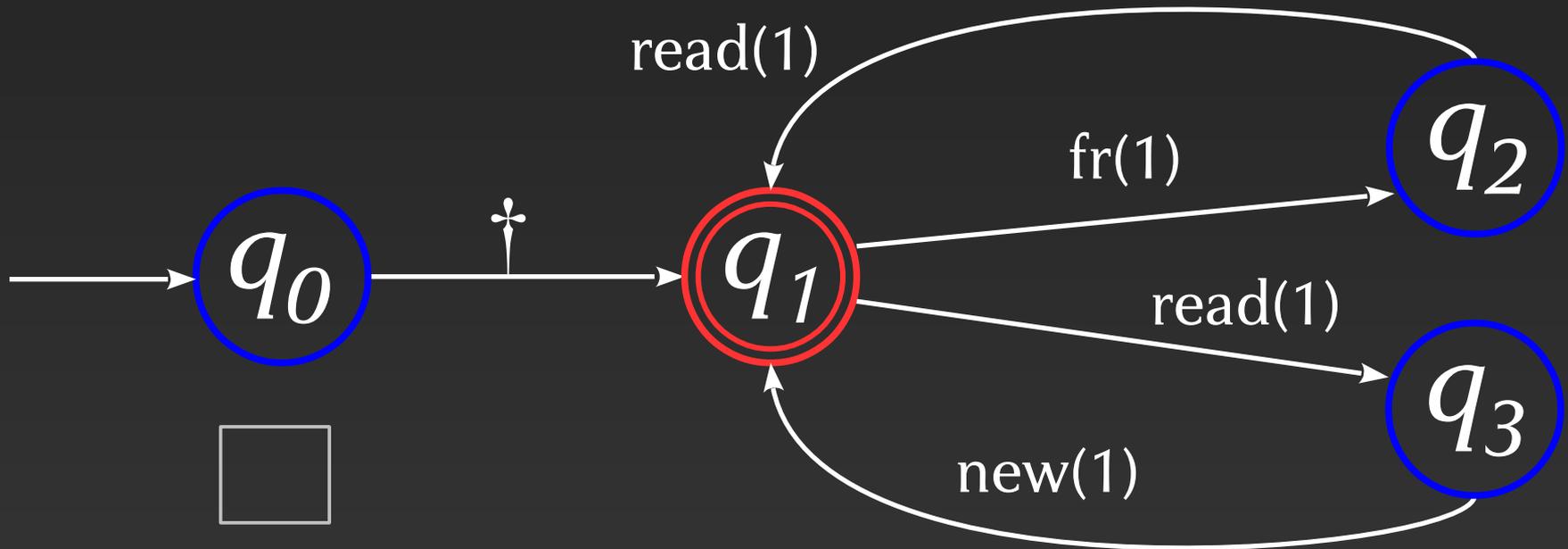
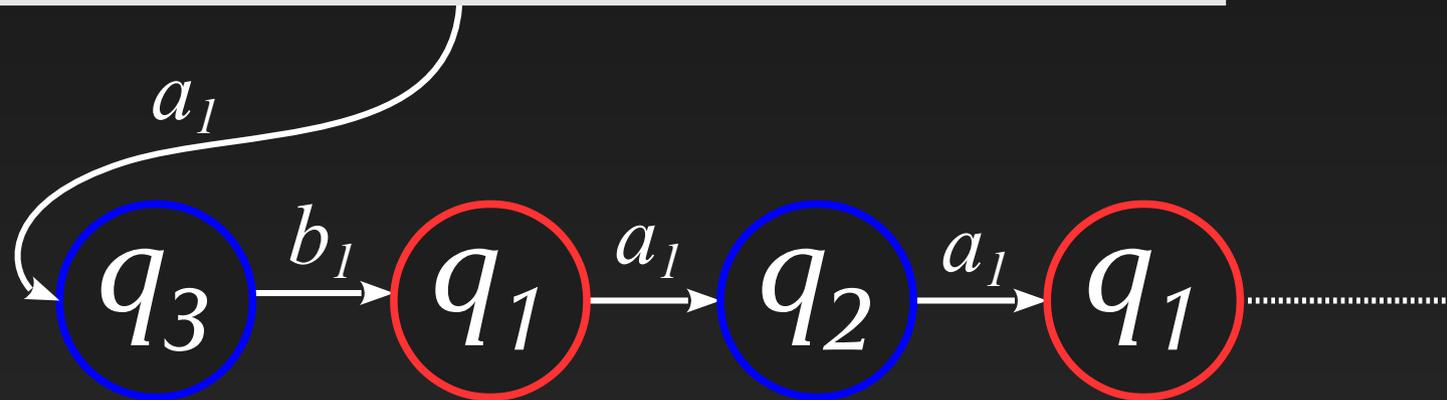


let  $y = \text{ref}(\text{ref}())$  in

$\lambda x. y := (\text{if } x == !y \text{ then } \text{ref}() \text{ else } x); !y$

: unit ref  $\rightarrow$  unit ref

$q_0$



# Properties of FRAs

- Cleanly extend Register Automata (RA's)
- Closed under union and intersection;  
not under complementation, concatenation,  $_*$
- Universality undecidable (from RA's)
- Emptiness decidable (from RA's)
- Bisimilarity decidable

# Algorithmic nominal game semantics

$$P \cong P' \Leftrightarrow A(P) \sim A(P')$$

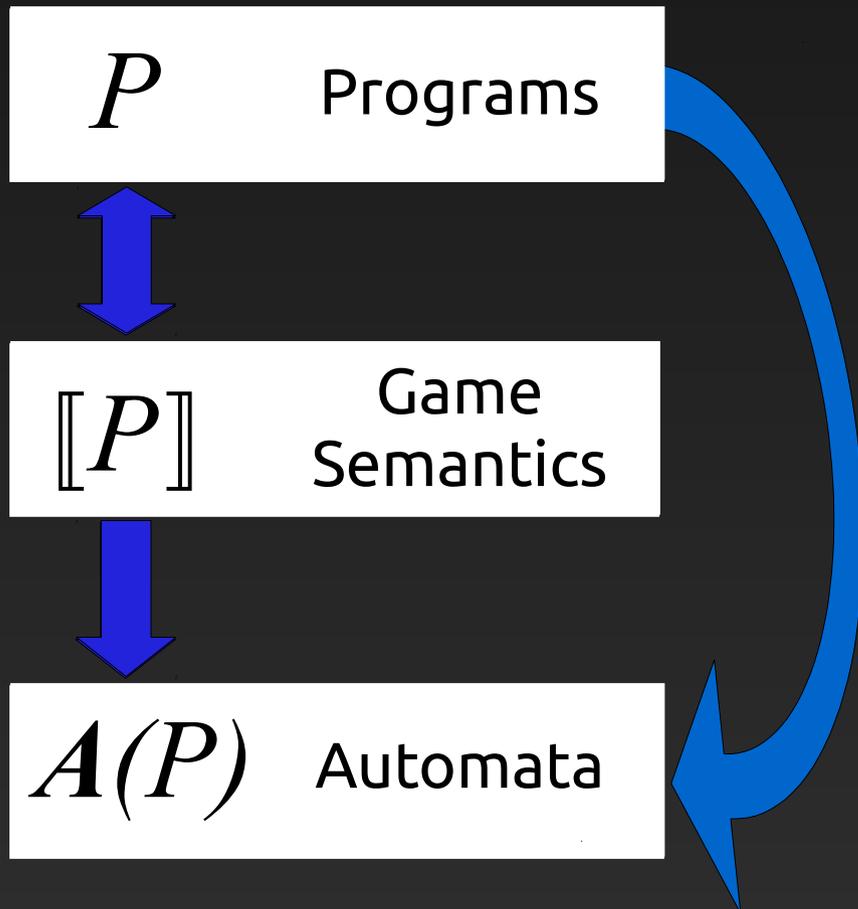
## Representation of strategies as FRA's

- with “data words”
- pushdown variants

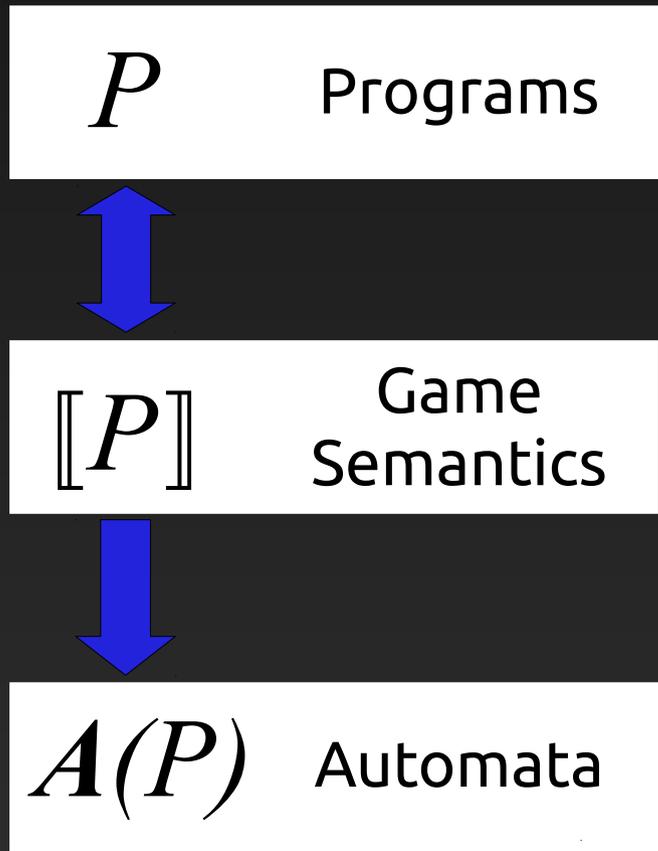
pairs  $(\kappa, a)$ :  
-  $\kappa$  from finite alph.  
-  $a$  a name

stack stores constants and register “snapshots”

# From programs to automata



# From programs to automata



The passage can be done automatically  
→ decision procedure for program  
equivalence

- Possible at specific types
- Full type-based classification  
(at each type, either the problem is  
undecidable or we get a procedure)

Applied to ML with ground references  
and Interface Middleweight Java

[ Murawski & T. '11,'12; Murawski, Ramsay & T. ]

# Nominal automata in program verification

- Algorithmic game semantics
- Pushdown analyses (pushdown FRA's!)
  - Pointers, Concurrent processes
  - Reachability, MSO-decidability

db1p: Atig, Bouajjani, Fratani & Qadeer ; Bollig *etal*

- Runtime Java verification
  - TOPL (register automata)

[ Grigore, Distefano, Petersen & T. '13 ]

# Summary and what's next

Our journey: programs  $\rightarrow$  games  $\rightarrow$  automata

- games capture essential program behaviour
- denotational / operational / algorithmic

Further on:

- More effects (can we have one model for all?)
- Verification
  - Expand algorithmic approach to gen. model checking
  - Program logics and games
- Games as a paradigm for open/HO programs

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